

Implementation of Regulatory Impact Assessment in the Water Regulator of Peru (SUNASS) Capacity Building Workshop

3-12 November 2020

1. Background

The purpose of the workshop is to develop the skills necessary for SUNASS public officials to prepare a Regulatory Impact Analysis (RIA), and to be able to carry out a quality assessment of the RIA. This workshop has a learning approach through the evaluation and discussion of real cases, and from a constant dialogue with the facilitators. As a final exercise, participants will evaluate the quality of real RIAs.

Given the restrictions of travel and face-to-face meetings by COVID-19, the OECD will conduct the remotely, through virtual tools.

2. Speakers

2.1. OECD Speakers:

- Nick Malyshev, Head of the OECD Regulatory Policy Division (TBC)
- Oriana Romano, Head of Unit, Water Governance and Circular Economy Cities; Urban Policies, and Sustainable Development Division; Centre for Entrepreneurship, SMEs, Regions and Cities, OECD
- Manuel G. Flores, Coordinator of the OECD Regulatory Policy Programme for Latin America.
- Daniel Trnka, Coordinator of the OECD Management and Regulatory Implementation Programme (TBC)
- Florentin Blanc, Senior Policy Analyst, OECD Regulatory Policy Division (TBC)
- Alberto Morales, Economist, OECD Regulatory Policy Division.
- Andrés Blancas Economist, OECD Regulatory Policy Division.
- Gloriana Madrigal Economist, OECD Regulatory Policy Division.

2.2. Guest speakers (TBC):

- Tessy Torres, President, Environmental Assessment and Enforcement Agency (OEFA), Peru
- Federal Telecom Institute of Mexico, **TBC**
- Michelle Ashford, COO, Water Commission, Scotland

- Sam Ghibaldan, Customer Forum Director, Water Commission, Scotland
- Diego Felipe Polanía Chacón, Executive Director, Water and Sanitary Services Commission, Colombia
- CONAGUA, (National Water Commission), Mexico, **TBC**
- Fabiola Perales, regulatory policy expert and former staff of CONAMER

3. Workshop overview

November		Monday 2	Tuesday 3	Wednesday 4	Thursday 5	Friday 6	
							Presentation Case study
09:00			Opening	Case 2 – Definition of the problem Case 3 - Objectives		Impact Assessment	
09:15		RIA in the governance cycle					
09:30							
09:45							
10:00		Break	Break				
10:15		Case 1 – Assessment Committee	Alternatives to regulation				
10:30							
10:45		Break					
11:00		Definition of the problem and objectives of the regulation	Case 4 – Alternatives to regulation		Break		
11:15							
11:30					Case 5 – Impact assessment		
11:45							
12:00							
12:15							

	Monday 9	Tuesday 10	Wednesday 11	Thursday 12
09:00		Public consultation	Case 7 – Compliance strategies	Challenges in the regulation of sanitary services
09:15			Break	
09:30		Break	Monitoring and evaluation	Break
09:45		Case 6 – Public consultation		
10:00		Break	Break	Elements and strategies in the implementation of RIA
10:15		Compliance strategies	Case 8 – Monitoring and evaluation	
10:30				
10:45				
11:00				
11:15				
11:30				
11:45				
12:00				Discussion panel and final remarks
12:15				

4. Detailed programme

Session 1: Tuesday 3 November 2020		
Access link: https://meetoecd1.zoom.us/j/92571588296?pwd=N0ltNVFETStCRXVoSzhvSHpQdm1kUT09		
	Time	Session
1.1	9:00 - 9:15	Welcoming and inauguration of the workshop <ul style="list-style-type: none"> Iván Lucich, President of SUNASS Nick Malyshev, Head of the OECD Regulatory Policy Division Enrique Carlos Cárdenas Aréstegui, Technical Secretary for the OECD-Peru Commission, Ministry of Foreign Affairs
1.2	9:15 - 10:15	RIA in the context of regulatory policy and the governance cycle, and the experience of OECD countries. <i>Speakers:</i> <ul style="list-style-type: none"> Manuel G. Flores, OECD (20 min) Federal Telecom Institute of Mexico (TBC) (20 min) Q & A (20 min)
	10:15 - 10:30	Break
1.3	10:30 - 11:00	Case study 1 - RIA Assessment Committee <i>Speakers:</i> <ul style="list-style-type: none"> OECD facilitators
	11:00 - 11:15	Break
1.4	11:15 - 12:15	Definition of the problem and public policy objectives <i>Speakers:</i> <ul style="list-style-type: none"> Gloriana Madrigal, OECD (20 min) Fabiola Perales, Mexico (20 min) Q & A (20 min)
		End of Session 1

Session 2: Wednesday 4 November 2020		
Access link: https://meetoecd1.zoom.us/j/95587861962?pwd=SUtRN2RCVTIJOUVXRVMxWUZmNzZ1QT09		
	Time	Session
2.1	9:00 – 9:40	Case study 2 – Problem Definition <i>Speakers:</i> • OECD facilitators
2.2	9:40 – 10:20	Case study 3 – Objectives of the regulation <i>Speakers:</i> • OECD facilitators
	10:20 - 10:30	Break
2.3	10:30 – 11:30	Alternatives to regulation <i>Speakers:</i> • Alberto Morales, OECD (20 min) • Fabiola Perales, Mexico (20 min) • Q & A (20 min)
2.4	11:30 – 12:15	Case study 4 – Alternatives to regulation <i>Speakers:</i> • OECD facilitators
		End of Session 2

Session 3: Friday 6 November 2020		
Access Link: https://meetoecd1.zoom.us/j/94263160566?pwd=WHZPNFYOFQycDYrWmJVRFRRNzFzUT09		
	Time	Session
3.1	9:00 – 11:00	Impact assessment <i>Speakers:</i> • Andrés Blancas, OECD (20 min) • Federal Telecom Institute of Mexico (TBC) (45 min) • Fabiola Perales, Mexico (35 min) • Q & A (20 min)
	11:15 - 11:30	Break
3.2	11:30 – 12:15	Case study 5– Impact of the regulation <i>Speakers:</i> • OECD facilitators
		End of Session 3

Session 4: Tuesday 10 November 2020		
Access link: https://meetoecd1.zoom.us/j/97646507885?pwd=eEdNamozV1JQOVJ2WFFBwJhIeU5BUT09		
	Time	Session
4.1	9:00 - 10:00	Public consultation <i>Speakers:</i> <ul style="list-style-type: none"> • Daniel Trnka, OECD (20 min) • Sam Ghibaldan, Water Commission, Scotland (30 min) • Q & A (10 min)
	10:00 - 10:15	Break
4.2	10:15 - 11:00	Case study 6 - Public consultation <i>Speakers:</i> <ul style="list-style-type: none"> • OECD facilitators
	11:00 - 11:15	Break
4.3	11:15 - 12:15	Enforcement and Inspection strategies <i>Speakers:</i> <ul style="list-style-type: none"> • Florentin Blanc, OECD (20 min) • Tessy Torres, OEFA (20 min) • Q & A (20 min)
		End of Session 4

Session 5: Wednesday 11 November 2020		
Access link: https://meetoecd1.zoom.us/j/91710399122?pwd=Sis1TIUvbThUWFFNIMIRhTFpuMG16QT09		
	Time	Session
5.1	9:00 - 9:45	Case study 7 - Compliance strategies <i>Speakers:</i> <ul style="list-style-type: none"> • OECD facilitators
	9:45 - 10:00	Break
5.2	10:00 - 11:00	Monitoring and evaluation <i>Speakers:</i> <ul style="list-style-type: none"> • Andrés Blancas, OECD (20 min) • Federal Telecom Institute of Mexico (TBC) (20 min) • Q & A (20 min)
	11:00 - 11:15	Break
5.3	11:15 - 12:00	Case study 8- Monitoring and evaluation <i>Speakers:</i> <ul style="list-style-type: none"> • OECD facilitators
		End of Session 5

Session 6: Thursday 12 November 2020		
Access link: https://meetoeed1.zoom.us/j/95610962434?pwd=aXhyZ0FhT1lDVHlKbIVVTU9pdlk0dz09		
	Time	Session
6.1	9:00 - 10:15	Challenges in the regulation of water and sanitary services and possible solutions Speakers: <ul style="list-style-type: none"> • Oriana Romano, OECD (20 min) • CONAGUA, Mexico (TBC) (20 min) • Michelle Ashford, Water Commission, Scotland (20 min) • Q & A (15 min)
	10:15 - 10:30	Break
6.2	10:30 - 12:00	Elements and strategies for the implementation of RIA Speakers: <ul style="list-style-type: none"> • Manuel G. Flores, OECD (20 min) • Daniel Trnka, OECD (25 min) • Diego Felipe Polanía, Chacón CRA, Colombia (TBC) (25 min) • Q & A (20 min)
6.3	12:00 - 12:30	Discussion panel and final remarks Speakers: OECD
		End of the workshop

5. Facilitators for the case studies

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