



SESSION 6:

REGULATORY PERFORMANCE INDICATORS

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Purpose of the Presentation

1. Results-based management and performance information
2. Policy frameworks that influence regulatory reform and performance indicators
3. Performance measurement and evaluation plan for regulatory initiatives in Canada
4. Indicators of effective regulatory system



Results-based Management and Performance Information

To manage for results, the Government of Canada (GoC) established a number of key initiatives:

- Improved Reporting to Parliament
 - to inform parliamentarians of the GoC planned spending, results and management with Report on Plans and Priorities (RPP)
 - to report actual performance with the Departmental Performance Report (DPR)
- Management, Resources and Results Structure (MRRS) policy
 - to support a detailed whole-of-government understanding of ongoing programs
- Policy on Evaluation
 - to have better ongoing measurement, periodic evaluation and use of performance information
- Cabinet Directive on Streamlining Regulation (CDSR)
 - to conduct policy analysis
 - to develop a performance measurement and evaluation plan for high-impact regulations



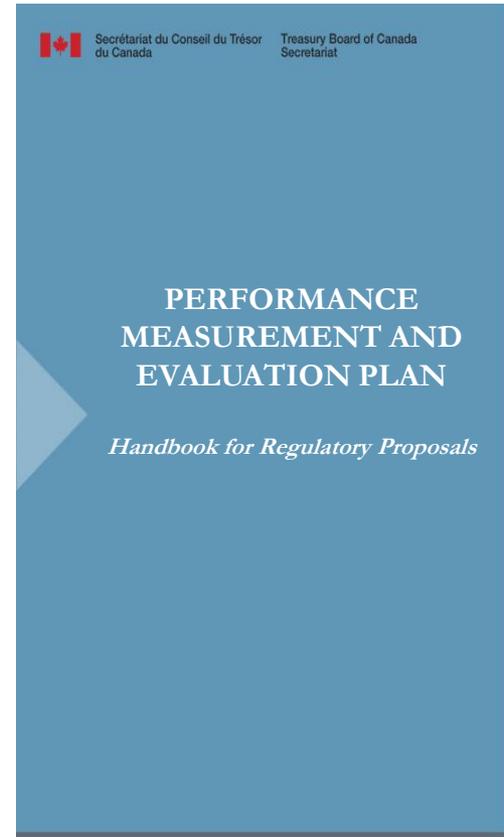
Policy Framework and Performance Indicators

The CDSR requires policy analysis and impact assessment including:

- rationale for regulation as the best alternative
- consultations with stakeholders
- inter-governmental agreements
- costs and benefits
- minimum adverse impacts on the economy
- monitoring, evaluation and review
 - ensuring that regulation continually meets its initial policy objectives on an ongoing basis;
 - measuring and reporting on performance; evaluating regulatory programs; and reviewing regulatory frameworks
 - high-risk impact regulatory programs require a Performance Measurement and Evaluation Plan (PMEP)

Performance Measurement and Evaluation: Handbook for Regulatory Proposals

- Implemented July 1, 2009
- Regulatory organizations are responsible for:
 - Measuring, monitoring & evaluating the extent to which regulatory programs attain intended policy objectives
 - Reviewing when the evaluation demonstrates that the regulatory activities are not achieving the intended outcomes
 - Adjusting the regulatory program, as needed
 - Providing an accurate account of progress and results to Canadians in a timely manner
- Regulatory activities are taken to include the regulations as well as related program activities





Requirements of Performance Measurement & Evaluation Plan

Key sections of the PMEP:

1. Description and Overview

1. Logic Model

3. Indicators

- Relevant and valid;
- Prioritized and limited in number;
- Balanced and comprehensive;
- Meaningful and understandable;
- Timely and actionable;
- Cost-effective to measure

4. Measurement, Reporting and Evaluation Strategy

5. Linkage to the organization's performance management framework

6. Approval and contacts



Performance Measurement Practices

- Since the implementation of the CDSR in April 2007, over 20 PMEPs have been developed for high-impact regulations;
- It takes between 6 – 10 months to develop a high-impact PMEPE depending on the complexity of the regulations;
- PMEPEs are effective in bridging policy with regulatory;
- Challenges with PMEPE process:
 - Senior management attention is required for PMEPEs implementation;
 - Cultural change: breaking down silos within an organization
 - engaging several units: enforcement, compliance, corporate planning, performance measurement , internal audit and program evaluation
 - Performance measures and indicators are often process related rather than outcomes oriented.



Indicators of Effective Regulatory System

- Looking into the future, the challenge is in developing meaningful indicators that assess the impact of Canada's regulatory system on
 - innovation / competition
 - economy
 - secure marketplace
 - environment
 - health
 - safety and security of Canadians
- The goal is to develop high-level indicators to help gauge the soundness / effectiveness of the Canadian regulatory governance and policy regime.



Additional Information

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- <http://www.tbs-sct.gc.ca/ri-qr/index-eng.asp>
- www.regulation.gc.ca
 - Performance Measurement and Evaluation Plan Handbook for Regulatory Proposals
 - www.tbs-sct.gc.ca/ri-qr/documents/pmep-pmre/pmep-pmre00-eng.asp
- Canada School for the Public Service
 - www.myschool-monecole.gc.ca
 - Regulatory Performance Measurement and Evaluation Course (R003)