

APPENDIX 3

LIST OF WASTES SUBJECT TO THE GREEN CONTROL PROCEDURE

Regardless of whether or not wastes are included on this list, they may not be subject to the Green control procedure if they are contaminated by other materials to an extent which (a) increases the risks associated with the wastes sufficiently to render them appropriate for submission to the amber control procedure, when taking into account the criteria in Appendix 6, or (b) prevents the recovery of the wastes in an environmentally sound manner.

PART I:

Wastes listed in Annex IX of the Basel Convention.

For the purposes of this Decision:

- a) Any reference ~~to list A~~ in Annex IX of the Basel Convention to list A shall be understood as a reference to Appendix 4 of this Decision.

a bis) Any reference to Annex I or III of the Basel Convention shall be understood as a reference to Appendix 1 or 2 of this Decision, respectively.

- b) In Basel entry B1020 the term “bulk finished form” includes all metallic non-dispersible⁶ forms of the scrap listed therein.
- c) Pending approval by the Basel Convention, Basel entry B1030 shall read: “Residues containing refractory metals”.
- d) The part of Basel entry B1100 that refers to “Slags from copper processing” etc does not apply and OECD entry GB040 in Part II applies instead.
- e) Basel entry B1110 does not apply and OECD entries GC010 and GC020 in Part II apply instead.
- f) Basel entry B2050 does not apply and OECD entry GG040 in Part II applies instead.
- ~~g) The reference in Basel entry B3010 to fluorinated polymer wastes shall be deemed to include polymers and co-polymers of fluorinated ethylene (PTFE).~~
- g) Basel entry B3011 does not apply as no consensus has been reached among OECD Member countries to incorporate this entry into this Decision.⁷ Also, no consensus has been reached among OECD Member countries on whether or not the prior Basel entry B3010⁸ continues to apply in this Decision. As a result of this situation, each Member country retains its right to control the plastic waste covered by Basel entry B3011 in conformity with its domestic legislation and international law. Member countries should inform the OECD Secretariat about their controls for plastic waste covered by Basel entry B3011 by 15 January 2021, as well as about any future changes of such controls, in a timely manner. The OECD Secretariat should publish the information received on the OECD website.

PART II:

The following wastes will also be subject to the Green control procedure:

Metal Bearing Wastes Arising from Melting, Smelting and Refining of Metals

GB040	7112 262030 262090	Slags from precious metals and copper processing for further refining
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Other Wastes Containing Metals

GC010		Electrical assemblies consisting only of metals or alloys.
GC020		Electronic scrap (e.g. printed circuit boards, electronic components, wire, etc.) and reclaimed electronic components suitable for base and precious metal recovery.
GC030	ex 890800	Vessels and other floating structures for breaking up, properly emptied of any cargo and other materials arising from the operation of the vessel which may have been classified as a dangerous substance or waste
GC050		Spent Fluid Catalytic Cracking (FCC) Catalysts (e.g.: aluminium oxide, zeolites)

Glass Waste in Non-dispersible Form

GE020	ex 7001	Glass Fibre Waste
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Ceramic Wastes in Non-Dispersible Form

GF010		Ceramic wastes which have been fired after shaping, including ceramic vessels (before and/or after use)
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Other Wastes Containing Principally Inorganic Constituents, Which May Contain Metals and Organic Materials

GG030	ex 2621	Bottom ash and slag tap from coal fired power plants
GG040	ex 2621	Coal fired power plants fly ash

Solid Plastic Wastes

GH013⁹	391530 ex 390410-40	Polymers of vinyl chloride
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Wastes Arising from Tanning and Fellmongery Operations and Leather Use

GN010	ex 050200	Waste of pigs', hogs' or boars' bristles and hair or of badger hair and other brush making hair
GN020	ex 050300	Horsehair waste, whether or not put up as a layer with or without supporting material
GN030	ex 050590	Waste of skins and other parts of birds, with their feathers or down, of feathers and parts of feathers (whether or not with trimmed edges) and down, not further worked than cleaned, disinfected or treated for preservation

APPENDIX 4

LIST OF WASTES SUBJECT TO THE AMBER CONTROL PROCEDURE

PART I:

Wastes listed in Annexes II and VIII of the Basel Convention.

For the purposes of this Decision:

- a) Any reference ~~to list B~~ in Annex VIII of the Basel Convention to list B shall be understood as a reference to Appendix 3 of this Decision.

a bis) Any reference to Annex I or III of the Basel Convention shall be understood as a reference to Appendix 1 or 2 of this Decision, respectively.

- b) In Basel entry A1010, the term “excluding such wastes specifically listed on List B (Annex IX)” is a reference both to Basel entry B1020 and the note on B1020 in Appendix 3 to this Decision, Part I (b).
- c) Basel entries A1180 and A2060 do not apply and OECD entries GC010, GC020 and GG040 in Appendix 3 Part II apply instead when appropriate. Member countries may control these wastes differently in accordance with Chapter II B 6 of this Decision concerning wastes not listed in Appendices 3 or 4, and the chapeau of Appendix 3.
- d) Basel entry A4050 includes spent potlinings from aluminium smelting because they contain Y33 inorganic cyanides. If the cyanides have been destroyed, spent potlinings are assigned to Part II entry AB120 because they contain Y32, inorganic fluorine compounds excluding calcium fluoride.
- e) Basel entry A3210 does not apply and AC300 in Part II applies instead.
- f) Basel entry Y48¹⁰ does not apply as no consensus has been reached among OECD Member countries to incorporate this entry into this Decision.¹¹ As a result of this situation, each Member country retains its right to control the plastic waste covered by Basel entry Y48 in conformity with its domestic legislation and international law. Member countries should inform the OECD Secretariat about their controls for plastic waste covered by Basel entry Y48 by 15 January 2021, as well as about any future changes of such controls, in a timely manner. The OECD Secretariat should publish the information received on the OECD website.

PART II:

The following wastes will also be subject to the Amber control procedure:

Metal Bearing Wastes

AA010	261900	Dross, scalings and other wastes from the iron and steel industry ^{7,12}
AA060	262050	Vanadium ashes and residues ^{8,13}

AA190	810420 ex 810430	Magnesium waste and scrap that is flammable, pyrophoric or emits, upon contact with water, flammable gases in dangerous quantities
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Wastes Containing Principally Inorganic Constituents, Which May Contain Metals and Organic Materials

AB030		Wastes from non-cyanide based systems which arise from surface treatment of metals
AB070		Sands used in foundry operations
AB120	ex 281290 ex 3824	Inorganic halide compounds, not elsewhere specified or included
AB130		Used blasting grit
AB150	ex 382490	Unrefined calcium sulphite and calcium sulphate from flue gas desulphurisation (FGD)

Wastes Containing Principally Organic Constituents, Which May Contain Metals and Inorganic Materials

AC060	ex 381900	Hydraulic fluids
AC070	ex 381900	Brake fluids
AC080	ex 382000	Antifreeze fluids
AC150		Chlorofluorocarbons
AC160		Halons
AC170	ex 440310	Treated cork and wood wastes
AC250		Surface active agents (surfactants)
AC260	ex 3101	Liquid pig manure; faeces
AC270		Sewage sludge
AC300		<u>Plastic waste, including mixtures of such wastes, containing or contaminated with Appendix 1 constituents, to an extent that it exhibits an Appendix 2 characteristic</u>

Wastes Which May Contain either Inorganic or Organic Constituents

AD090	ex 382490	Wastes from production, formulation and use of reprographic and photographic chemicals and materials not elsewhere specified or included
AD100		Wastes from non-cyanide based systems which arise from surface

		treatment of plastics
AD120	ex 391400 ex 3915	Ion exchange resins
AD150		Naturally occurring organic material used as a filter medium (such as biofilters)

Wastes Containing Principally Inorganic Constituents, Which May Contain Metals and Organic Materials

RB020	ex 6815	Ceramic based fibres of physico-chemical characteristics similar to those of asbest
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6 “Non-dispersible” does not include any wastes in the form of powder, sludge, dust or solid items containing encased hazardous waste liquids.

7 The appropriate OECD body should review whether consensus could be reached to incorporate this entry or an alternative into the Decision before the end of 2024.

8 The text of prior entry B3010 is provided below:

Solid plastic waste:

The following plastic or mixed plastic materials, provided they are not mixed with other wastes and are prepared to a specification:

- Scrap plastic of non-halogenated polymers and co-polymers, including but not limited to the following*
 - ethylene
 - styrene
 - polypropylene
 - polyethylene terephthalate
 - acrylonitrile
 - butadiene
 - polyacetals
 - polyamides
 - polybutylene terephthalate
 - polycarbonates
 - polyethers
 - polyphenylene sulphides
 - acrylic polymers
 - alkanes C10-C13 (plasticiser)
 - polyurethane (not containing CFCs)

- polysiloxanes
 - polymethyl methacrylate
 - polyvinyl alcohol
 - polyvinyl butyral
 - polyvinyl acetate
 - Cured waste resins or condensation products including the following:
 - urea formaldehyde resins
 - phenol formaldehyde resins
 - melamine formaldehyde resins
 - epoxy resins
 - alkyd resins
 - polyamides
 - The following fluorinated polymer wastes **
 - perfluoroethylene/propylene (FEP)
 - perfluoro alkoxy alkane
 - tetrafluoroethylene/per fluoro vinyl ether (PFA)
 - tetrafluoroethylene/per fluoro methylvinyl ether (MFA)
 - polyvinylfluoride (PVF)
 - polyvinylidene fluoride (PVDF)
- * It is understood that such scraps are completely polymerized.
- ** - Post-consumer wastes are excluded from this entry
- Wastes shall not be mixed
 - Problems arising from open-burning practices to be considered

9 No consensus has been reached among OECD Member countries to incorporate Basel entry Y48 into this Decision. Also, no consensus has been reached among OECD Member countries on whether or not GH013 continues to apply in this Decision. As a result of this situation, each Member country retains its right to control waste of polymers of vinyl chloride in conformity with its domestic legislation and international law.

10 Basel entry Y48 covers the waste covered by entry GH013.

11 The appropriate OECD body should review whether consensus could be reached to incorporate this entry or an alternative into the Decision before the end of 2024.

7 12 This listing includes wastes in the form of ash, residue, slag, dross, skimming, scaling, dust, powder, sludge and cake, unless a material is expressly listed elsewhere.

8 13 This listing includes wastes in the form of ash, residue, slag, dross, skimming, scaling, dust, powder, sludge and cake, unless a material is expressly listed elsewhere.