

# PARTICIPATION PLAN

## Committee on Financial Markets

### References:

- 11.07.2013
- 19.09.2013
- 25.10.2013
- 12.11.2013
- 04.10.2014
- 03.06.2015
- 13.10.2015
- 17.02.2016
- 11.03.2016
- 24.05.2016
- 05.07.2016
- 06.01.2017
- 26.01.2017
- 17.11.2017
- 05.12.2017
- 17.04.2019
- 21.07.2021
- 26.11.2021
- 10.06.2022
- 31.05.2023
- 08.11.2024

<sup>A</sup> In line with the Roadmaps for the OECD Accession Process adopted by the Council at Ministerial level on 10 June 2022; on 26 March 2024; on 29 March 2024; and on 17 June 2024, Brazil, Bulgaria, Croatia, Peru and Romania; Argentina; Indonesia; and Thailand, respectively, are invited to participate, on the basis of their status as accession candidate countries, in the meetings of all OECD substantive committees and their subsidiary bodies open to participation by all OECD Members. Where they participate on the basis of their status of accession candidate countries, they will participate in all discussions except confidential sessions and have access to related documents but they will not take part in decision-making. Where they are Associates or Participants in an OECD body in line with the Resolution on Partnerships, they will maintain their status and the corresponding rights and obligations throughout the accession process.

**PARTICIPATION PLAN**

<b>OECD Body</b>	Committee on Financial Markets (CMF)
<b>Subsidiary bodies to which this Plan applies<sup>1</sup></b>	<ul style="list-style-type: none"><li>• Working Party on Debt Management (WPDM)</li><li>• Working Party on Financial Consumer Protection, Education and Inclusion (WPFCEI)</li><li>• Working Party on Insurance and Pensions (WPIP)</li><li>• Working Party on Sustainable Finance (WPSF)</li></ul>
<b>Global Relations Strategic Directions</b>	Approved on: 11 June 2021

<sup>1</sup> The current Participation Plan of the other parent bodies can be found at the following address: <https://www.oecd.org/global-relations/partnershipsinoecdbodies/>.

ASSOCIATES	
<b>Conditions</b>	<p><b>1) In the CMF only:</b></p> <ul style="list-style-type: none"> <li>● Contribute to the achievement of the Committee's mandate and programme of work, including data and information collection, statistics, and market monitoring;</li> <li>● Adhere to the following legal instruments: <ul style="list-style-type: none"> <li>○ Recommendation on High-level Principles on Financial Consumer Protection [<a href="#">OECD/LEGAL/0394</a>];</li> <li>○ Recommendation on a Policy Framework for Effective and Efficient Financial Regulation [<a href="#">OECD/LEGAL/0377</a>];</li> <li>○ Recommendation on Financial Literacy [<a href="#">OECD/LEGAL/0461</a>];</li> <li>○ Recommendation on Blockchain and Other Distributed Ledger Technologies [<a href="#">OECD/LEGAL/0470</a>].</li> </ul> </li> </ul> <p><b>2) In the WPIP only:</b></p> <ul style="list-style-type: none"> <li>● Adhere to the following legal instruments: <p><i>General:</i></p> <ul style="list-style-type: none"> <li>○ Recommendation of the Council on a Policy Framework for Effective and Efficient Financial Regulation [<a href="#">OECD/LEGAL/0377</a>].</li> </ul> <p><i>Insurance:</i></p> <ul style="list-style-type: none"> <li>○ Recommendation on Building Financial Resilience to Disaster Risks [<a href="#">OECD/LEGAL/0436</a>].</li> <li>○ Recommendation of the Council on Guidelines on Insurer Governance [<a href="#">OECD/LEGAL/0335</a>].</li> <li>○ Recommendation of the Council on Good Practices for Insurance Claim Management [<a href="#">OECD/LEGAL/0330</a>].</li> </ul> <p><i>Private pensions:</i></p> <ul style="list-style-type: none"> <li>○ Recommendation of the Council on Core Principles of Private Pension Regulation [<a href="#">OECD/LEGAL/0429</a>].</li> <li>○ Recommendation of the Council for the Good Design of Defined Contribution Pension Plans [<a href="#">OECD/LEGAL/0467</a>].</li> </ul> <p><i>Financial education and financial consumer protection:</i></p> <ul style="list-style-type: none"> <li>○ Recommendation of the Council on Financial Literacy [<a href="#">OECD/LEGAL/0461</a>].</li> <li>○ Recommendation of the Council on High-Level Principles on Financial Consumer Protection [<a href="#">OECD/LEGAL/0394</a>].</li> </ul> </li> </ul>

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Fee <sup>2</sup>	<ol style="list-style-type: none"><li>1) For the CMF only: Lower Part I Associate fee;</li><li>2) For the WPIP only: Lower Part I Associate fee.</li></ol>
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<sup>2</sup> The current rate can be found at this address: <https://www.oecd.org/global-relations/partnershipsinoecdbodies/>.

Associate	Bodies to which the invitation applies	Approval date	Exchange of letters
None			
<b>ASSOCIATES IN THE DISCUSSIONS CONCERNING THE OECD RECOMMENDATION ON HIGH-LEVEL PRINCIPLES ON FINANCIAL CONSUMER PROTECTION</b>			
<b>Conditions</b>	<ul style="list-style-type: none"> <li>Adherence to the Recommendation on High-Level Principles on Financial Consumer Protection [<a href="#">OECD/LEGAL/0394</a>].</li> </ul>		
<b>Fee</b>	None		
Associate	Approval date, summary record	Exchange of letters	
None			
<b>PARTICIPANTS</b>			
Participant	Bodies to which the invitation applies	Approval date	Acceptance letter
Brazil <sup>A</sup>	WPIP	14.10.2005	15.01.2008
Hong Kong (China)	CMF	13.04.1995	Not available
India	WPIP	16.11.2006	06.09.2007
Russia <sup>3</sup>	WPIP	14.10.2005	Not available
South Africa	WPIP	12.04.2007	04.07.2007

<sup>3</sup> On 8 March 2022, the Council decided an immediate suspension of the participation of the Russian Federation.

## INVITEE LIST

CMF and all its subsidiary bodies (except the WPSE):

Invitee	Approval date
China (People's Republic of) <sup>4</sup>	25.10.2013
Hong Kong (China) (except in the CMF, where it has a Participant status)	12.11.2013
India (except in the WPIP, where it has a Participant status)	25.10.2013
Russia (except in the WPIP, where it has a Participant status) <sup>5</sup>	12.11.2013
Saudi Arabia	12.11.2013
Singapore	12.11.2013
South Africa (except WPFS, where it has a Participant status)	25.10.2013

*In addition for:*

- Committee on Financial Markets:

Invitee	Approval date
Brunei Darussalam	12.11.2013
Cambodia	12.11.2013
Egypt	21.07.2021
Kazakhstan	21.07.2021
Lao People's Democratic Republic	12.11.2013
Mauritius	21.07.2021
Malaysia	12.11.2013
Morocco	21.07.2021
Myanmar	12.11.2013

<sup>4</sup> China has been invited by Council to become a Participant in the WPFS [12.11.2013]. Until the invitation is accepted, China will remain an Invitee in the CMF and all its subsidiary bodies.

<sup>5</sup> On 8 March 2022, the Council decided an immediate suspension of the participation of the Russian Federation.

Papua New Guinea	12.11.2013
Philippines	12.11.2013
Chinese Taipei	12.11.2013
Viet Nam	12.11.2013

- Working Party on Debt Management (WPDM):

Invitee	Approval date
Albania	12.11.2013
Cameroon	12.11.2013
Côte d'Ivoire	12.11.2013
Gabon	12.11.2013
Gambia	12.11.2013
Jamaica	12.11.2013
Kenya	12.11.2013
Kosovo*	12.11.2013
Malaysia	12.11.2013
Malta	12.11.2013
Namibia	12.11.2013
Nigeria	12.11.2013
Sierra Leone	12.11.2013
United Arab Emirates	12.11.2013
Tanzania	12.11.2013
Uruguay	12.11.2013
Viet Nam	12.11.2013
Zambia	12.11.2013

\* This designation is without prejudice to positions on status, and is in line with United Nations Security Council Resolution 1244/99 and the Advisory Opinion of the International Court of Justice on Kosovo's declaration of independence.

- Working Party on Financial Consumer Protection, Education and Inclusion (WFCPEI):

Invitee	Approval date
Brunei Darussalam	21.07.2021
Cambodia	21.07.2021
Egypt	21.07.2021
Kazakhstan	21.07.2021
Lao People's Democratic Republic	21.07.2021
Malaysia	21.07.2021
Mauritius	21.07.2021
Morocco	21.07.2021
Myanmar	21.07.2021
Nepal	21.07.2021
Papua New Guinea	21.07.2021
Philippines	21.07.2021
Viet Nam	21.07.2021

- Working Party on Insurance and Pensions (WPIP):

Invitee	Approval date
Albania	05.12.2017
Algeria	12.11.2013
Bahrain	12.11.2013
Belize	05.12.2017
Bolivia	12.11.2013
Brunei Darussalam	06.01.2017
Cambodia	12.11.2013
Cuba	12.11.2013
Dominican Republic	12.11.2013



Ecuador	12.11.2013
Egypt	12.11.2013
El Salvador	12.11.2013
Guatemala	12.11.2013
Honduras	12.11.2013
Jamaica	12.11.2013
Jordan	12.11.2013
Kazakhstan	12.11.2013
Kenya	12.11.2013
Kosovo*	12.11.2013
Kuwait	12.11.2013
Lao People's Democratic Republic	12.11.2013
Lebanon	12.11.2013
Lesotho	12.11.2013
Libya	12.11.2013
Lichtenstein	12.11.2013
Malaysia	12.11.2013
Maldives	12.11.2013
Malta	12.11.2013
Mauritius	12.11.2013
Montenegro	05.12.2017
Morocco	12.11.2013
Myanmar	12.11.2013
Namibia	12.11.2013

\* This designation is without prejudice to positions on status, and is in line with United Nations Security Council Resolution 1244/99 and the Advisory Opinion of the International Court of Justice on Kosovo's declaration of independence.

Nicaragua	12.11.2013
Nigeria	12.11.2013
North Macedonia	12.11.2013
Pakistan	12.11.2013
Panama	12.11.2013
Papua New Guinea	06.01.2017
Paraguay	12.11.2013
Philippines	12.11.2013
Qatar	12.11.2013
Serbia	12.11.2013
Sri Lanka	06.01.2017
Suriname	05.12.2017
Chinese Taipei	06.01.2017
Tanzania	12.11.2013
Trinidad and Tobago	12.11.2013
Tunisia	12.11.2013
Ukraine	12.11.2013
United Arab Emirates	12.11.2013
Uruguay	12.11.2013
Vanuatu	05.12.2017
Viet Nam	12.11.2013

OVERVIEW FOR INFORMATION: INVITATIONS TO KEY PARTNERS AS ASSOCIATE, PARTICIPANT OR INVITEE		
Key Partner	Invitation as	Bodies to which the invitation applies
Brazil <sup>A</sup>	Participant	WPIP
	<i>See note on cover page.</i>	All
China (People's Republic of)	Invitee	All

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India	Participant	WPIP
	Invitee	All, except WPIP
Indonesia <sup>A</sup>	<i>See note on cover page.</i>	All
South Africa	Participant	WPIP
	Invitee	All, except WPIP