OECD Survey of scientific authors

Dear Author,

You are invited to participate in this OECD Survey of Scientific Authors. This survey, the first of its kind carried out by the OECD, aims to collect evidence on the experience of scientists publishing in peer reviewed journals. This work is carried out as part of wider OECD work to collect and disseminate data and evidence to promote science and innovation policies, as part of the OECD overarching objective to improve the economic and social well-being of people around the world. For over 50 years, the OECD has been leading global efforts to measure trends in science, technology and innovation.

In this survey, you will be asked about your experience as a researcher in relation to a published scientific article that features you as corresponding author. The information you provide will greatly assist in better understanding an important dimension of the work carried out by research scientists and assist policy makers worldwide in evaluating the implications of different policy choices on access to research inputs and outputs.

Your survey responses will be coded and remain strictly confidential. Data collected through this survey will be solely used for statistical purposes. Results will only be reported on an aggregate, non-disclosive basis. In line with OECD core values, the design of this survey and its future analysis and conclusions are independent and evidence-based, open to debate, and built on trust, integrity and transparency.

You are not required to consult records in order to respond to this survey. It will take approximately 20 minutes of your time to complete the questionnaire.

If you have questions at any time about the survey or the procedures used, you may contact Brunella Boselli at +33 145 249 676 or by email at: surveyonscience@oecd.org.

Your participation in this study is completely voluntary. If you feel uncomfortable answering any questions, you can leave specific questions unanswered or withdraw from the survey at any point. However, it is very important for us to learn about your experience as a publishing scientist to ensure the results are representative and robust.

Thank you very much for your time and support.

The OECD research team at the Directorate for Science, Technology and Industry
Personal Information

Q1

What is your year of birth?

( ) 1962  ( ) 1961  ( ) 1960  ( ) 1959  ( ) 1958  ( ) 1957  ( ) 1956  ( ) 1955  ( ) 1954  ( ) 1953  ( ) 1952 
( ) 1951  ( ) 1950  ( ) 1949  ( ) 1948  ( ) 1947  ( ) 1946  ( ) 1945  ( ) 1944  ( ) 1943  ( ) 1942  ( ) 1941 
( ) 1940  ( ) 1939  ( ) 1938  ( ) 1937  ( ) 1936  ( ) 1935  ( ) 1934  ( ) 1933  ( ) 1932  ( ) 1931  ( ) 1930

Q2

Are you:

( ) Male  ( ) Female

Q3

What is your country of birth?

( ) Albania  ( ) Burma  ( ) Dominican  ( ) Honduras  ( ) Lithuania 
( ) Algeria  ( ) Burundi  ( ) Republic  ( ) Hong Kong, ( ) Luxembourg 
( ) Andorra  ( ) Cambodia  ( ) East Timor (see  China  ( ) Macau 
( ) Angola  ( ) Cameroon  ( ) Timor-Leste)  ( ) Hungary  ( ) Macedonia 
( ) Antigua and  ( ) Canada  ( ) Ecuador  ( ) Iceland  ( ) Madagascar 
Barbuda  ( ) Cape Verde  ( ) Egypt  ( ) India  ( ) Malawi 
( ) Argentina  ( ) Central African  ( ) El Salvador  ( ) Indonesia  ( ) Malaysia 
( ) Armenia  ( ) Equatorial  ( ) Iran  ( ) Maldives  ( ) Mali 
( ) Australia  ( ) Chad  ( ) Iraq  ( ) Malta  ( ) Marshall 
( ) Austria  ( ) China  ( ) Israel  ( ) Malaysia  ( ) Marshall 
( ) Azerbaijan  ( ) Chile  ( ) Italy  ( ) Maldives  ( ) Mauritius 
( ) Bahamas, The  ( ) Colombia  ( ) Japan  ( ) Mexico  ( ) Micronesia 
( ) Bahrain  ( ) Comoros  ( ) Jordan  ( ) Morocco  ( ) Mozambique 
( ) Bangladesh  ( ) Congo,  ( ) Kazakhstan  ( ) Namibia  ( ) Nauru 
( ) Barbados  ( ) Democratic  ( ) Kenya  ( ) Moldova  ( ) Nepal 
( ) Belarus  ( ) Republic of the  ( ) Kiribati  ( ) Monaco  ( ) Netherlands 
( ) Belgium  ( ) Congo,  ( ) Kyrgyzstan  ( ) Morocco  ( ) Netherlands 
( ) Belize  ( ) Republic of the  ( ) Laos  ( ) Mozambique  ( ) Netherlands 
( ) Benin  ( ) Costa Rica  ( ) Latvia  ( ) Namibia  ( ) Netherland 
( ) Bhutan  ( ) Cote d'Ivoire  ( ) Lebanon  ( ) Nauru  ( ) Nepal 
( ) Bolivia  ( ) Croatia  ( ) Lesotho  ( ) Netherlands  ( ) Netherlands 
( ) Bosnia and  ( ) Cuba  ( ) Liberia  ( ) Netherlands Antilles 
( ) Herzegovina  ( ) Curacao  ( ) Liechtenstein

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What is your current country of usual residence? ( ) Afghanistan

( ) Albania  ( ) Angola  ( ) Antigua and Barbuda  ( ) Argentina
( ) Afghanistan  ( ) Austria  ( ) Azerbaijan  ( ) Bahamas
( ) Bahrain  ( ) Bangladesh  ( ) Barbados  ( ) Belarus
( ) Belgium  ( ) Belize  ( ) Benin  ( ) Bhutan
( ) Bolivia  ( ) Bosnia and Herzegovina  ( ) Botswana  ( ) Brazil
( ) Brunei  ( ) Bulgaria  ( ) Burkina Faso  ( ) Burundi
( ) Burma  ( ) Cambodia  ( ) Cameroon  ( ) Canada
( ) Cape Verde  ( ) Central African Republic  ( ) Chad
( ) Chile  ( ) China  ( ) Colombia  ( ) Comoros
( ) Congo, Democratic Republic of the  ( ) Congo, Republic of the
( ) Costa Rica  ( ) Croatia  ( ) Cuba  ( ) Curacao
( ) Cyprus  ( ) Czech Republic  ( ) Djibouti  ( ) Dominica
( ) Dominican Republic  ( ) East Timor (see Timor-Leste)
( ) Ecuador  ( ) Egypt  ( ) El Salvador  ( ) Equatorial Guinea
( ) Eritrea  ( ) Estonia  ( ) Fiji  ( ) Finland
( ) France  ( ) Gabon  ( ) Gambia, The  ( ) Georgia
( ) Ghana  ( ) Greece  ( ) Grenada  ( ) Guatemala
( ) Guinea  ( ) Guinea-Bissau  ( ) Guyana  ( ) Haiti
( ) Holy See  ( ) Honduras  ( ) Hong Kong, China
( ) Hungary  ( ) Iceland  ( ) India
( ) Indonesia  ( ) Iran  ( ) Iraq
( ) Israel  ( ) Italy  ( ) Jamaica
( ) Jordan  ( ) Kazakhstan  ( ) Kenya
( ) Kiribati  ( ) Kosovo  ( ) Kuwait
( ) Kyrgyzstan  ( ) Laos  ( ) Latvia
( ) Lebanon  ( ) Lesotho  ( ) Liberia
( ) Libya  ( ) Liechtenstein  ( ) Lithuania
( ) Luxembourg  ( ) Macau  ( ) Macedonia
( ) Madagascar  ( ) Malawi  ( ) Malaysia
( ) Maldives  ( ) Mali  ( ) Marshall Islands
( ) Mauritania  ( ) Mauritius  ( ) Mexico
( ) Micronesia  ( ) Moldova  ( ) Monaco
( ) Mongolia  ( ) Montenegro  ( ) Morocco
( ) Mozambique  ( ) Namibia  ( ) Nepal
( ) Netherlands  ( ) Netherlands Antilles  ( ) New Zealand
( ) Nicaragua  ( ) Niger  ( ) Nigeria
( ) North Korea  ( ) Norway  ( ) Oman
( ) Pakistan  ( ) Palau  ( ) Palestinian Territories
( ) Panama  ( ) Papua New Guinea  ( ) Paraguay
( ) Peru  ( ) Philippines  ( ) Poland
( ) Portugal  ( ) Qatar  ( ) Romania
( ) Russia  ( ) Rwanda  ( ) Saint Kitts and Nevis
( ) Saint Lucia  ( ) Saint Vincent and the Grenadines
( ) Samoa  ( ) San Marino
( ) Saudi Arabia  ( ) Senegal  ( ) Serbia
( ) Seychelles  ( ) Sierra Leone  ( ) Singapore
( ) Slovakia  ( ) Slovenia  ( ) Solomon Islands
( ) South Africa  ( ) South Korea  ( ) Spain
( ) Sri Lanka  ( ) Sudan  ( ) Swaziland
( ) Sweden  ( ) Switzerland  ( ) Syria
( ) Taipei, China  ( ) Tajikistan  ( ) Tanzania
( ) Thailand  ( ) Timor-Leste  ( ) Togo
( ) Tonga  ( ) Trinidad and Tobago  ( ) Tunisia
( ) Turkey  ( ) Turkmenistan  ( ) Tuvalu
( ) Ukraine  ( ) United Arab Emirates  ( ) United Kingdom
( ) United States  ( ) Uruguay  ( ) Uzbekistan
( ) Vanuatu  ( ) Venezuela  ( ) Vietnam
( ) Yemen  ( ) Zambia  ( ) Zimbabwe

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Q5

What is your highest degree of educational attainment?

[ ] Short-cycle tertiary education (ISCED level 5)
[ ] Bachelor’s degree or equivalent level (ISCED level 6), e.g. BA/BSc.
[ ] Master’s degree or equivalent level (ISCED level 7), including MPhil.
[ ] Doctoral or equivalent level (ISCED level 8)
[ ] Other, please specify: ________________________________________________

Q6

When did you obtain this qualification (year)?

<table>
<thead>
<tr>
<th>Year</th>
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</table>

Q7

In which field did you obtain this qualification?

**Education**

- Education science
- Training for pre-school teachers
- Training for teachers without subject specialization
- Training for teachers with subject specialization
- Inter-disciplinary programmes and qualifications involving education

**Arts**

- Audio-visual techniques and media production
- Fashion, interior and industrial design
- Fine arts
- Handicrafts
- Music and performing arts
- Inter-disciplinary programmes and qualifications involving arts

**Humanities (except languages)**

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Religion

History and archaeology

Philosophy and ethics

Inter-disciplinary programmes and qualifications involving humanities

Languages

Language acquisition

Literature and linguistics

Inter-disciplinary programmes and qualifications involving languages

Social and behavioural sciences

Economics

Political sciences and civics

Psychology

Sociology and cultural studies

Inter-disciplinary programmes and qualifications involving social and behavioural sciences

Journalism and Information

Journalism and reporting

Library, information and archival studies

Inter-disciplinary programmes and qualifications involving journalism and information

Business and administration

Accounting and taxation

Finance, banking and insurance

Management and administration

Marketing and advertising

Secretarial and office work

Wholesale and retail sales

Working place skills

Inter-disciplinary programmes and qualifications involving business and administration

Law

Law

Inter-disciplinary programmes and qualifications involving law

Biological and related sciences

Biology

Biochemistry

Inter-disciplinary programmes and qualifications involving biological and related sciences

Environment

Environmental sciences

Natural environments and wildlife

Inter-disciplinary programmes and qualifications involving environment

Physical sciences

Chemistry

Earth sciences

Physics

Inter-disciplinary programmes and qualifications involving physical sciences

Mathematics and statistics

Mathematics

Statistics

Inter-disciplinary programmes and qualifications involving mathematics and statistics

Information and communications technologies

Computer use

Database and network design and administration

Software and applications development and analysis

Inter-disciplinary programmes and qualifications involving information and communications technologies

Information and communication technologies not elsewhere classified

Engineering and engineering trades

Chemical engineering and processes

Environmental protection technology

Electricity and energy

Electronics and automation

Mechanics and metal trades

Motor vehicles, ships and aircraft

Inter-disciplinary programmes & qualifications involving engineering and engineering trades

Engineering and engineering trades not elsewhere classified

Manufacturing and processing

Food processing

Materials (glass, paper, plastic and wood)

Textiles (clothes, footwear and leather)

Mining and extraction

Inter-disciplinary programmes & qualifications involving manufacturing and processing

Architecture and construction

Architecture and town planning

Building and civil engineering

Inter-disciplinary programmes and qualifications involving architecture and construction

Agriculture

Agriculture

Forestry

Forestry

Fisheries

Fisheries

Veterinary

Veterinary

Health

Dental studies
( ) Medicine  
( ) Nursing and midwifery  
( ) Medical diagnostic and treatment technology  
( ) Therapy and rehabilitation  
( ) Pharmacy  
( ) Traditional and complementary medicine and therapy  
( ) Inter-disciplinary programmes and qualifications involving health  
( ) Health not elsewhere classified  
**Welfare**  
( ) Care of the elderly and disabled adults  
( ) Child care and youth services  
( ) Social work and counselling  
( ) Inter-disciplinary programmes and qualifications involving welfare  
**Personal services**  
( ) Personal services  
**Safety services**  
( ) Safety services  
**Security services**  
( ) Security services  
**Transport services**  
( ) Transport service

Q8

Most questions in this survey will focus on your experience as (co-) author of this article: [Name of article]

Published in [Name of journal]

Are you the corresponding author of this article?

( ) Yes  
( ) No

If the answer is "No": Go to closing page - Thank You!
Research Funding

Q9

What type of organisation(s) or individuals funded the research work?

Please tick all the options that apply

[ ] National government institutions, such as funding agencies or government research institutes
[ ] Business enterprises, including research institutes run by industry
[ ] Higher education, including hospitals affiliated to universities
[ ] Non-profit institutions, such as charities
[ ] Private donors
[ ] International organisations, such as the European Commission or CERN
[ ] Yourself
[ ] Other: ______________________________________________________

Q10

Approximately, how many different organisations played an active role in funding the work?

( ) One
( ) Two
( ) Three
( ) Four
( ) Five
( ) Six
( ) Seven
( ) Eight
( ) Nine
( ) Ten

Q11

How was the work funded?

Please tick all the options that apply

[ ] Research contract
[ ] Grant
[ ] Bursaries
[ ] Contributions to joint project
[ ] Your organisation’s own funds
[ ] Your own personal funds, e.g. part of your normal salary for other activities

Q12

Did any of the funding organisation(s) provide resources specially dedicated for publication and dissemination purposes?

( ) Yes
( ) No

Q13

In particular, did any of the funder(s) establish in advance any specific rules or conditions for dissemination of the research results? (For example, did they require you make the article, the data, other products, etc. freely available)

( ) Yes
( ) No
( ) I don’t know

OECD Survey of Scientific Authors
Q14

Show if: Question #13 was answered ("Yes")

Could you please explain?

_________________________________________________

Q15

To the best of your knowledge, did any of the funder(s) retain special rights on the use of the research results? (For example, right to disclose, right to use for own commercial purposes, right to suppress results, etc.)

( ) Yes  ( ) No  ( ) I don't know

Q16

Show if: Question #15 was answered ("Yes")

Could you please explain which rights?

_________________________________________________
Sources of knowledge

Q17

Could you please rate how important were the following sources for the research work that led to your article?

<table>
<thead>
<tr>
<th>Source</th>
<th>Important</th>
<th>Not important</th>
</tr>
</thead>
<tbody>
<tr>
<td>Peer-reviewed scientific literature</td>
<td>( )</td>
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<tr>
<td>Working papers, etc, prior to peer review</td>
<td>( )</td>
<td>( )</td>
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<tr>
<td>Books</td>
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</tr>
<tr>
<td>Technical literature, standards, etc.</td>
<td>( )</td>
<td>( )</td>
</tr>
<tr>
<td>Suggestions from colleagues, supervisors or team members</td>
<td>( )</td>
<td>( )</td>
</tr>
<tr>
<td>Presentations, given or attended, at conferences, symposia, workshops</td>
<td>( )</td>
<td>( )</td>
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<tr>
<td>Public media, the internet, etc.</td>
<td>( )</td>
<td>( )</td>
</tr>
<tr>
<td>Databases and records</td>
<td>( )</td>
<td>( )</td>
</tr>
</tbody>
</table>

Q18

Were any of the following major obstacles to using external sources in your work? Please tick all the options that apply

- [ ] Knowing where to find relevant sources
- [ ] Assessing the quality of the sources
- [ ] Restrictions on access
- [ ] Meeting legal access conditions
- [ ] Securing financial resources to access and/or use

Q19

Please think about the peer-reviewed scientific literature you used for your article. Did you use the following modes of access?

<table>
<thead>
<tr>
<th>Mode of Access</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Had to pay directly to access, with personal or project funds</td>
<td>( )</td>
<td>( )</td>
</tr>
<tr>
<td>Had access through the institution’s own subscribed services</td>
<td>( )</td>
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</tr>
<tr>
<td>Used articles available in public repositories and other open access sources</td>
<td>( )</td>
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</tr>
<tr>
<td>Obtained a copy directly from the author</td>
<td>( )</td>
<td>( )</td>
</tr>
<tr>
<td>Obtained a copy from third parties</td>
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</tbody>
</table>
Q20

Please think about the references to other work contained within your article. Did you use the following types of bibliographic references?

<table>
<thead>
<tr>
<th>References to your previous work or that of your co-authors</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>References to work by your colleagues or supervisors</td>
<td>( )</td>
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<tr>
<td>References to work proposed by reviewers and editors</td>
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<tr>
<td>References to documents whose methods, findings and conclusions, are criticised or refuted by your own work?</td>
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<tr>
<td>References to datasets</td>
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</table>

Q21

In your line of research and based on your experience, how often do you come across significant errors in the literature?

[ ] Never
[ ] Rarely
[ ] Sometimes
[ ] Often
[ ] Most of the time

Q22

Do the acknowledgements in your article include references to any of the following?

*Please tick all options that apply*

[ ] The organisations and individuals providing funding
[ ] Individuals who provided ideas and suggestions
[ ] Individuals or organisations that provided access to data or records
[ ] Individuals or organisations who hosted you
[ ] Individuals or organisations who provided access to their facilities
[ ] Other contributors or assistants
[ ] Editors or referees
[ ] Other, please specify: _____________________________________________

OECD Survey of Scientific Authors
Disclosure, peer review and publication

Q23
Which was the main criterion underpinning your approach to dissemination?
*Drag items from the left-hand list into the right-hand box to rank them placing the most relevant at the top*

___ Target a specific, specialist audience  ___ Publication in highest possible ranked journal
___ Reach the widest possible audience  ___ Target a specific user community
___ Release results as soon and timely as possible

Q24
Which factors have influenced the disclosure decision – including what information to disclosure and in which way?
*Please tick all that apply*

[ ] Positive results supporting the work hypothesis
[ ] Commercial interests
[ ] General interest/relevance of results
[ ] Career progression/evaluation criteria
[ ] Confidentiality
[ ] Other, please specify: __________________

Q25
Was the decision to disclose accompanied by any of the following?
*Please tick all that apply*

[ ] Patent application
[ ] Trademark application
[ ] Launch of product
[ ] Creation of a company or start up
[ ] Press or web release
[ ] Media campaign
[ ] Presentation at scientific conferences
[ ] Presentation to policy officials
[ ] Presentation to organisation or company board, or senior managers
[ ] Other, please specify: __________________

Q26
Was this article, or very similar versions, previously submitted to any other journal?

[ ] No
[ ] Yes, to one other journal
[ ] Yes, to two other journals
[ ] Yes, to more than two other journals

OECD Survey of Scientific Authors
Q27
Did you or your co-authors place an earlier version of your paper in a public open repository?

[ ] Yes  [ ] No

Q28
To the best of your knowledge, how many times was your article submitted to a journal, including the one it was published in, including rewrites and revisions?

( ) One  ( ) Three  ( ) Five or more
( ) Two  ( ) Four

Q29
Overall, how long did it take from submission of a very first draft (to a peer-reviewed journal) and its release?

( ) Less than one month  ( ) Between six and nine months  ( ) Between two and three years
( ) Between one and three months  ( ) Between nine months and one year  ( ) More than three years
( ) Between three and six months  ( ) Between one and two years

Q30
How many reviews have you received from the journal that ultimately published your article?

( ) One  ( ) Four
( ) Two  ( ) Five
( ) Three  ( ) More than five

Q31
Did the editors or the reviewers ask for detailed data or other documentation which were not included in the publication?

( ) Yes, mainly for quality assurance
( ) Yes, mainly for dissemination
( ) Yes, for both reasons
( ) No
Q32

Is your article available from the publisher alongside other additional data or digital material?

( ) Yes
( ) No

Q33

Show if: Question #32 was answered ("No")

Can you explain why?

_________________________________________________

Q34

In your personal opinion, did comments from editors and reviewers:

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
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<tbody>
<tr>
<td>Reflect a thorough and professional assessment of the document</td>
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<tr>
<td>Identify major mistakes</td>
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<td>Identify minor mistakes/inaccuracies</td>
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<td>Point to relevant omitted references</td>
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<tr>
<td>Contribute to improving the scientific content of the document</td>
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<tr>
<td>Contribute to improving the presentation of the document</td>
<td>[ ]</td>
<td>[ ]</td>
</tr>
<tr>
<td>Contribute suggestions you would like to develop/have developed separately</td>
<td>[ ]</td>
<td>[ ]</td>
</tr>
</tbody>
</table>

Q35

How well informed do you feel about the conditions in your author licence agreement

( ) Well Informed
( ) Fairly well informed
( ) Not very well informed
( ) Not at all informed

Comments:

_________________________________________________
Submission and publication costs

Q36

To the best of your knowledge, did you or your organisation pay any fees or charges for the submission and/or publication of your article?

( ) Submission fees only   ( ) Fees covered both submission and publication
( ) Publication fees only   ( ) No fees were paid at all

If the answer to question #36 is (“Submission fees only” or “No fees were paid at all”) go to question #44

Q37

Who paid these fees (submission and publication)?

Please tick all that apply

[ ] Author(s)’ institution
[ ] Business or institution financing the project
[ ] Yourself or team members, out of personal funds
[ ] Yourself or team members, out of project funds
[ ] Other, please specify: __________________________

Q38

Can you recall how much was paid?

<table>
<thead>
<tr>
<th>Amount</th>
<th>Currency</th>
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<tr>
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</table>

Q39

Did this payment include the right to ensure open and free of charge access to the document?

( ) Yes   ( ) No

The next group of questions (40 to 43 included) will show when: (Question "To the best of your knowledge, did you or your organisation pay any fees or charges for the submission and/or publication of your article?" #36 is one of the following answers ("Publication fees only", "Fees covered both submission and publication") AND Question "Did this payment include the right to ensure open and free of charge access to the document?" #39 is one of the following answers ("No"))
Q40

Imagine that after having reviewed and accepted your article, the journal had offered you the option to pay US$<random amount> as a charge per document, in order to ensure that your article would be permanently available to readers on an open and free of charge basis.

Would you have been willing to pay that amount, out of your own pocket, at that time?

( ) Yes

( ) No

If the answer to question #40 is (“No”) go to question #42

Q41

Could you please explain why?
Please choose the option that best reflect your reason.

[ ] I receive dedicated research funds to pay for access

[ ] I believe it would be worthwhile from a career perspective

[ ] It is a very small sum

[ ] I believe it would be useful for knowledge sharing among academics

[ ] I believe it would make it easier for some non-academics to access

[ ] Other, please specify: ______________________

Q42

Could you please explain the reason why you would refuse to pay that amount?
Please choose the option that best reflect your reason

( ) The journal is already open access

( ) The document was subject only to temporary embargo

( ) The author should not bear the cost of third party access

( ) The amount is disproportionate to the actual cost of publishing

( ) I don’t have the resources to pay for this

( ) It would not be worthwhile for me as an author to pay extra for open access

( ) Other, please specify: ______________________

Q43

Would you have been willing to pay that amount to ensure publication and open access in any other journal of your choice? (e.g. the top rated journal in the field)

( ) Yes

( ) No

The next group of question (44 to 47 included) will show when: Question "To the best of your knowledge, did you or your organisation pay any fees or charges for the submission and/or publication of your article?" #36 is one of the following answers ("Submission fees only", "No fees were paid at all")
Q44

In your previous response, you indicated no payment was made for publication purposes. Now we would like you to consider a hypothetical scenario.

Imagine that after having reviewed and accepted your article, the journal had offered you the option to pay US$ [invite("custom 3") as a charge per document, in order to ensure that your article would be permanently available to readers on an open and free of charge basis.

Would you have been willing to pay that amount, out of your own pocket, at that time?

( ) Yes
( ) No

If the answer to question #44 is (“No”) go to question #46

Q45

Could you please explain why?
Please choose the option that best reflect your reason

( ) I receive dedicated research funds to pay for access
( ) I believe it would be worthwhile from a career perspective
( ) It is a very small sum
( ) I believe it would be useful for knowledge sharing among academics
( ) I believe it would make it easier for some non-academics to access
( ) Other, please specify: _________________________________________________

Q46

Could you please explain the reason why you would refuse to pay that amount?
Please choose the option that best reflect your reason

( ) The journal is already open access
( ) The document was subject only to temporary embargo
( ) The author should not bear the cost of third party access
( ) The amount is disproportionate to the actual cost of publishing
( ) I don’t have the resources to pay for this
( ) It would not be worthwhile for me as an author to pay extra for open access
( ) Other, please specify: _________________________________________________

Q47

Would you have been willing to pay that amount to ensure publication and open access in any other journal of your choice? (e.g. the top rated journal in the field)

( ) Yes
( ) No

OECD Survey of Scientific Authors
Access to research outputs and related material

Q48

Please think about other users that may wish to access your document. Is this article available from the publisher free of charge?

( ) Yes ( ) No ( ) I don't know

Q49

Have you, your organisation or someone else placed a version (e.g. pre-print) of the document on a freely accessible and searchable repository?

( ) Yes ( ) Not that I am aware

Q50

Was the document subject to a defined embargo period, after which it became or will become freely available?

( ) Yes ( ) No ( ) I don't know

Q51

Show if: Question #50 was answered ("Yes")

How long was the embargo period? ________________________________

Q52

For the article under examination, has another researcher or organisation asked you for access to data or other research materials?

( ) Yes ( ) No

If the answer to question #52 is ("No") go to question #56

Q53

How have you responded to requests to share data, records or protocols related to your article?

[ ] You were prevented from sharing because you were not legally allowed to do so. [ ] Ignored the message

[ ] You granted immediate access [ ] Asked for further clarification

[ ] You took initial steps to grant access [ ] Other, please specify: _________________

OECD Survey of Scientific Authors
Q54

How would you respond to a request to share data or records related to your article?

[ ] You would be prevented from sharing because you are not legally allowed to do so.
[ ] You would grant immediate access
[ ] You would take initial steps to grant access

[ ] You would Ignore the message
[ ] You would ask for further clarification
[ ] Other, please specify: __________________________

Q55

In your opinion, would another research team be able to attempt to replicate your research results with the information publicly available?

( ) Yes
( ) Yes, but it would be difficult
( ) No

Comments: __________________________________________

Q56

To the best of your knowledge, your publication has been cited in:  
Tick all that apply

[ ] Peer-reviewed academic journals listed in major indexes
[ ] Peer-reviewed academic journals not listed in major indexes
[ ] Working paper series
[ ] Technical documents, such as patent applications, standards
[ ] Policy documents or government scientific reports
[ ] Practitioner’s protocols (e.g. GP guides)
[ ] Media (traditional, blogs, twitter feeds)
[ ] Legal proceedings
[ ] Other, please specify: __________________________

Q57

What would you say have been the main direct or indirect impacts of the publication of this article on your career so far?  
Tick all that apply

[ ] New promotion opportunities
[ ] Increased income
[ ] Recognition within your organisation
[ ] Recognition within your country
[ ] International recognition
[ ] Hard to tell
[ ] Too early to tell
[ ] No significant impact on its own

[ ] Other, please specify: __________________________

OECD Survey of Scientific Authors
Current status

Q58
Are you currently in paid employment?
( ) Yes ( ) No

Q59
Is your contract indefinite?
( ) Yes ( ) No

Researchers are defined by the OECD as professionals engaged in the conception of creation of new knowledge, products, processes, methods and systems and also in the management of the projects concerned.

Q60
Would you consider yourself to be currently working as a researcher?
( ) Yes ( ) No

Q61
Is research a full or part time occupation for you?
( ) I work full time on research
( ) I work full time, but my work time is also dedicated to other activities, e.g. management, operations, teaching, health care, etc.
( ) I work part time fully dedicated to research
( ) I work part time, but my work time is also dedicated to other activities, e.g. management, operations, teaching, health care, etc.

Q62
Have you peer-reviewed for a scientific journal over the last two years?
( ) Yes, on a remunerated basis ( ) Yes, both
( ) Yes, on a non-remunerated basis ( ) No

OECD Survey of Scientific Authors
Q63
Have you served in a scientific journal’s editorial board over the last two years?

( ) Yes, on a remunerated basis  ( ) Yes, both
( ) Yes, on a non-remunerated basis  ( ) No

Q64
Over the last year, have you received unsolicited mails to join a new open access journal?

<table>
<thead>
<tr>
<th>Role</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>As editor</td>
<td>( )</td>
<td>( )</td>
</tr>
<tr>
<td>As reviewer</td>
<td>( )</td>
<td>( )</td>
</tr>
<tr>
<td>As contributor</td>
<td>( )</td>
<td>( )</td>
</tr>
</tbody>
</table>

Q65
Which category best describes your current gross annual earnings (in US Dollars)?

( ) Under $15,000  
( ) $15,000 - $29,999  
( ) $30,000 - $44,999  
( ) $45,000 - $59,999  
( ) $60,000 - $74,999  
( ) $75,000 - $89,999  
( ) $90,000 - $104,999  
( ) $105,000 - $119,999  
( ) $120,000 - $149,999  
( ) $150,000 - $199,999  
( ) $200,000 or more  
( ) I prefer not to answer

Closing page

Thank You!

Thank you for taking our survey. Your response is very important to us.