

**KU LEUVEN**

**KU LEUVEN CENTRE  
OF EXCELLENCE**

# BETTER INTERNATIONAL RULES FOR INCLUSIVE GLOBALISATION

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Leuven Centre  
for Global  
Governance Studies



# Landscape of Transnational Regulatory Initiatives



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# Transnational Regulatory Approaches

## Governance Triangle (Abbot & Snidal 2009)

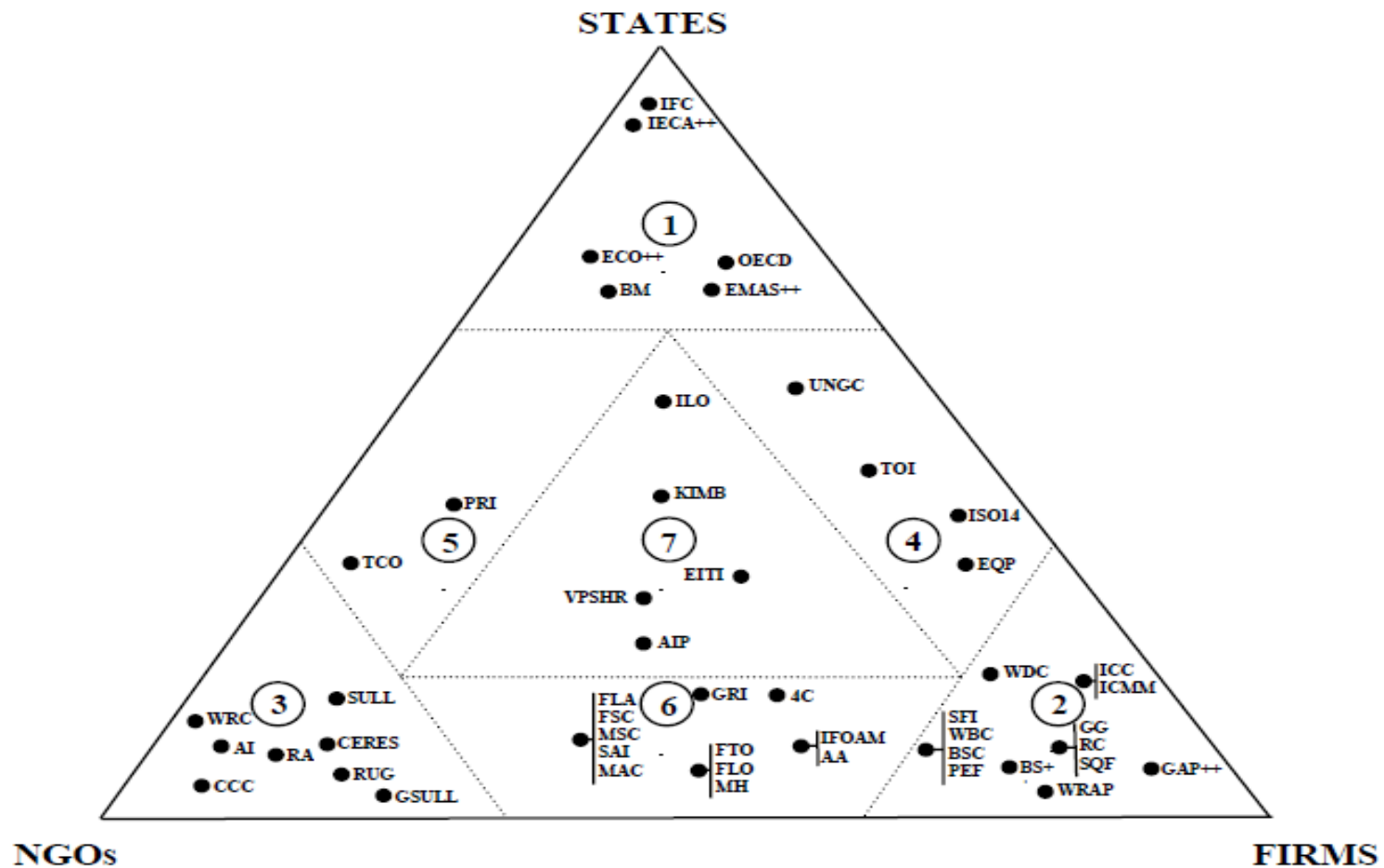
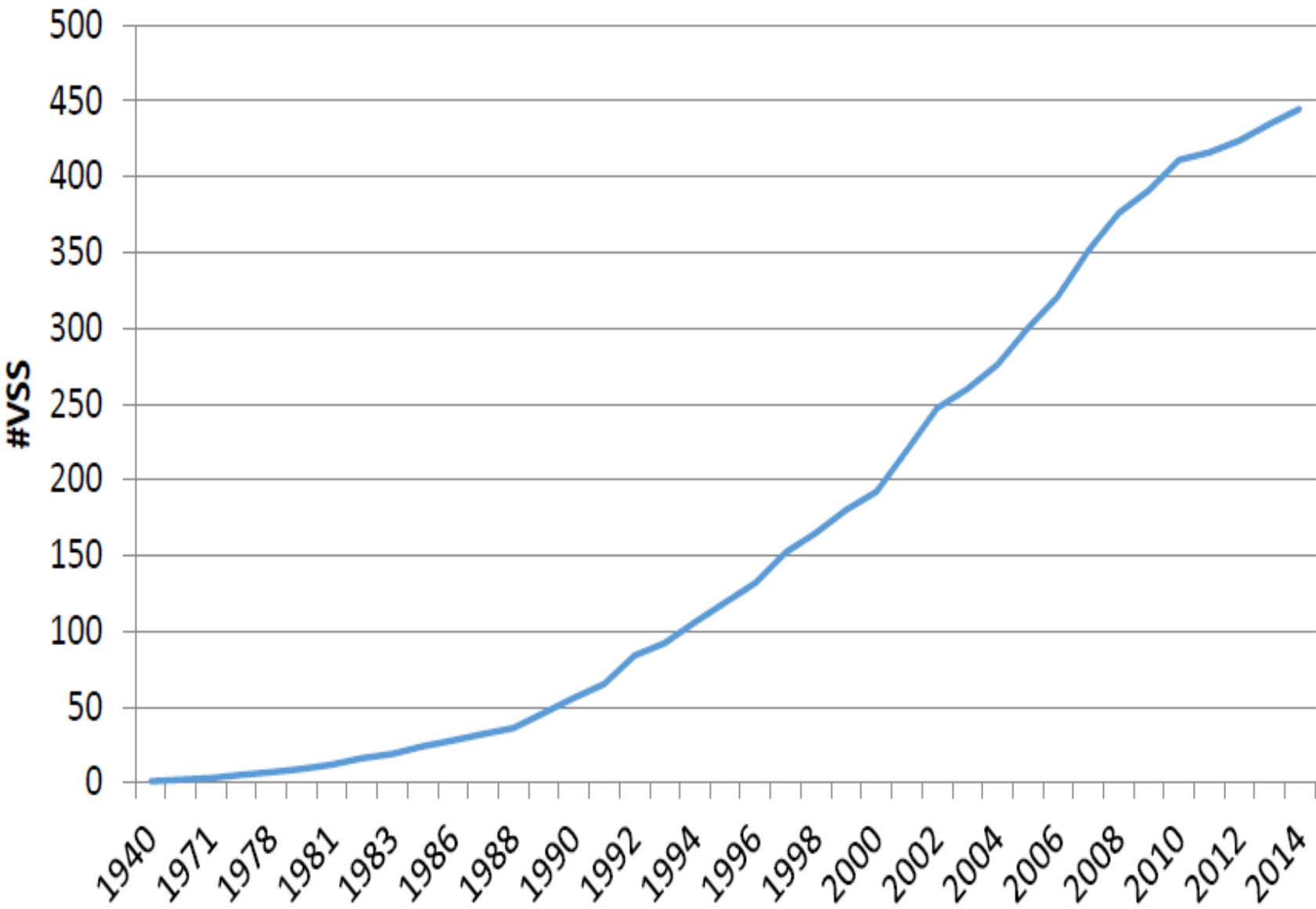




Figure 1: Number of Cumulative VSS: 1940-2014



# How to think about Effectiveness ?

Design of regulatory measures

Process – Adoption of regulatory measures

Goal Attainment – Impact on the Ground

Constitutive Effectiveness



# Diversity in Design

(Marx, 2013 – Marx & Wouters, 2015)

*Figure 1: The Institutional Diversity of VSS*

				Open and Consensus Based Standard setting			
				Present (P)		Absent (A)	
				3 <sup>rd</sup> Party		3 <sup>rd</sup> Party	
				P	A	P	A
Public Information Transparency	A	Dispute	A	155	173	7	3
			P	33	8	0	0
	P	Dispute	A	19	12	1	0
			P	12	3	0	0

# Adoption

- Adoption of regulatory measures

Table 4: Percentage of certified entities on total number of certified entities by country income level

	<b>High Income Economies</b>	<b>Upper Middle Income Economies</b>	<b>Lower Middle Income Economies</b>	<b>Low Income Economies</b>
<b>GlobalGAP</b>	79,19%	12,66%	6,16%	2,00%
<b>FSC FM</b>	48,37%	30,87%	18,85%	1,91%
<b>FSC CoC</b>	74%	22,14%	3,74%	0,12%

Source: own calculations on the basis of FSC: Facts and Figures May 2014 for FSC and GLOBALG.A.P annual report – Worldbank Country Ranking

- Impact on the ground - Change in behaviour of rule-takers





# Constitutive effectiveness

- refers to the acceptance by a large group of stakeholders.
  - Recognition by states (regulation, policy discourse, PP)
  - Recognition by companies
  - Recognition by CSOs



# Cooperation and Coordination



# Forms of Coordination

- Proliferation of regulatory initiatives  
=> Fragmentation
- Different forms of cooperation
  - Recognition systems
  - Cooperation
  - Mergers



# Barriers to cooperation

- Differences in logic/vision
- Differences in standards
- Differences in enforcement

