

---

**2016 OECD SURVEY OF INFRASTRUCTURE GOVERNANCE**

---

# OBJECTIVES and OVERVIEW OF THE SURVEY

---

## Survey Background and Objectives

The 2016 OECD Survey aims to gather information that describes how countries have organized the governance of infrastructure i.e. the institutional roles, procedures, assessment tools and related issues. The results were presented and discussed at the Annual Network Meeting of Senior Infrastructure and PPP Officials on 20-21 March 2017.

## Structure of Questionnaire

Section 1. Overview of the institutional framework

Section 2. Planning and prioritization

Section 3. Project development

Section 4. Determining the infrastructure procurement approach and choosing the delivery modality

Section 5. Tendering and contracting

Section 6. Budgeting, accounting and audit practices

Section 7. The operational phase of the asset

Section 8. Corruption

Section 9. Infrastructure investment data

You can open the [Glossary](#) by right-clicking on it.

## **How to complete**

Countries are kindly asked to answer sections 1 to 10.

If you don't have an immediate answer, and cannot obtain the necessary information based on two phone calls, or if the question does not apply to your country, please indicate this and move to the next question.

In case you cannot complete the survey in one sitting or would like to share the responsibility of responding with other colleagues, please use the "Next" button at the bottom of each page which will automatically save your answers for the given page. You, or other officials, can then return to the partially completed survey at a later time before the deadline.

Once you have completed the survey, click on the "Finish" button which will save and submit your answers, together with an automatically generated email which summarizes your responses.

Currently, the recommended browser for using Checkbox is Google Chrome. Using this browser to work on the survey minimizes the risk of losing data or encountering technical difficulties.

## **Deadline**

19th February 2016.

## Contact information

---

To be filled by the person responsible for the questionnaire

**\*Country:**

**\*Name:**

**\*Institution:**

**\*E-mail:**

**\*Telephone:**

### **OECD contacts**

If you have any queries about the content of this questionnaire, please contact:

Ian Hawkesworth

Budgeting and Public Expenditures Division

Public Governance and Territorial Development Directorate

E-mail: [ian.hawkesworth@oecd.org](mailto:ian.hawkesworth@oecd.org)

Tel: +33 1 45 24 16 32

If you have any technical questions, please contact

Annamaria Tuske

Budgeting and Public Expenditures Division

Public Governance and Territorial Development Directorate

E-mail: [annamaria.tuske@oecd.org](mailto:annamaria.tuske@oecd.org)

Tel: +33 1 45 24 82 00

### **Deadline**

Please complete the survey by 19 February 2016.

# 1. Overview of the institutional framework

---

1.1 Please, describe the institutional framework of infrastructure governance/public procurement system.

	Participation of institution in infrastructure governance/public procurement system		Role of institution in infrastructure governance/public procurement system				Comments
	Yes	No	Policy guidance	Technical support	Capacity building	Other	
PPP Unit	<input type="radio"/>	<input type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Central Infrastructure Unit	<input type="radio"/>	<input type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Independent Infrastructure Commission	<input type="radio"/>	<input type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Central Budget Authority	<input type="radio"/>	<input type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Supreme Audit Institution	<input type="radio"/>	<input type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Sector regulators	<input type="radio"/>	<input type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
National Public Procurement Agency	<input type="radio"/>	<input type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Line Ministries	<input type="radio"/>	<input type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Competition Authorities	<input type="radio"/>	<input type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
<input type="text" value="Other, please"/> Other, please	<input type="radio"/>	<input type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>



**1.3 Please describe the functions of the institutions in infrastructure governance/public procurement.**

Check all that apply.

	Pre-tender			Tender			Post-award			Comments	
	Project initiation	Assessing feasibility and value for money	Budgeting	Project approval	Invitation to tender	Bid evaluation	Negotiation	Bid approval	Contract management		Payment oversight
PPP Units	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Central Infrastructure Unit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Independent Infrastructure Commission	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Central Budget Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Supreme Audit Institution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Sector regulators	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Other, please	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>

**1.4 Please describe the financial and human resources available for infrastructure investment.**

	Number of staff - approximate full time equivalent	Approximate annual budget dedicated to institutions for purposes of infrastructure governance (in national currency)	Comments
PPP Units: Independent Commission /Unit	<input type="text"/>	<input type="text"/>	<input type="text"/>
Central Infrastructure Unit	<input type="text"/>	<input type="text"/>	<input type="text"/>
Independent Infrastructure Commission	<input type="text"/>	<input type="text"/>	<input type="text"/>
Central Budget Authority	<input type="text"/>	<input type="text"/>	<input type="text"/>
Supreme Audit	<input type="text"/>	<input type="text"/>	<input type="text"/>

Institution

Sector regulators

Other, please

**1.5 What are the critical challenges of regarding infrastructure investment in your country?**

**1.6 Please provide here any other comment regarding the overview and institutional framework.**

## 2. Planning and prioritization

---

**2.1 Does your country have an overall *long term strategic infrastructure plan*?**

- Yes
- No
- Other, please specify:

**2.1a What levels of government are covered by the long term strategic plan?**

This item will be displayed when: '2.1 Does your country hav... strategic infrastructure plan?' Is Equal To 'Yes'

- The plan refers to the central government level
- The plan integrates both central government and sub-national government projects above a relevant size
- Other, please specify:

**2.1b Does your country have long-term sectorial infrastructure plans?**

This item will be displayed when: '2.1 Does your country hav... strategic infrastructure plan?' Is Equal To 'No'

- Yes
- No

**2.1c Please list the sectors the long-term infrastructure plans refer to.**

This item will be displayed when: '2.1b Does your country ha...ectorial infrastructure plans?' Is Equal To 'Yes'

**2.1d What are the key pillars/drivers of the current strategic plan?**

This item will be displayed when: '2.1 Does your country hav... strategic infrastructure plan?' Is Not Equal To 'No'

Check all that apply.

- Demography
- Climate change
- Social imbalances
- Regional development imbalances
- Fiscal pressures
- Innovation policy
- Depreciation of the country's capital stock
- Transition to a low carbon energy system
- Transport bottlenecks
- Other, please specify:

**2.1e In general, how often is the strategic plan updated?**

This item will be displayed when: '2.1 Does your country hav... strategic infrastructure plan?' Is Equal To 'Yes'

- After the election of a new government
- After the election of a new president
- Ad hoc, according to political need
- Other, please specify:

**2.1f Is there a public consultation process regarding the long-term strategic plan?**

This item will be displayed when: '2.1 Does your country hav... strategic infrastructure plan?' Is Equal To 'Yes'

- Yes
- No

**2.1g Please describe the public consultation process of the strategic long-term infrastructure plan.**

This item will be displayed when: '2.1 Does your country hav... strategic infrastructure plan?' Is Equal To 'Yes'

- No consultation process, it is solely a government document
- Hearing among stakeholders (such as user groups, civil society, lower levels of government, etc.)
- Other

**2.2 Does your government have an overall short list of priority projects that is politically committed to make happen within the medium term (e.g. an electoral cycle)?**

- Yes
- No

**2.2a What levels of government and types does the short list of priority projects refer to?**

This item will be displayed when: '2.2 Does your government ...erm (e.g. an electoral cycle)?' Is Equal To 'Yes'

- The short list refers to the central government level
- The short list integrates both central government and sub-national government projects above a relevant size
- The short list refers to the sub-national government level
- Other, please specify:

**2.2b Do the projects on the short list contain privately owned and/or financed projects (e.g. privatised utilities)?**

- Yes
- No, only publicly owned and financed projects

**2.2c Please indicate the value threshold (in national currency) above which a project is included in the short list of priority projects.**

This item will be displayed when: '2.2a What levels of gover...of priority projects refer to?' Is Equal To 'The short list integrates both...projects above a relevant size'

**2.2d What criteria determine whether a project gets on the short list of priority projects?**

This item will be displayed when: '2.2 Does your government ...erm (e.g. an electoral cycle)?' Is Equal To 'Yes'

Please, in descending order of importance, drag the criteria to the right hand side, paying in particular attention to the ranking of the first five items.

Strong political backing

A strong cost /benefit analysis result - i.e. strong absolute value for money/socioeconomic benefit

Functional fit with other infrastructure assets

Part of the long term strategic plan

Strong private sector interest

Important for developing a particular sector

Strong popular backing

Strong market failures in the sector

External funding from EU or other donors

Other

**2.2e Within the short list, what determines a project's rank?**

This item will be displayed when: '2.2 Does your government ...erm (e.g. an electoral cycle)?' Is Equal To 'Yes'

- Cost-benefit analysis
- Relative value for money
- Political interest/agenda
- Other, please specify:

**2.2e Is there a short list of priority projects for sectors?**

This item will be displayed when: '2.2 Does your government ...erm (e.g. an electoral cycle)?' Is Equal To 'No'

- Yes
- No

**2.2f Please list the sectors the short list of priority projects refer to.**

This item will be displayed when: '2.2e Is there a short lis... priority projects for sectors?' Is Equal To 'Yes'

**2.3 Are there official institutions charged explicitly with developing, assessing and monitoring infrastructure policy and performance?**

- Yes
- No

**2.3a Please describe the level of operation and remit of the institution(s).**

This item will be displayed when: '2.3 Are there official in...ucture policy and performance?' Is Equal To 'Yes'

	Name of the institution	Level of operation		Remit of institution - infrastructure policy			Remit of institution - performance of infrastructure assets				Please specify if you have answered "other" as remit / comment here	
		National	Sub-national	Development	Assessment	Monitoring	Other	Improvement	Assessment	Monitoring		Other
1.	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
2.	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
3.	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
4.	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>

**2.3b Is the development, assessment and monitoring of infrastructure policy and performance part of the sectorial ministries' and authorities' remit?**

This item will be displayed when: '2.3 Are there official in...ucture policy and performance?' Is Equal To 'No'

- Yes
- No

**2.4 Is there an intergovernmental coordination mechanism for infrastructure (such as a coordination committee) ensuring the quality of coordination across jurisdictional boundaries?**

- Yes
- No

**2.4a Please describe the intergovernmental coordination mechanism.**

This item will be displayed when: '2.4 Is there an intergove...ross jurisdictional boundaries?' Is Equal To 'Yes'

Name of intergovernmental coordination mechanism	Form of the coordination mechanism			Coverage of the coordination mechanism		Comment
	Standing committee	Ad-hoc committee	Secretariat	Mandatory for all relevant bodies	Optional for participating governmental bodies	
1. <input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>

**2.5 Do national PPP units/or Infrastructure Units in the Central Government have the mandate to strengthen the capacities of sub-national governments (municipalities, regions, states) to design and run PPP or infrastructure projects in general?**

- Yes
- They don't have this mandate, but often do so
- No

**2.6 Is the decision to invest in a new infrastructure asset separate from how to procure and finance the project?**

- Yes
- No
- Not relevant, only one way of procuring assets is allowed
- Other, please specify:

**2.7 Please provide here any other comments on planning and prioritization.**

### 3. Project development

---

**3.1 Are there mandatory consultation processes that regulate engagement between the public, other stakeholders and the authorities during the development of a particular infrastructure project?**

- Yes
- No

**3.1a Please describe the consultation process(es).**

Check all that apply.

This item will be displayed when: '3.1 Are there mandatory c... of the infrastructure project?' Is Equal To 'Yes'

**At which stages of development do consultation processes take place?**

Who is the responsible authority?	Evaluation of infrastructure needs	Decision and prioritization of infrastructure	Infrastructure project preparation	Construction	Other	How is the feedback used?	Comments
1. <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>

**3.2 Is there a mandatory procedure for identifying and incorporating user needs specifically?**

- Yes
- No

**3.2a Please describe the mandatory procedure for identifying and incorporating user needs.**

This item will be displayed when: '3.2 Is there a mandatory ...ating user needs specifically?' Is Equal To 'Yes'

**3.3 Is there a mandatory system that ensures systematic collection and dissemination of relevant financial and non-financial data about the project?**

	Yes	No
Collection of data	<input type="radio"/>	<input type="radio"/>
Disclosure of data	<input type="radio"/>	<input type="radio"/>
Use of data	<input type="radio"/>	<input type="radio"/>
Analysis of data	<input type="radio"/>	<input type="radio"/>

**3.4 Please, provide here any other comment on project development.**

## 4. Determining the infrastructure procurement approach and choosing the delivery modality

4.1 Please rate the relative importance of the criteria listed below in determining the delivery modality (e.g. traditional public works, use of SOE, PPP/concession or regulated private assets).

	Rate of importance				Comments:
	Very important	Some importance	Little importance	Not relevant	
	1	2	3		
The wish to use private finance sources to augment the public budget	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
Tradition in the sector for a certain delivery modality	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
The degree to which cost recovery is possible from users	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
The level of uncertainty related to future technological or societal conditions	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="text"/>
The need for increased innovation	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
The need for sharing risks with private actors	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
The need for building up a market for alternative ways of procuring public infrastructure (e.g. PPPs)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
The wish to tap private finance sources to augment the public budget	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
Political sensitivity to private sector participation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
The degree to which the output and its quality that is provided using the asset can be clearly defined ex ante	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
Is there private sector capacity of handling these kinds of projects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
Is there public sector capacity of handling these projects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
The outcome of a quantitative comparison (relative value for money test) between traditional public works	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>

or other forms of private sector participation

Availability of public sector financial resources	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
Extent of government control	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
Degree of uncertainty on revenues/benefits	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
Strength of business case	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>

**4.2 Please indicate which criteria make - any or all some of - the listed procurement methods more likely.**

Check all that apply.

	Criterion makes the below procurement method(s) more likely				Comments:
	Traditional public works	SOE	PPP/concession	Regulated private assets	
The wish to use private finance sources to augment the public budget	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Tradition in the sector for a certain delivery modality	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
The degree to which cost recovery is possible from users	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
The level of uncertainty related to future technological or societal conditions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
The need for increased innovation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
The need for sharing risks with private actors	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
The need for building up a market for alternative ways of procuring public infrastructure (e.g. PPPs)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
The wish to tap private finance sources to augment the public budget	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Political sensitivity to private sector participation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
The degree to which the output and its quality that is provided using the asset can be clearly defined ex ante	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Is there private sector capacity of handling these kinds of projects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Is there public sector capacity of handling these kinds of projects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>

The outcome of a quantitative comparison (relative value for money test) between traditional public works or other forms of private sector participation

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
--------------------------	--------------------------	--------------------------	--------------------------	----------------------

Availability of public sector financial resources

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
--------------------------	--------------------------	--------------------------	--------------------------	----------------------

Extent of government control

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
--------------------------	--------------------------	--------------------------	--------------------------	----------------------

Degree of uncertainty on revenues/benefits

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
--------------------------	--------------------------	--------------------------	--------------------------	----------------------

Strength of business case

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
--------------------------	--------------------------	--------------------------	--------------------------	----------------------

**4.3 Is there a process to carry out a quantitative comparison between different delivery modes?**

- Yes in all cases
- In all cases above a certain threshold
- On an ad hoc basis
- No
- Other, please specify:

**4.4 Is there a formal process/legal requirement for ensuring absolute value for money from infrastructure projects?**

- Yes in all cases
- In all cases above a certain value threshold
- On an ad hoc basis
- No
- Other, please specify:

**4.4a In general, is the infrastructure regulation fulfilling its intended role?**

This item will be displayed when: '4.4 Is there a formal pro... from infrastructure projects?' Is Not Equal To 'No'

- Yes
- To some extent
- No

**4.4b Please list the challenges faced by the regulation fulfilling its intended role.**

This item will be displayed when: '4.4 Is there a formal pro... from infrastructure projects?' Is Not Equal To 'No'

<input type="text"/>
----------------------

**4.5 What approaches are used for determining value for money?**

Check all that apply.

- Cost-benefit analysis including TCO during the life-cycle
- Cash-flow estimates over the project cycle
- Business case methodology
- Other, please specify:

4.6 Regarding determining absolute value for money, do methods differ between sectors?

- Yes
- No

4.6a Please specify how methods determining absolute value for money differ between sectors.

This item will be displayed when: '4.4 Is there a formal pro... from infrastructure projects?' Is Not Equal To 'No'

4.7 Are projects subject to an assessment of their affordability for the public budget and/or users?

	Coverage					Who is responsible? (name of institution/ relevant line ministry or department)	Comments
	All projects	All projects above a threshold	Certain projects	None	Not relevant		
Affordability for the public budget	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>	<input type="text"/>
Affordability for the users	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="text"/>	<input type="text"/>

4.8 What is the average length of time from the request for qualification (or similar) of contractors to bid to the award of the contract?

4.9 What usually determines whether a project received funding/is approved for procurement?

Please, in descending order of importance, drag the criteria to the right hand side, paying in particular attention to the ranking of the first five items.

Strong political backing

A strong cost/benefit analysis result i.e. strong absolute value for money/socioeconomic benefit

Functional fit with other infrastructure assets

Part of the long term strategic plan

Strong private sector interest

Important for developing a particular sector

Strong popular backing

Strong market failures in the sector

External funding from EU or other donors

Other

**4.10 Is there a dedicated procedure for identifying and allocating risks between public vs. private parties that take the cost of such allocation into account?**

- Yes
- No
- Not relevant
- Other, please specify:

**4.11 Is there a strategy or policy in place that works towards ensuring a competitive tendering process?**

- Yes
- No
- Not relevant
- Other, please specify:

**4.12 Please, provide here any other comments on determining the infrastructure procurement approach and choosing the delivery modality.**

## 5. Tendering and contracting

---

**5.1 Is there a clear set of conditions specified under which the statutory threshold for tender applies?**

- Yes, according to national regulation
- Yes, according to EU regulation
- No
- Other regulation, please specify:

**5.2 Please specify which of the listed criteria make the below forms of tendering more probable.**

Check all that apply.

	Forms of tendering						Comments:
	Open tendering	Selective tendering	Negotiated tendering	Single-stage tendering	Two-stage tendering	Other, please specify under 'Comments'.	
Political sensitivity	<input type="checkbox"/>	<input type="text"/>					
Tradition in the sector for a certain tendering form	<input type="checkbox"/>	<input type="text"/>					
The need for increased innovation	<input type="checkbox"/>	<input type="text"/>					
Ensuring sufficient level of competition	<input type="checkbox"/>	<input type="text"/>					
Unknown parameters of the output	<input type="checkbox"/>	<input type="text"/>					

**5.3 Do you have an explicit policy in place regulating situations where there is conflict of interest in the tender panel?**

- Yes
- No

**5.3a Please specify the form of the regulation related to conflict of interest.**

This item will be displayed when: '5.3 Do you have an expli... interest in the tender panel?' Is Equal To 'Yes'

- Law/regulation
- Policy guideline(s)
- Other, please specify:

**5.4 In order to ensure integrity and fairness in tendering, certain measures are relevant.**

**Please, indicate whether the measures listed below are in place in your country.**

	Existence of measure		Please, provide a web link of the given measure.	Comments:
	Yes	No		
Appeal mechanisms	<input type="radio"/>	<input type="radio"/>	<input type="text"/>	<input type="text"/>
Remedies system	<input type="radio"/>	<input type="radio"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="Other, please specify"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>	<input type="text"/>

**5.5 In general, is there a dedicated function/policy allocating sufficient resources and monitoring capacity ensuring value for money in contracting?**

- Yes
- No
- Other, please specify:

**5.6 Please, provide here any other comments on tendering and contracting.**

## 6. Budgeting, accounting and audit practices

6.1 Is the financing of the procurement types below included in the budget of the relevant (national, sub-national) government level?

	Level of government	Inclusion in the budget			If you have answered 'certain elements', please specify
		Yes	Certain elements	No	
SOE	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
traditional public works	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
PPP / concession	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
<input type="text" value="Other, please"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>

6.2 In your country, is the full cost of the asset budgeted upfront regardless of how it is implemented? (traditional public works, SOE or PPP)?

- Yes  
 No

6.3 Does the Central Budget Authority have a formal, gate-keeping role in approving infrastructure projects (i.e. if requirements set by the authority are not fulfilled, the project cannot proceed)?

- Yes  
 No

6.3a What are the criteria used by the Central Budget Authority for the approval of infrastructure projects?

This item will be displayed when: '6.3 Does the Central Bud..., the project cannot proceed)?' Is Equal To 'Yes'

	Use of the criterion				Please, comment on what determines the use of the criterion
	For all projects	For projects above a value threshold	On an ad hoc base	Not used	
Affordability	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
Value for money	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
Mandated documentation including elements such as environmental impact, cost-benefit analysis, write-up of stakeholder consultation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
<input type="text" value="Other, please"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>

**6.5 What type of Audits does the Supreme Audit Institution perform regarding infrastructure assets?**

	Existence of audits				If you have answered 'case by case', please explain what triggers the audit and place other comments here
	Yes, systematically	On a case by case basis	No	Not relevant	
Financial audit	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
With respect to performance	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
With respect to value for money	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
Analysis of assets over the life-cycle	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
<input type="text" value="Other, please"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>

**6.6 Is there a central, systematic and formal collection of information on financial and non-financial performance of infrastructure that makes it possible to compare various forms of infrastructure delivery models?**

- Yes
- No

**6.6a Who collects information on financial and non-financial performance of infrastructure?**

Check all that apply.

This item will be displayed when: '6.6 Is there a central, ...infrastructure delivery models?' Is Equal To 'Yes'

- Dedicated PPP Unit
- Central Infrastructure Unit
- Central Budget Authority
- Supreme Audit Institution
- Sector regulators
- National Public Procurement Agency
- Line Ministries
- Competition Authorities
- Other, please specify:

**6.6b Please list the most important measures of infrastructure performance of which information is collected.**

This item will be displayed when: '6.6 Is there a central, ...infrastructure delivery models?' Is Equal To 'Yes'

**6.7 What criteria are used to decide whether or not an asset involved in a private finance type/PPP project is included in the government accounts?**

Check all that apply.

- International accounting standards (IFRS or IPSAS)
- Eurostat criteria
- Assets appear on the books of the party who carries the majority of risks
- Assets appear on books of party who has control over asset
- None
- Other, please specify:

**6.8 Please provide comments here regarding the decision criteria of whether or not to include an infrastructure project in the budget.**

**6.9 Please provide here any other comments on budgeting, accounting and audit practices.**

## 7. The operational phase of the asset

---

**7.1 Is there a formal policy ensuring that the relevant line ministry or agency conducts performance assessment of each project?**

- Yes  
 No

**7.1a Where is the policy decided upon?**

This item will be displayed when: '7.1 Is there a formal po...ce assessment of each project?' Is Equal To 'Yes'

- The policy is centrally mandated  
 It is the line department's responsibility to decide upon such policies

**7.1b Please describe how performance information on infrastructure projects is collected and used.**

This item will be displayed when: '7.1 Is there a formal po...ce assessment of each project?' Is Equal To 'Yes'

	Authority responsible for collecting performance information	How is performance information used?	Availability of performance information for the public			If you have answered 'fully' or 'partially', please provide a link and place your comments here.
			Fully	Partially	Not available	
1.	<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
2.	<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>
3.	<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="text"/>

**7.2 In case of having both private and public parties in the project, when do re-negotiations usually occur?**

Check all that apply.

- If conditions change due to discretionary public policy actions  
 In case of external shocks  
 Not relevant  
 Other, please specify:

**7.3 Please provide here any other comments on the operational phase of infrastructure assets.**

## 8. Corruption

**8.1 Is there an explicit policy that seeks to minimize the risk of corruption in infrastructure governance (additional to a generic anti-corruption law)?**

	Yes	No	If you have answered 'yes', please specify the sectorial coverage		Comments
A specific law is in place	<input type="radio"/>	<input type="radio"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
The law generally has the intended impact	<input type="radio"/>	<input type="radio"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**8.2 Are there any measures in place in order to prevent corruption from happening in infrastructure governance?**

Check all that apply.

	Type of infrastructure investment				Comments
	Public works	State owned enterprise (SOE)	PPP/Concession	Regulated Private Assets	
Private contractors sign codes of conduct	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Staff members of private contractors sign integrity pacts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Private contractors are subject to spot checks by government/outside auditors	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Staff members of private contractors are subject to spot checks by government/outside auditors	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
There is an online warning system in place to share discovered corruption schemes and/or warning signs among relevant agencies on a real time basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
An anti-corruption monitoring board is in place with independent expert representation to analyse transaction and/or improve procedures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
<input type="text" value="Other, pleas"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>

**8.3 Please, provide here any other comments on corruption in infrastructure governance.**

## 9. Infrastructure investment data

---

### 9.1 Does your country have the following information for infrastructure investment?

Check all that apply.

- Infrastructure investment flow data
- Infrastructure investment stock data
- Other, please specify:

#### 9.1a Does your country have flow data in a sectorial breakdown? (Please click [here](#) for the classification of the industrial sectors.)

Check all that apply.

This item will be displayed when: '17.2 10.1 Does your country h...for infrastructure investment?' Is Equal To 'Infrastructure investment flow data'

- Electricity
- Gas
- Water
- Railway transportation
- Road transportation
- Water transportation
- Air transportation
- Telecommunications
- Other, please specify:

#### 9.1b Does your country have stock data in a sectorial breakdown? (Please click [here](#) for the classification of the industrial sectors.)

Check all that apply.

This item will be displayed when: '17.2 10.1 Does your country h...for infrastructure investment?' Is Equal To 'Infrastructure investment stock data'

- Electricity
- Gas
- Water
- Railway transportation
- Road transportation
- Water transportation
- Air transportation
- Telecommunications
- Other, please specify:

### 9.2 Please provide here any other comment related to infrastructure investment data.