

PARTICIPATION PLAN

Committee on Financial Markets

References:

- 11.07.2013
- 19.09.2013
- 25.10.2013
- 12.11.2013
- 04.10.2014
- 03.06.2015
- 13.10.2015
- 17.02.2016
- 11.03.2016
- 24.05.2016
- 05.07.2016
- 26.01.2017
- 17.11.2017
- 17.04.2019
- 21.07.2021
- 26.11.2021
- 10.06.2022
- 31.05.2023

** In line with the Roadmaps for the OECD Accession Process adopted by the Council at Ministerial level on 10 June 2022, Brazil, Bulgaria, Croatia, Peru, and Romania are invited to participate, on the basis of their status as accession candidate countries, in the meetings of all OECD substantive committees and their subsidiary bodies open to participation by all OECD Members. Where they participate on the basis of their status of accession candidate countries, they will participate in all discussions except confidential sessions and have access to related documents but they will not take part in decision-making. Where they are Associates or Participants in an OECD body in line with the Revised Resolution of the Council on Partnerships in OECD Bodies, they will maintain their status and the corresponding rights and obligations throughout the accession process.*

PARTICIPATION PLAN

OECD Body	Committee on Financial Markets (CMF)
Subsidiary bodies to which this Plan applies¹	<ul style="list-style-type: none"> • Working Party on Debt Management (WPDM) • Working Party on Financial Statistics (WPFS) (joint with the Committee on Statistics and Statistical Policy) • Task Force on Financial Consumer Protection (TFFCP) • Advisory Task Force on the OECD Codes of Liberalisation (ATFC) (joint with the Insurance and Private Pensions Committee & the Investment Committee) • Joint Task Force on Institutional Investors and Long-Term Financing (TFLTI) (joint with the Insurance and Private Pensions Committee)
Global Relations Strategic Directions	Approved on: 11 June 2021

¹ The current Participation Plan of the other parent bodies can be found at the following address: <https://www.oecd.org/global-relations/partnershipsinoecdbodies/>.

ASSOCIATES	
Conditions	<p>1) In the CMF:</p> <ul style="list-style-type: none"> ● Contribute to the achievement of the Committee's mandate and programme of work, including data and information collection, statistics, and market monitoring; ● Adhere to the following legal instruments: <ul style="list-style-type: none"> ○ Recommendation on High-level Principles on Financial Consumer Protection [OECD/LEGAL/0394]; ○ Recommendation on a Policy Framework for Effective and Efficient Financial Regulation [OECD/LEGAL/0377]; ○ Recommendation on Financial Literacy [OECD/LEGAL/0461]; ○ Recommendation on Blockchain and Other Distributed Ledger Technologies [OECD/LEGAL/0470]. <p>2) In the ATFC only:</p> <ul style="list-style-type: none"> ● Adherence to the Decision Adopting the Code of Liberalisation of Current Invisible Operations [OECD/LEGAL/0001] and the Decision Adopting the Code of Liberalisation of Capital Movements [OECD/LEGAL/0002]. <p>3) In the TFLTI only:</p> <ul style="list-style-type: none"> ● Demonstrate a willingness and ability to align with the standards developed by the Task Force. ● Demonstrate an ability to provide information on its institutional investor sector and long-term financing.
Fee²	<p>1) For the CMF: Lower Part I Associate fee;</p> <p>2) For the ATFC only: Lower Part I Associate fee;</p> <p>3) For the TFLTI only: Lower Part I Associate fee.</p>

² The current rate can be found at this address: <https://www.oecd.org/global-relations/partnershipsinoecdbodies/>.

Associate	Bodies to which the invitation applies	Approval date	Exchange of letters
Bulgaria*	WPFS	17.04.2019	04.06.2019
Romania*	WPFS	17.04.2019	21.05.2019
ASSOCIATES IN THE DISCUSSIONS CONCERNING THE OECD RECOMMENDATION ON HIGH-LEVEL PRINCIPLES ON FINANCIAL CONSUMER PROTECTION			
Conditions	<ul style="list-style-type: none"> Adherence to the Recommendation on High-Level Principles on Financial Consumer Protection [OECD/LEGAL/0394]. 		
Fee	None		
Associate	Approval date, summary record	Exchange of letters	
None ³			
PARTICIPANTS			
Participant	Bodies to which the invitation applies	Approval date	Acceptance letter
Argentina	ATFC	24.10.1996	22.04.1997
Brazil*	WPFS	25.10.2013	Not available
	ATFC	23.10.1997	14.11.1997
Hong Kong (China)	CMF	13.04.1995	Not available
Kazakhstan	WPFS	05.07.2016	14.09.2016

³ The following Partners have been invited by Council to become Associates in the Discussions Concerning the OECD Recommendation on High-Level Principles on Financial Consumer Protection [26.11.2021]: Argentina, Brazil, the People's Republic of China, India, Indonesia, Hong Kong (China), Russian Federation, Saudi Arabia, Singapore, and South Africa.

Russia ⁴	TFLTl	14.10.2005	Not available
	WPFS	09.02.2006	Not available
South Africa	WPFS	25.10.2013	Not available

⁴ On 8 March 2022, the Council decided an immediate suspension of the participation of the Russian Federation.

INVITEE LIST⁵

CMF and all its subsidiary bodies:

Invitee	Approval date
Argentina ⁶ (except in the ATFC, where Argentina has a Participant status)	12.11.2013
China (People's Republic of) ⁷	25.10.2013
Hong Kong (China) (except in the CMF, where Hong Kong has a Participant status)	12.11.2013
India ⁸	25.10.2013
Indonesia ⁹	25.10.2013
Russia (except in the TFLTI and WPFS, where Russia has a Participant status) ¹⁰	12.11.2013
Saudi Arabia	12.11.2013
Singapore	12.11.2013
South Africa (except WPFS, where South Africa has a Participant status)	25.10.2013

In addition for:

- Committee on Financial Markets:

Invitee	Approval date
Brunei Darussalam	12.11.2013
Cambodia	12.11.2013

⁵ Partners listed as Invitees may be invited to individual meetings or items subject to an invitation by the concerned committee OR the following subsidiary bodies, given that the parent committees have delegated this decision to their subsidiary bodies: TFFCP, WPDM and WPFS.

⁶ Argentina has been invited by Council to become a Participant in the CMF and all its subsidiary bodies [17.11.2017]. Until the invitation is accepted, Argentina will remain an Invitee, except in the ATFC, where it is a Participant.

⁷ China has been invited by Council to become a Participant in the WPFS [12.11.2013]. Until the invitation is accepted, China will remain an Invitee in the CMF and all its subsidiary bodies.

⁸ India has been invited by Council to become a Participant in the WPFS [12.11.2013]. Until the invitation is accepted, India will remain an Invitee in the CMF and all its subsidiary bodies.

⁹ Indonesia has been invited by Council to become a Participant in the WPFS [12.11.2013]. Until the invitation is accepted, Indonesia will remain an Invitee in the CMF and all its subsidiary bodies.

¹⁰ On 25 February 2022, the Council decided to stop all invitations to the Russian Federation in the bodies where it is listed as an Invitee.

Egypt	21.07.2021
Kazakhstan	21.07.2021
Lao People's Democratic Republic	12.11.2013
Mauritius	21.07.2021
Malaysia	12.11.2013
Morocco	21.07.2021
Myanmar	12.11.2013
Papua New Guinea	12.11.2013
Philippines	12.11.2013
Chinese Taipei	12.11.2013
Thailand	12.11.2013
Viet Nam	12.11.2013

- Working Party on Debt Management (WPDM):

Invitee	Approval date
Albania	12.11.2013
Cameroon	12.11.2013
Côte d'Ivoire	12.11.2013
Gabon	12.11.2013
Gambia	12.11.2013
Jamaica	12.11.2013
Kenya	12.11.2013
Kosovo*	12.11.2013
Malaysia	12.11.2013
Malta	12.11.2013

* This designation is without prejudice to positions on status, and is in line with United Nations Security Council Resolution 1244/99 and the Advisory Opinion of the International Court of Justice on Kosovo's declaration of independence.

Namibia	12.11.2013
Nigeria	12.11.2013
Sierra Leone	12.11.2013
Thailand	12.11.2013
United Arab Emirates	12.11.2013
Tanzania	12.11.2013
Uruguay	12.11.2013
Viet Nam	12.11.2013
Zambia	12.11.2013

- Working Party on Financial Statistics (WPFS):

Invitee	Approval date
Albania	12.11.2013
Azerbaijan	12.11.2013
Bosnia and Herzegovina	12.11.2013
Malta	12.11.2013
Morocco	24.05.2016
Ukraine	12.11.2013

- Task Force on Financial Consumer Protection (TFFCP):

Invitee	Approval date
Brunei Darussalam	21.07.2021
Cambodia	21.07.2021
Egypt	21.07.2021
Kazakhstan	21.07.2021
Lao People's Democratic Republic	21.07.2021
Malaysia	21.07.2021

Mauritius	21.07.2021
Morocco	21.07.2021
Myanmar	21.07.2021
Nepal	21.07.2021
Papua New Guinea	21.07.2021
Philippines	21.07.2021
Thailand	21.07.2021
Viet Nam	21.07.2021

- Advisory Task Force on the Codes of Liberalisation (ATFC):

Invitee	Approval date
China (People's Republic of)	02.07.2013
Hong Kong (China)	11.07.2013
India	02.07.2013
Indonesia	02.07.2013
Malta	31.05.2023
Malaysia	31.05.2023
Paraguay	17.02.2016
Philippines	31.05.2023
Russia ¹¹	11.07.2013
Saudi Arabia	11.07.2013
Singapore	11.07.2013
South Africa	02.07.2013
Thailand	31.05.2023
Viet Nam	31.05.2023

¹¹ On 25 February 2022, the Council decided to stop all invitations to the Russian Federation in the bodies where it is listed as an Invitee.

- Joint Task Force on Institutional Investors and Long-Term Financing (TFLTI):

Invitee	Approval date
Armenia	21.07.2021
Algeria	21.07.2021
Angola	21.07.2021
Azerbaijan	21.07.2021
Bahrain	21.07.2021
Bangladesh	21.07.2021
Belarus ¹²	21.07.2021
Bolivia	21.07.2021
Botswana	21.07.2021
Brunei Darussalam	12.11.2013
Cambodia	21.07.2021
Cameroon	21.07.2021
Côte d'Ivoire	21.07.2021
Dominican Republic	21.07.2021
Ecuador	21.07.2021
Egypt	21.07.2021
El Salvador	21.07.2021
Equatorial Guinea	21.07.2021
Gabon	21.07.2021
Georgia	21.07.2021
Ghana	21.07.2021
Guatemala	21.07.2021
Honduras	21.07.2021

¹² On 8 March 2022, the Council decided an immediate suspension of the participation of Belarus.

Jordan	21.07.2021
Kazakhstan	21.07.2021
Kenya	21.07.2021
Kuwait	21.07.2021
Kyrgyzstan	21.07.2021
Lao People's Democratic Republic	21.07.2021
Lebanon	21.07.2021
Libya	21.07.2021
Malaysia	12.11.2013
Mauritius	21.07.2021
Moldova	21.07.2021
Morocco	21.07.2021
Myanmar	21.07.2021
Namibia	21.07.2021
Nicaragua	21.07.2021
Nigeria	21.07.2021
Panama	21.07.2021
Papua New Guinea	12.11.2013
Paraguay	21.07.2021
Philippines	12.11.2013
Qatar	21.07.2021
Saudi Arabia	21.07.2021
Sri Lanka	21.07.2021
Chinese Taipei	12.11.2013
Tajikistan	21.07.2021
Thailand	12.11.2013

Tunisia	21.07.2021
Ukraine	21.07.2021
United Arab Emirates	21.07.2021
Uruguay	21.07.2021
Uzbekistan	21.07.2021
Viet Nam	12.11.2013
Zambia	21.07.2021

OVERVIEW FOR INFORMATION: INVITATIONS TO KEY PARTNERS AS ASSOCIATE, PARTICIPANT OR INVITEE		
Key Partner	Invitation as	Bodies to which the invitation applies
Brazil* ¹³	Participant	ATFC & WPFS
China (People's Republic of) ¹⁴	Invitee	All
India ¹⁵	Invitee	All
Indonesia ¹⁶	Invitee	All
South Africa ¹⁷	Participant	WPFS
	Invitee	All, except WPFS

¹³ Brazil has been invited by Council to become an Associate in the Discussions Concerning the OECD Recommendation on High-Level Principles on Financial Consumer Protection [26.11.2021].

¹⁴ China has been invited by Council to become a Participant in the WPFS [12.11.2013]. Until the invitation is accepted, China will remain an Invitee in the CMF and all its subsidiary bodies. China has been invited by Council to become an Associate in the Discussions Concerning the OECD Recommendation on High-Level Principles on Financial Consumer Protection [26.11.2021].

¹⁵ India has been invited by Council to become a Participant in the WPFS [12.11.2013]. Until the invitation is accepted, India will remain an Invitee in the CMF and all its subsidiary bodies. India has been invited by Council to become an Associate in the Discussions Concerning the OECD Recommendation on High-Level Principles on Financial Consumer Protection [26.11.2021].

¹⁶ Indonesia has been invited by Council to become a Participant in the WPFS [12.11.2013]. Until the invitation is accepted, Indonesia will remain an Invitee in the CMF and all its subsidiary bodies. Indonesia has been invited by Council to become an Associate in the Discussions Concerning the OECD Recommendation on High-Level Principles on Financial Consumer Protection [26.11.2021].

¹⁷ South Africa has been invited by Council to become an Associate in the Discussions Concerning the OECD Recommendation on High-Level Principles on Financial Consumer Protection [26.11.2021].