

1 *Guidance Document on Laboratory and Simulated-use Testing the*
2 *Efficacy of Baits and Repellents against Tropical Ants for Indoor*
3 *Use*

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1. Scope of Guidance Document

31 (1) This guidance provides recommendations for the design and execution of
32 simulated-use studies to evaluate the efficacy of baits (claim “nest kill”) against tropical
33 ants. Furthermore, this guidance deals with laboratory and simulated-use testing of tropical
34 ant repellents (e.g. claim “reduction or prevention of invading ants in houses or sensitive
35 areas”). The recommendations in this document refer to products for control in indoor
36 environments.

37 (2) The guidance incorporates information from published and unpublished laboratory
38 efficacy testing studies of the German Environment Agency for bait and repellent products
39 against tropical ants. Investigators should ensure research is conducted in compliance with
40 any applicable laws or regulations, which are independent of and additional to those cited
41 in this guidance.

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2. Definitions

45 The following definitions are of special importance in understanding this guidance
46 document. They apply only in the context of this guidance and are not intended to be more
47 generally applicable.

- 48 1. An **attractant** is a substance that lures ants to a specific point, e.g. a trap, or
49 increases the palatability of a bait.
- 50 2. New colonies can be founded by **budding**, which means that no nuptial flights are
51 needed and parts of one nest move to another site to establish a new nest.
- 52 3. A **colony** is the sum of all nests or budding portions of a (super)colony in an
53 infested object (e.g. building, apartment complex), where a pest control operation
54 can be expected to eradicate all ants. A colony is thus defined as a distinct local
55 population of ants.
- 56 4. A product that **controls ants** (“nest kill”) demonstrates that the insecticide
57 application shows sufficient efficacy leading to the death of all members of the ant
58 colony (including brood, queens).
- 59 5. **Crossing** is the act of passage by an ant in repellent tests of the treated surface or
60 untreated control surface of the bridge.
- 61 6. **Mortality** refers to the death of individual ants and/or the death of the whole
62 colony. A **dead ant** is an ant that does not move, even when poked or probed. Dead
63 brood is dried out in contrast to live brood which is recognizable by the shiny
64 surface of the brood.
- 65 7. A **nest** is a site where a part of an unicolonial ant species with queens and brood
66 are located. Workers bring food into this nest and take care of the queens and brood.
- 67 8. A **repellent** is a substance that causes ants to avoid a treated substrate (e.g.
68 disrupting the foraging path).
- 69 9. **Polygynous** ant species have more than one and up to thousands of queens per
70 colony.
- 71 10. **Residual efficacy** refers to a surface or space treated with a repellent product
72 continuing to provide the intended repellent effect at an effective level for an
73 extended length of time after application.
- 74 11. **Tramp ants** are ant species which have a high ability to be moved from place to
75 place, mainly transferred by trade or other human activities. Tramp ants are
76 typically characterized by polygyny, reproduction by budding, close association
77 with humans, multiple colony sites and no nest mate recognition (unicolonialism).
- 78 12. **Unicolonial** ants have lost their ability for nest mate recognition. Ants move
79 between different nests in contrast to **multicolonial** ant species in which worker
80 ants would attack members from another colony.

81

3. Introduction

82 The following tropical and invasive ant species are considered candidates for testing as
83 they are species of potential importance by causing inconvenience in buildings in Europe,
84 North and South America, Australia and Asia:

85 Pharaoh Ant, *Monomorium pharaonis*

86 Ghost Ant, *Tapinoma melanocephalum*

87 Argentine Ant, *Linepithema humile*

88 Of these, the Pharaoh Ant and the Ghost Ant are the most important pest species regarding
89 human health since they can transmit various pathogens, such as *Salmonella* sp.,
90 *Escherichia coli*, *Staphylococcus* sp. and some fungi. Information on the distribution of
91 these pests and their public health importance is abundantly available. Especially in
92 hospitals these ant species can cause serious health problems, because ants were found in
93 sterile areas where they can transfer the pathogens (Beatson 1972, Zarzuela et al. 2004,
94 Kim et al. 2005, Moreira et al. 2005, Zarzuela et al. 2007, Wetterer 2009, 2010, Lima et al.
95 2013). The Argentine Ant is known as an invasive species and important pest in many areas
96 around the world (Wetterer et al. 2009), and also has the potential as mechanical vector for
97 pathogens (Fowler 1993, Lima et al. 2013).

98 The three mentioned ant species are tramp ants, which are mainly transferred by trade
99 and/or human movement. After leaving their place of origin and spreading in other parts of
100 the world changes in behavior and biology occurred. They evolved polygyny, and nuptial
101 flights are not executed as queens mate inside the nest and new colonies are founded by
102 budding. Furthermore, these ant species evolved unicolonialism, i.e. the ants have lost their
103 ability of nest mate recognition and therefore move between nests without being attacked,
104 which is normally the case in multicolonial species (which are not in scope of this guidance
105 document). In households, they nest nearly everywhere such as cracks and crevices or
106 potted plants and move their nests quickly when being disturbed.

107

4. Development of protocols for ant studies

108 The first major stage of product testing is the development of a study protocol. General
109 considerations in developing a study protocol include scientific design of the study, data
110 collection, and reporting. Each of these topics is discussed in more detail in the sub-sections
111 below.

112 (1) **Scientific design of research.** The protocol should include a detailed description
113 of the experimental design.

114 a) **Objectives.** In the case of claims that products control ants (“nest kill”), the
115 objective of bait product performance testing is to determine that a product
116 application made at the proposed label rate kills all ants of a test colony
117 (including brood and queens). For products that claim “repel ants”, the
118 objective of product performance testing is to determine the ability of a product
119 to keep ants away from a specific area. In all cases the scientific objective

- 120 should be stated clearly and all treated ants should be compared to control ant
121 colonies that have received no treatment.
- 122 b) **Test materials and treatments.** Product performance should be tested using
123 the end-use formulation and application rates as registered or as proposed for
124 use.
- 125 c) **Dose determination.** The test dose in ant product performance studies is the
126 lowest application rate from a proposed product label. The rate should be
127 reported using metric system measurements, as mg of test substance/cm² of test
128 surface for surface area treatments. The amount of active ingredient tested per
129 unit area or time should also be given. If the product is applied as a bait, the
130 entire bait, including the bait box if applicable, should be tested, not only the
131 product which is contained in the bait. The application rate of bait products
132 should be in accordance with the proposed product label (e.g. mg of bait or
133 number of bait boxes/ant colony or ant trail) (for details see chapter 6.13).
- 134 d) **Residual efficacy** and aged product (in case of long shelf-life i.e. more than 2
135 years) should be tested.
- 136 e) **Testing conditions.** Room temperature should be maintained constant around
137 $24 \pm 3^{\circ}\text{C}$ and relative humidity at $55 \pm 10\%$. Conditions should be recorded
138 during the test procedure and a photoperiod ranging from 12 hours of light and
139 12 hours of darkness to 16 hours of light and 8 hours of darkness. The
140 temperature during the test should be kept as constant as possible because
141 changes can affect the ant behavior.
- 142 f) **Choice of endpoints and measures.** Endpoints chosen for the study should be
143 appropriate for the specific objectives of proposed research and likely to
144 provide a robust answer to the research question. Generally, the endpoints
145 tested will be ant nest kill or efficacy of the repellent product according to the
146 label claim. The endpoint selected should be included into the protocol.
- 147 g) **Test organisms.** Due to the specificity of bait products, only effects against
148 colonies of tropical ant species e.g. *Monomorium pharaonis*, *Tapinoma*
149 *melanocephalum* or *Linepithema humile* that have been tested can be claimed
150 on the product label. Repellent product efficacy testing should be conducted for
151 products claiming “against tropical ants” with exotic species e.g. *M. pharaonis*,
152 *T. melanocephalum* or *L. humile* or in case for a general claim “against ants”
153 with endemic species, e.g. in Europe *Lasius niger*.
- 154 h) **Sample size.** The sample should be large enough to likely yield a definitive
155 answer to the research question being addressed, and its size should be justified
156 statistically, taking into account the specific characteristics of the proposed
157 research and the necessary accuracy and precision of the results.
- 158 i) **Replication.** A minimum of five replicates (equal number of treated and control
159 replicates) for all studies are recommended.
- 160 j) **Ant colony rearing, handling, and maintenance.** When applicable, a
161 description of the ant laboratory colony rearing practices should be included.
162 Collection details and maintenance procedures for field-collected strains should
163 be described.
- 164 k) **Statistical analysis plan (if applicable).** Protocols should include a full
165 description, explanation, and justification for the statistical methods proposed
166 to analyze product performance test results, taking into account the specific

- 167 study objectives and variables.
- 168 l) **Protocol amendments.** Amendments are planned changes to the protocol and
 169 should be made before the study is executed. All amendments to the protocol
 170 should be noted in the written report.
- 171 m) **Deviations from protocol.** Even when executing the best-designed and most
 172 comprehensive protocols, unanticipated deviations from the protocol may
 173 occur. All such deviations from the protocol and their impact on the research
 174 should be fully reported in the study report.
- 175
- 176 (2) **Data Collection and Reporting.** Study protocols should include details on data
 177 collection and reporting of data covering all aspects of the research including the
 178 following elements:
- 179 a) **Study identification:** Title, identifying study number(s), sponsor, study
 180 director, investigators, name and location of the testing facility, and dates of the
 181 study should be reported.
- 182 b) **Approved or proposed label directions for use:** A copy of the proposed or
 183 approved product label should be included as well as a batch number.
- 184 c) **Study objective(s):** The purpose of the study should be stated.
- 185 d) **Test organisms:** Scientific name, strain, health status of the colony
 186 (absence/presence of parasites like mites etc.), source, method of rearing and
 187 handling including description of food and its components as well as date of
 188 preparation should be stated.
- 189 e) **Testing conditions:** Information on temperature, relative humidity, ambient
 190 light and photoperiod should be reported.
- 191 f) **Testing system, including but not limited to:**
- 192 i. Description of test substance (i.e., product, % active ingredient, and
 193 formulation to be tested). Negative control should also be described.
- 194 ii. Description of the experimental unit.
- 195 iii. Treatment application rate and method of application (rate should be
 196 consistent with label instructions).
- 197 iv. Duration and conditions of acclimatization period.
- 198 v. Number of product treatments.
- 199 vi. Number of negative control replicates.
- 200 vii. Number of replicates per treatment.
- 201 viii. Length of time for ant exposure period to each treatment.
- 202 g) **Health status of test organisms.** Although only healthy ants are introduced in
 203 the tests, the insecticide or repellent may have side effects on their health status.
 204 Symptoms observed in test organisms (abdominal swelling, changes in body
 205 color, odor, mobility and/or behavior) as well as mortality in repellent tests
 206 should be described.
- 207 h) **Data/Results reporting.** Report the following information:
- 208 i. **Protocol with amendments and study deviations from the protocol.**

- 209 A copy of the study protocol should be included with amendments and
210 deviations. Amendments and deviations should be justified and
211 described together with their impact on the validity of the study.
- 212 ii. **Data and endpoints.** Endpoints should be reported as observed
213 throughout the test.
- 214 iii. **Amount of product applied.** Report the amount of product, expressed
215 as weight of product applied to each replicate. Report the quantity of
216 active ingredient applied.
- 217 iv. Note: when (national) guidelines are used, those should be referred to
218 in the report.
- 219 v. **Data analysis.** The report should include the statistical analysis if
220 applicable.
- 221 vi. **Study Conclusions.** The report should include a discussion of the study
222 results and conclusions based on treatment endpoints. Conclusions
223 should state why and how the study results do or do not support the
224 tested hypothesis.
- 225 vii. **Storage and Retention of Records and Materials.** The record-
226 keeping provisions of OECD Principles of Good Laboratory Practice
227 and Compliance Monitoring (GLP) (ENV/MC/CHEM(98)17) apply to
228 records of any study conducted under the Good Laboratory Practices
229 rule, in compliance with any applicable state laws or regulations.

230 5. Baiting

- 231 (1) Foraging ants store food in their crops to feed other colony members in the nest
232 by regurgitation. This behavior is known as trophallaxis and is an important component of
233 brood care in the majority of the ant families of Myrmicinae, Dolichoderinae and
234 Formicinae. Other colony members such as worker ants and queens are fed, as well. One
235 worker ant can feed up to 12 further worker ants which then again feed up to 12 worker
236 ants by themselves in a short period (Markin 1970). Treatments with baits can take
237 advantage of this behavior since by it, the toxicant can be distributed throughout the
238 colony. However, as a consequence the insecticides need to be efficient over a wide dose
239 range as trophallaxis may dilute a toxicant to sub-lethal doses (Rust et al. 2004). A delayed
240 mode of action of the insecticide is essential since foragers should reach the nest before
241 death to distribute the insecticide as well as to recruit other foragers to the bait. This is the
242 reason why the application of residual high-toxicity spray insecticides only eliminates a
243 small number of ants that forage on the surface; it is not suitable to eradicate an entire
244 infestation, as not all colony members can be affected this way.
- 245 (2) Bait products are available as gel, granular and liquid baits and can be applied as
246 crack and crevice application, spot applications or in bait stations.
- 247 (3) An ant control bait usually consists of a mixture of four components: (i) an
248 insecticide, which should have a delayed effect to ensure that the worker ants can transfer
249 the insecticide throughout the colony via trophallaxis; (ii) an attractant to recruit other

250 foraging ants; (iii) a palatable matrix responsible for the physical structure of the bait (i.e.
 251 its particle size); and (iv) other materials added for specific purposes, such as emulsifiers,
 252 preservatives and/or antimicrobial agents.

253 (4) In practice, application of baits in bait stations is considered the most suitable
 254 delivery method. These should be placed in locations used by ants or in areas where they
 255 are foraging. Bait application must be without risk for the user, e.g. delivered in child-
 256 resistant containers. The use of bait stations is usually required for outdoor applications
 257 (study design not included in this guidance document), and open application should be
 258 restricted to specific indoor operations, where baiting in trap devices is not feasible, e.g.
 259 for crack and crevice treatments.

260 (5) Due to their biology and reproduction the occurrence and development of
 261 insecticide resistance in case of sublethal doses would not be expected.

262 (6) For successful control of infestations with polygynous ant species all colony
 263 members including brood and queens have to be eradicated.

264

265

6. Bait efficacy testing

266 (1) The efficacy assessment of baits is usually made in relation to the label claim. It
 267 will take into account the species to be controlled, the method(s) of application, application
 268 rates and use patterns of the product.

269 (2) This guidance is aimed at testing baits that claim to demonstrate a “nest kill”, i.e.
 270 their complete elimination or “colony extermination”, since a claim such as “kills ants” is
 271 too unspecific and would also refer to the elimination of only individual ants. Furthermore,
 272 the guidance applies to nest kill of the mentioned species nesting indoors.

273 (3) The present guidance applies to all bait products against *M. pharaonis*,
 274 *T. melanocephalum* and *L. humile* and, although not a tropical ant species, could also be
 275 used for *Lasius niger*. This includes formulations for use in bait stations as well as those
 276 applied in cracks and crevices and comprises gel, granular and liquid baits. The
 277 applicability of the described test designs to other ant species is not confirmed yet.

278 (4) An ant bait should be attractive and effective even when alternative food sources
 279 are abundantly available. In addition, it should maintain its insecticidal activity,
 280 consistency and attractiveness for the claimed period.

281 (5) To prove the attractiveness of baits, choice tests with an alternative non-toxic food
 282 source (challenge diet, dead insects e.g. cockroaches and sugar or honey) are required.

283 (6) Tests should be performed for fresh bait, bait at the end of the shelf life and for
 284 residual efficacy demonstration.

285

Test animals

286 (7) For efficacy tests with baits, whole healthy (wild or laboratory) ant colonies should
 287 be used. Healthy ant colonies consist of queens, active workers and different stages of
 288 brood without fungi or parasites inside the nest. The relevant test species (*Monomorium*,
 289 *Tapinoma*, *Linepithema*) are polygynous, and a colony contains from a few up to several

290 thousands of queens. Therefore, 5 to 10 queens, brood and several hundred workers should
291 be introduced into the test arenas. Exact counting of brood and workers is not requested to
292 avoid harm of the test animals.

293 Test arenas

294 (8) For standardization the test arenas (fig. 1) should measure about $800 \text{ cm}^2 \pm 25\%$
295 (Hooper-Bui and Rust 2000, Rust et al. 2004, Krüger et al. 2017) and a wall height of about
296 10 to 20 cm should be used. On the upper rim of the walls, a barrier (e.g. sticky insect glue
297 or polytetrafluorethylene; generally non-toxic substances which do not influence ants
298 behavior should be used) should be applied to prevent the ants from escaping.

299 (9) The container for the ant nest can be made of wood or plastic. Examples for design
300 and size can be found in fig. 4 or fig. 5. Small holes in the walls are needed to enable the
301 ants access into the interior. A layer on the floor made of plaster to provide humidity for
302 the ants is suitable in plastic nests. The nests should provide darkness for the ants, but also
303 enable observation of the colony inside the nest during the test procedure once a week.
304 Therefore, a clear glass plate can serve as a lid with an additional dark plate on top can be
305 used. To observe the nest the dark plate can be removed. Disturbance of the ants inside the
306 nest is minimized because of the glass plate.

307 (10) The ant nest should be placed close to the wall (in case of rectangular arenas at the
308 short side, see fig. 1) and adequate food (e.g. dead cockroaches provided *ad libitum*
309 (Adams et al. 1999)), sugar or sugar solutions and water should be placed opposite to the
310 nest as far as possible.

311 Test procedure

312 (11) Ant colonies are transferred from breeding containers into the test arenas (e.g.
313 within the nests) and are allowed to acclimatize for at least 7 days before the bait is
314 introduced into the test arena. The bait product should be provided according to the label
315 claim (within a bait box or as bait point on a small petri dish when used in cracks or
316 crevices) and placed opposite of the nest beside an alternative food source and water.

317 (12) Regular visual inspections of the activity of the foraging ants and the acceptance
318 of the bait (by counting foraging ants feeding on the bait) are recommended especially for
319 recognition of changes in behavior (e.g. disorientation, foraging queens) or the occurrence
320 of disease symptoms in test organisms (e.g. abdominal swelling, changes in body colour,
321 mobility and behavior). Furthermore, decreasing activity should be documented for data
322 analysis and evaluation by counting the foraging workers outside the nest once a week.
323 Forager counting can be done either by direct visual inspection (but should then preferably
324 be performed simultaneously by two persons) or by counts of the number of foragers in
325 photographs of the test arena floor. The latter method has the advantage of being more
326 objective and re-evaluable at a later point in time. Furthermore, it should be recorded
327 weekly if brood and queens in the nest are alive, regardless of workers' activity.

328 (13) Food and water are supplied *ad libitum*. The bait should be applied according to
329 the use instructions. When an application dose is claimed for a specific area and the bait
330 amount that should be introduced in the arena is not applicable (test arena is too small) the
331 lowest amount should be applied (for example use 200 mg if the label claims 200 mg/m^2
332 even if the arena is smaller than 1 m^2). If the product is consumed then a reapplication is
333 necessary.

334 (14) The baiting period in the test should not exceed the time span claimed for
335 eradication of the ant colony indicated in the use instructions or on the label. If not
336 otherwise stated on the label, the bait exposure period in the tests should be 50 d (Iglisch
337 1998). In case of surviving ants at the end of the baiting period, it should be followed by a

338 post-baiting observation period of two weeks. All bait should be removed for the post-
339 baiting observation and food and water should be supplied *ad libitum*.

340 (15) At least five replicates and an equal number of negative control replicates are
341 required. The control replicates should be conducted with the same test procedure either
342 with a placebo bait (bait product without active substance) or if not possible with a non-
343 toxic alternative food source.

344 Effectiveness criteria

345 (16) A successful bait product claiming “nest kill” has to achieve eradication of the ant
346 colonies within the test period. That means that all worker ants, brood and queens are dead
347 within the test period and max. two weeks post-baiting observation. Dead brood is dried
348 out in contrast to live brood which is recognizable by the shiny surface of the brood.

349 (17) Worker activity in all replicates as well as the number of queens in (negative)
350 controls should not decrease more than 10% on average from the beginning of the trial
351 (before bait exposure) to the end (after post baiting period if applicable).

352 7. Repellent tests for surface treatment

353 (1) The test procedures described below are not only suitable for tropical ants
354 (*Monomorium pharaonis*, *Tapinoma melanocephalum*, *Linepithema humile*) as mentioned
355 in this guidance document but, although not a tropical ant species, also for *Lasius niger*.
356 The applicability of the described test designs to other ant species is not confirmed yet.

357 (2) A successful repellent product against ants should achieve a reduction or
358 prevention of invading ants in houses or sensitive areas (indoor use) depending on the label
359 claim. The application of a repellent should not result in death of the target species.
360 Therefore, the non-insecticidal effect has to be proven, since an insecticidal effect of
361 products may result in a rejection of the authorization as repellent.

362 (3) Repellent products can be fluids, gels, granules or powders and can be applied on
363 surfaces with different methods: spray, paint, scatter or pour.

364 (4) The efficacy assessment with repellents is usually made in relation to the label
365 claim. It will take into account the species to be controlled, the method(s) of application,
366 application rates and use patterns of the product.

367 7.1. Screening test (laboratory choice test)

368 (5) A choice test similar to the design described below can be used for active substance
369 screening and product development (Krüger et al. 2017).

370 Test animals

371 (6) Screening tests should be performed with at least 50 to 70 worker ants per replicate
372 to guarantee a sufficient number of crossing ants for statistical analysis. Testing is species-
373 specific, and the ants should belong to the target species for which the repellent is intended.

374 Test arena

375 (7) The test arena should consist of a petri dish standing on a beaker surrounded by

376 water forming an artificial island for the ants. Two microscope slides should serve as
377 bridges, connecting the petri dish island with two separate beakers (fig. 2). The upper rim
378 of the petri dish, the beakers and the underside of the slides should be blocked by a barrier
379 (polytetrafluorethylene or sticky insect glue; generally non-toxic substances which do not
380 influence ant behavior should be used). The surface of the bridges may vary, and both
381 porous and non-porous substrate fixed to the microscope slide surface can be used for
382 testing representative surfaces. Test surfaces should reflect the intended use (e.g. use on
383 ceramic tile, plywood, painted plywood, stainless steel, concrete). The test principally
384 exploits the escape response of ants, which are placed on an unprotected surface (here: a
385 petri dish). The repellent is then placed on one of two escape paths.

386 Test procedure

387 (8) The formulated product (spray, liquid, gel, powder, dust, etc.) is applied in the
388 middle of one bridge over the entire width as a stripe; the second bridge remains untreated.
389 Typically, the stripe should reflect the intended use.

390 Within the investigation period the number of ants crossing more than half of the untreated
391 or the treated bridge, by crossing the applied repellent, is recorded. Usually, the test period
392 is about 30 min, but can be longer or shorter, depending on the ant behavior. Subsequently,
393 ants that crossed the middle of the bridge are transferred softly with a paintbrush into the
394 associated beaker at the end of the bridge. To exclude bias due to pheromone trails or side
395 preferences, the locations of the treated and untreated bridges should be alternated after
396 half of the ants crossed the bridges.

397 (9) After the trial, all ants should be kept with food and water supply. To exclude an
398 insecticidal effect of the repellent possibly caused by contact and crossing, mortality is
399 recorded 24 hours after exposure. Ants should not be used twice for trials, only naïve ants
400 should be used in the tests. The non-insecticidal effect has to be proven, since an
401 insecticidal effect of products may result in a rejection of the authorization as repellent.

402 (10) For testing residual efficacy, microscope slides should be treated with the repellent
403 and the slides should then be stored for the desired period before they are used in the tests.
404 The conditions of storage should reflect the real-use scenarios, according to label claim for
405 application (temperature, humidity, light, dust etc.). The temperature should be kept at
406 $22 \pm 4 \text{ C}^\circ$ (unless otherwise justified), with a relative humidity of 40 - 60%, and a
407 photoperiod ranging from 12 hours of light and 12 hours of darkness to 16 hours of light
408 and 8 hours of darkness. The temperature during the test should be kept as constant as
409 possible because changes can affect the performance of the product treatments. Storage
410 should not be conducted in closed containers. When the product is claimed for outdoor use
411 the storage should be conducted at an air temperature within the range of 19 - 29°C, rain
412 fall (if necessary this could be mimicked by artificial watering) at least 20% of the storage
413 period (for specific claims e.g. 'rainfast 1 hr after application' or 'water resistant'
414 additional testing is considered) and direct sunlight for at least 30 to 40% as most products
415 with outdoor use will typically be applied during the summer months when sunlight is
416 considerable for the majority of the day (6 to 12 hours per day; may depend on the claimed
417 region to be used).

418 (11) To exclude side preference effects, a control treatment without the product or the
419 active substance on any bridge should be conducted with ants from the same colony.

420 (12) At least 5 replications and 5 non-treated controls should be performed.

421 Effectiveness criteria

422 (13) A repellent should repel at least 90% of the total number of ants entering the
423 treated bridge within the test period of 30 minutes (or according to the claim), directly after

424 substance/ product application and at the end of the claimed period (Krüger et al. 2017).

425 (14) After 24 hours, mortality in the treatment group should be similar to or less than
426 that of the control group and neither group should exceed 10% mortality. In the control
427 replicates a mortality of 10% should not be exceeded.

428 7.2. Simulated-use test

429 (15) A choice test similar to the design described below should be performed for
430 product authorization (Krüger et al. 2017).

431 (16) The simulated-use tests are designed to mimic the practical use situation and are
432 suitable for testing the performance of products. The tests should be relevant to the
433 intended use and label claims.

434 Test animals

435 (17) For simulated-use tests with repellents, whole healthy (wild or laboratory) ant
436 colonies should be used. Healthy ant colonies consist of queens, active workers and
437 different stages of brood without fungi or parasites inside the nest. The mentioned species
438 are polygynous, i.e. a colony contains variable number of queens. Therefore, 5 to 10
439 queens, brood and several hundred workers should be introduced into the test arenas.

440 Test arena

441 (18) For standardization arenas of at least 800 cm² ± 25% (Hooper-Bui and Rust 2000,
442 Rust et al. 2004, Krüger et al. 2017) with a wall height of 10 to 20 cm divided into two
443 similar compartments by an at least 1 cm wide line of slick insect barrier (sticky insect
444 glue or polytetrafluorethylene; generally non-toxic substances which do not influence ants
445 behavior should be used) should be used (fig. 3). Alternatively, a double chamber can be
446 used. In both cases, the compartments are connected via bridges. One compartment
447 contains the nest, the other food and water. The repellent is tested on small bridges, which
448 connect the compartments. During acclimatization, ants can reach the food via one bridge
449 and build a pheromone trail. Different bridges should be used for both porous and non-
450 porous surfaces (e.g. ceramic tile, plywood, painted plywood, stainless steel, concrete),
451 according to the label claim.

452 (19) The container for the ant nest can be made of wood or plastic. Examples for design
453 and size can be found in fig. 4 or fig. 5. Small holes in the walls are needed to enable the
454 ants access into the interior. A layer on the floor made of plaster to provide humidity for
455 the ants is suitable in plastic nests. The nests should provide darkness for the ants, but also
456 enable observation of the colony inside the nest during the test procedure once a week.
457 Therefore, a clear glass plate can serve as a lid with an additional dark plate on top can be
458 used. To observe the nest the dark plate can be removed. Disturbance of the ants inside the
459 nest is minimized because of the glass plate.

460 Test procedure

461 (20) Ant colonies (containing workers, brood and queens) are introduced in the test
462 arena (e.g. within the nest). After an acclimatization period of at least 7 days, the
463 formulated product (spray, liquid, gel, powder, dust etc.) is applied in the middle of the
464 bridge, covering the entire width in a stripe. Typically, the stripe should be 1 cm wide or
465 according to the label claim. Additionally, a second untreated bridge of the same material
466 is provided. Therefore, ants have a choice of two paths into the food and water
467 compartment: one, which they are accustomed to but treated with the repellent and a
468 second unaccustomed but untreated path.

469 (21) Depending on the size of the ant colony and the ant activity for 1 to 5 min (longer
470 observation periods are possible if ant activity is low), the number of ants crossing the
471 treated bridge (over the treated surface) and the untreated bridge are counted and recorded
472 separately.

473 (22) For testing residual efficacy, the test system can be let in place for the intended
474 time period the product is claimed for. Observations at regular time intervals should be
475 conducted. Residual efficacy testing can also be conducted with bridges treated with the
476 repellent and the bridges are then to be stored for the desired period before they are used
477 in the tests. The conditions of storage should reflect the real-use scenarios (temperature,
478 humidity, light, dust etc.).

479 (23) Tests should be performed with 5 replicates and 5 non-treated controls should be
480 used.

481 (24) A control treatment of the tested formulation(s) without active substance
482 (= placebo product) should be included in all tests. Control trials should mimic, as far as
483 possible, the test itself in terms of number of replications and individuals, for statistical
484 comparison and to get a fair impression of the levels of repellence.

485 (25) Environmental conditions must be specified for the test itself, and during the
486 storage of the treated surfaces (temperature, humidity, photoperiod and ventilation).

487 Effectiveness criteria

488 (26) At least 90% repellency should be achieved within the test period (or according to
489 the claim), directly after substance/product application and at the end of the claimed period.

490 (27) Proof of non-insecticidal efficacy: If a mortality of 10% in the treated replicates
491 during the test and 24 hours after the end of the test is recorded, this would lead to the
492 rejection of the authorization of the repellent product in the European Union. Dead ants
493 should be recorded and at the end of the test period; therefore all surviving individuals of
494 the colony should be frozen and counted.

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8. References

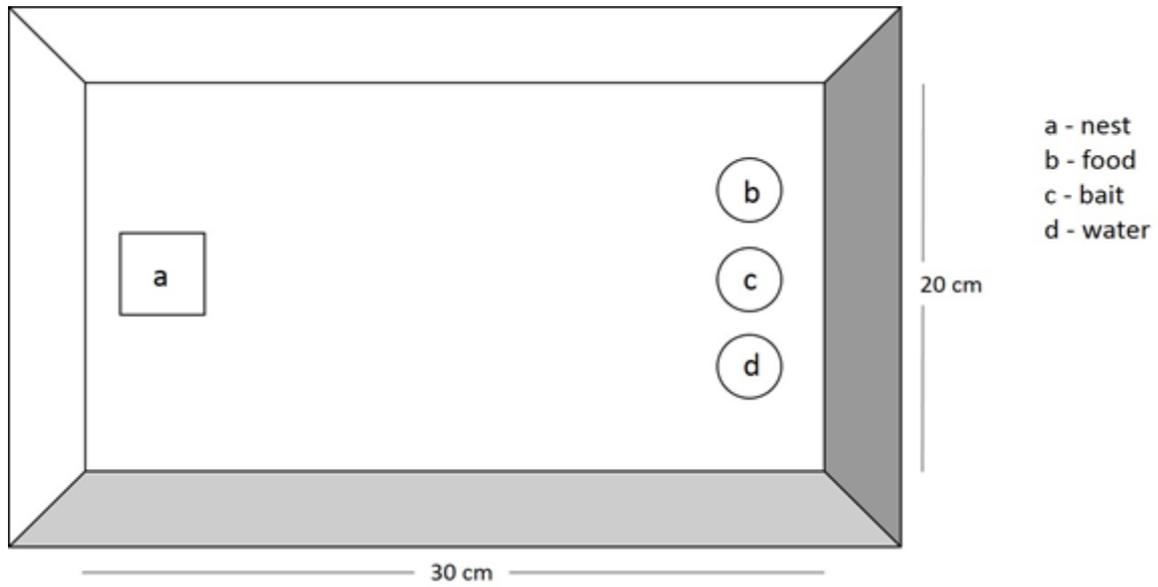
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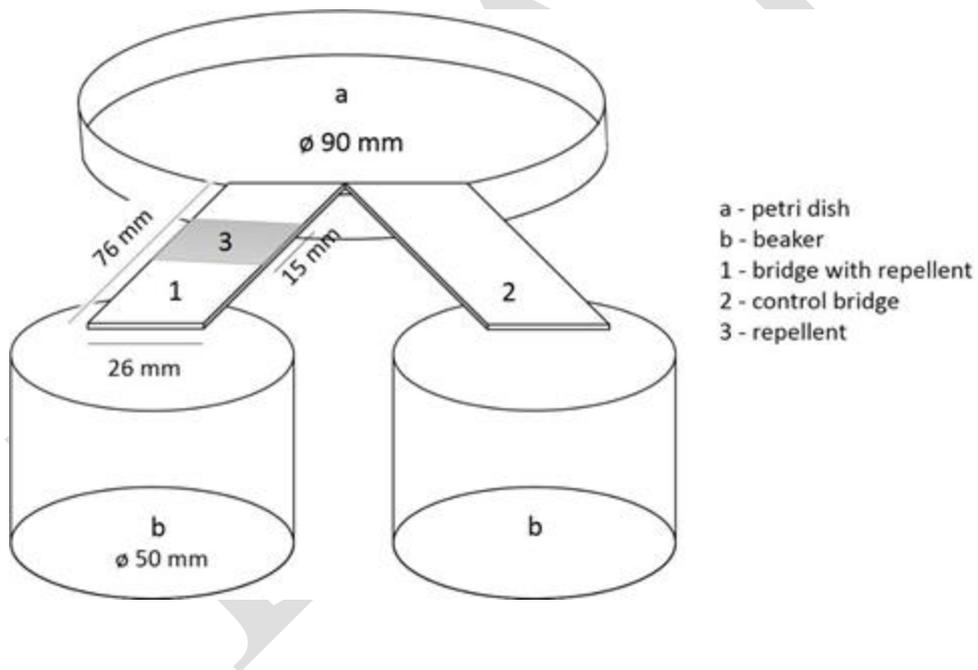
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546 Figure 1. Example for the experimental set-up for efficacy testing of baits against tropical ants.



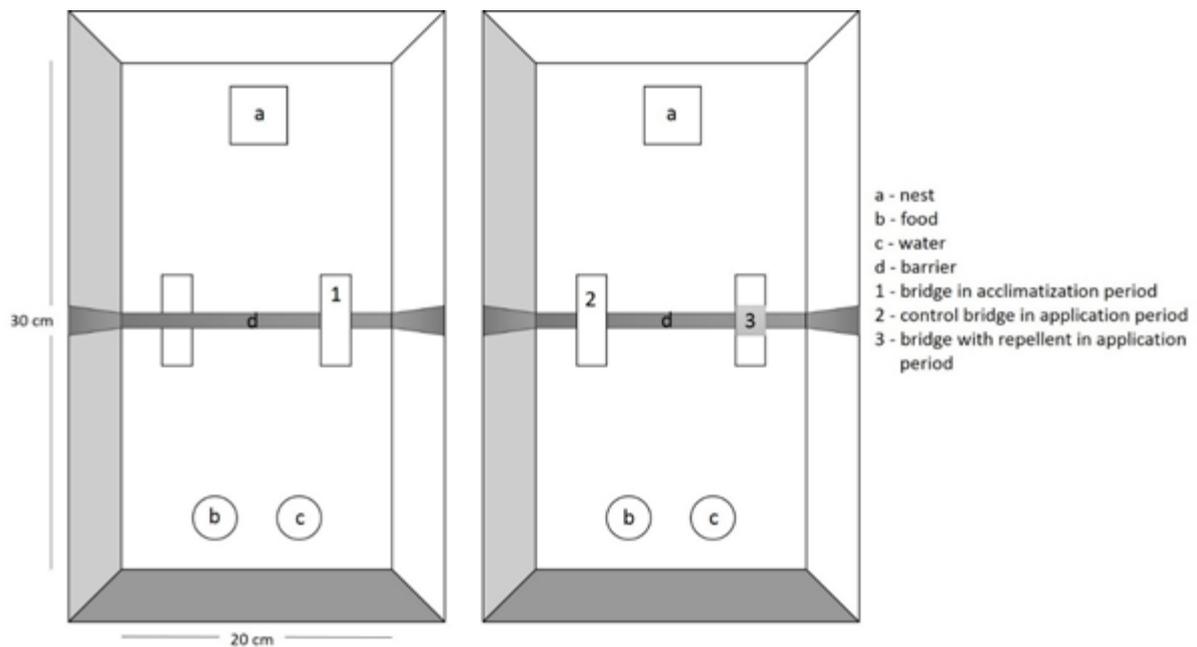
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548 Figure 2. Example for the experimental set-up of the laboratory test for repellents against ants.



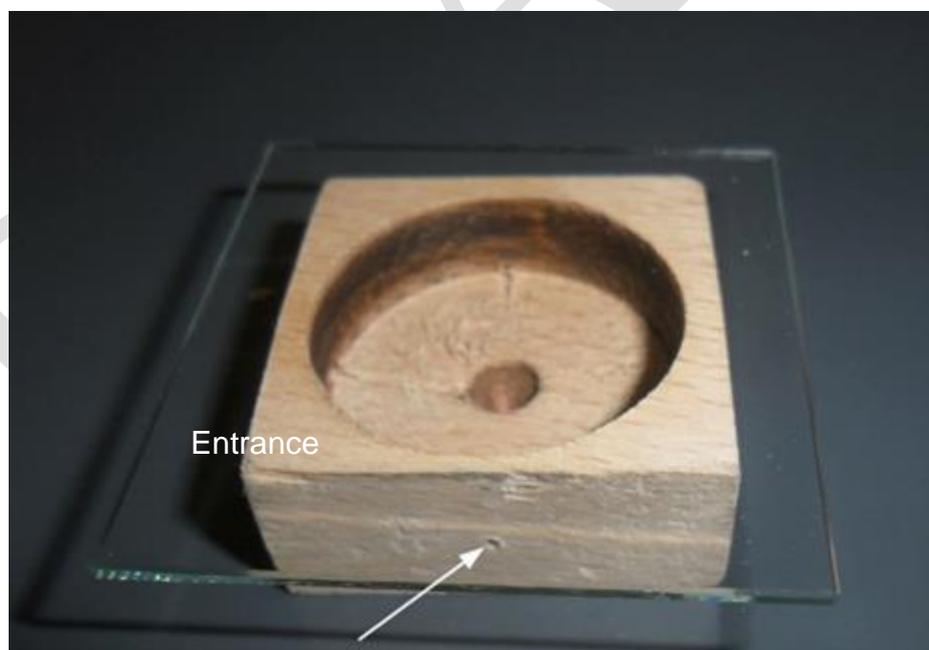
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551 Figure 3. Example for the experimental set-up of the simulated use-test for repellents against ants.



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554 Figure 4. Example for ant nests (3 cm by 3 cm by 1.6 cm) in simulated use tests. An additional plate
 555 for providing darkness in the nest should be placed on top.



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557 **Figure 5. Example for ant nests (5.8 cm by 3.7 cm by 2.6 cm) with a layer of plaster (about 0.5 cm)**
558 **on the floor for use in simulated use tests. For providing darkness in the nest the nest box should**
559 **be made of black plastic or an additional plate should be placed on the top.**



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