



**9<sup>TH</sup> ICGLR-OECD-UN GoE FORUM  
ON RESPONSIBLE MINERAL SUPPLY CHAINS**

**ANNOTATED AGENDA**

**4-6 May 2015**

**Venue:** OECD Conference Centre, Paris, France

**Format:** The 9<sup>th</sup> ICGLR-OECD-UN GoE Forum on Responsible Mineral Supply Chains will be held in Paris, France on the 4<sup>th</sup> – 6<sup>th</sup> May 2015 inclusive.

The Forum meeting will avoid long presentations by panellists. Instead, interveners will be asked to provide initial thoughts as a way of launching a high-value discussion which will fully engage the expertise in the room. All participants are encouraged to actively participate in the discussion.

**Rooms:** OECD Conference Centre CC1 for plenary sessions. Parallel sessions will be held in CC1 and CC10. Due to the high number of registered participants a listening room will be available in CC10 during plenary sessions.

**Registration and Badges:** Please bring your passport or identity card with you. Registration at the OECD will be open from 8:00 am on 4 May. Please register in the morning (recommended) or at least 30 minutes in advance of the official start of the meeting to allow time for all formalities. Please note there are multiple events occurring at the OECD conference centre on any given day, and as such there may be long lines. *For security reasons, you must wear your conference badge at all times.*

**Chatham House Rule:** The meeting will be held under the Chatham House Rule for all three days. *“When a meeting, or part thereof, is held under the Chatham House Rule, participants are free to use the information received, but neither the identity nor the affiliation of the speaker(s), nor that of any other participant, may be revealed.”* This rule also applies to social media – ***join the conversation at #OECDminerals.***



***Supported by the Instrument for Stability of the European Union<sup>1</sup>***



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<sup>1</sup> A new Regulation succeeding the Instrument for Stability entitled "the Instrument contributing to Stability and Peace" (IcSP) was adopted on 11th March 2014

DAY 1: MONDAY 4 MAY 2015	
<b>9:00 – 9:30</b>	<p><b>Welcome from hosts and goals for the Forum meeting</b></p> <p><b>Chair:</b> Ms. Mora Johnson, OECD Multi-stakeholder Steering Group (MSG) Chair and Senior Advisor, Department of Foreign Affairs, Trade and Development, Government of Canada</p> <ul style="list-style-type: none"> <li>• Ms. Gabriela Ramos, OECD Chief of Staff and Sherpa to the G20</li> <li>• Ambassador Ambeyi Ligabo: Representative of the Executive Secretary, International Conference of the Great Lakes Region.</li> </ul>
<b>9:30– 10:15</b>	<p><b>High-level updates from the UN Group of Experts on the DRC &amp; ICGLR Secretariat</b></p> <p><b>Chair:</b> Ms. Mora Johnson, OECD Multi-stakeholder Steering Group (MSG) Chair and Senior Advisor, Department of Foreign Affairs, Trade and Development, Government of Canada</p> <ul style="list-style-type: none"> <li>• Mr. Zobel Behalal, Natural Resources / Finance Expert, UN Group of Experts on the DRC</li> <li>• Mr. Gerard Nayuburundi, Coordinator, Technical Unit on Natural resources, ICGLR</li> </ul>
<b>10:15 – 10:45</b>	<b>Break</b>
<b>10:45 – 12:00</b>	<p><b>High-level updates from implementing countries in the Great Lakes region</b></p> <p><b>Chair:</b> Ambassador Zachary Muita-Muburi, ICGLR National Coordinator in Kenya</p> <ul style="list-style-type: none"> <li>• Honorable Evode Imena, Minister of State in Charge of Mining, Rwandan Ministry of Natural Resource</li> <li>• Ambassadeur Gaspard Kabura, National Coordinator, ICGLR, Burundi</li> <li>• Mr. Joseph Ikoli Yombo, Directeur de Cabinet Adjoint du Ministre des Mines, Democratic Republic of the Congo</li> <li>• Eng. John Nayopa, Assistant Commissioner for Mineral Licensing in the Ministry of Energy and Minerals, United Republic of Tanzania</li> <li>• Honourable Eddie Kwizera, Member of Parliament, Vice Chairperson of the Committee on Natural Resources, Republic of Uganda</li> </ul>
<b>12:00– 12:30</b>	<p><b>Update on Côte d'Ivoire</b></p> <p><b>Chair:</b> Ambassador Zachary Muita-Muburi, ICGLR National Coordinator in Kenya</p> <ul style="list-style-type: none"> <li>• Mr. Roberto Sollazzo, Expert on Diamonds and Natural Resources, UN Group of Experts on Côte d'Ivoire</li> </ul>
<b>12:30 – 13:00</b>	<p><b>OECD Secretariat activities in 2014 and work plan for 2015/2016</b></p> <p><b>Chair:</b> Ambassador Zachary Muita-Muburi, ICGLR National Coordinator in Kenya</p> <ul style="list-style-type: none"> <li>• Mr. Tyler Gillard, Manager, Sector Projects, Responsible Business Conduct Unit, OECD</li> </ul>
<b>13:00– 14:00</b>	<b>Lunch</b>
<b>14:00 – 15.15</b>	<p><b>Update on the European Union initiative and draft regulation on responsible supply chains of minerals from conflict-affected and high-risk areas</b></p> <p>This session will provide an update on the EU draft regulation and present foreseeable next steps.</p> <p><b>Moderator:</b> Professor Roel Nieuwenkamp, Chair of the OECD Working Party on Responsible Business Conduct</p> <p><b>Presenters:</b></p> <ul style="list-style-type: none"> <li>• Ms. Signe Ratso, Director, Trade Strategy and Analysis, Market Access, EC Directorate General of Trade</li> <li>• Mr. Guus Houttuin, Trade Issues and Business Coordinator, European External Action Service</li> </ul>

	<p><b>Discussants:</b></p> <ul style="list-style-type: none"> <li>• <i>Mr. Julian Lageard, Senior Manager, Global Public Policy, Intel Corporation</i></li> <li>• <i>Ms. Lucy Graham, Business and Human Rights Legal Adviser, Amnesty International</i></li> <li>• <i>Mr. Steffen Schmidt, Project Manager, International Mining Wolfram Bergbau und Hütten AG</i></li> </ul>
15:15 – 16:45	<p><b>Reporting under Step 5 of the Due Diligence Guidance: What does it mean and what should it look like?</b></p> <p>This session will provide clarification on the expectations of the OECD Due Diligence Guidance on what reporting by companies under Step 5 should entail. Panellists will present existing available tools to support meaningful reporting and share concrete experiences.</p> <p><b>Moderator:</b> <i>Ms. Hannah Koep-Andrieu, Policy Advisor, Extractives / Sector Projects, Responsible Business Conduct Unit, OECD</i></p> <p><b>Presenter:</b> <i>Ms. Beth Holzman, Advisor, Shift</i></p> <p><b>Discussants:</b></p> <ul style="list-style-type: none"> <li>• <i>Mr. Ulric Schwela, TIC for iTSCi</i></li> <li>• <i>Ms. Carolyn Duran, Director, Intel</i></li> <li>• <i>Ms. Jenny Sandahl, Programme Director, Materials Management and Conflict Minerals, Ericsson</i></li> <li>• <i>Ms. Carly Oboth, Policy Adviser, Global Witness</i></li> </ul>
16:45 – 17:15	<b>Break</b>
17:15 – 18:00	<p><b>Conflict-affected and high-risk areas as indicators for enhanced due diligence</b></p> <p>This session will provide participants with clarifications on the concept of conflict-affected and high-risk areas as envisaged in the Due Diligence Guidance, and how it should be used by companies to determine whether additional due diligence efforts are necessary.</p> <p><b>Moderator:</b> <i>Mr. Louis Maréchal, Policy Advisor, Extractives Industry, Responsible Business Conduct Unit, OECD</i></p> <ul style="list-style-type: none"> <li>• <i>Mr. Guus Houttuin, Trade Issues and Business Coordinator, European External Action Service</i></li> <li>• <i>Ms. Jacqueline MayorMeylan, Compliance Director, PAMP S.A.</i></li> </ul>
18:00 – 18:30	<p><b>Announcements from participants</b></p> <p><b>Chair:</b> <i>Ms. Mora Johnson, OECD Multi-stakeholder Steering Group (MSG) Chair and Senior Advisor, Department of Foreign Affairs, Trade and Development, Government of Canada</i></p>
	<b>END OF DAY 1</b>
From 19:00	<i>Cocktail</i>

<b>DAY 2: TUESDAY 5 MAY 2015</b>	
9:00 – 11:00	<p><b>On-the-ground progress and impacts of responsible production and sourcing efforts</b></p> <p>This session will provide an opportunity for sharing information and evidence on the evolution of militarization, conflict financing, human rights abuses, governance and socio-economic development in mining areas in the Great Lakes region over the last five years. The session will aim to explore how to optimize positive impacts of responsible production and sourcing efforts.</p> <p><b>Moderator:</b> <i>Mr. Zobel Behalal, Natural Resource / Finance Expert, UN Group of Experts</i></p> <p><b>Presenters:</b></p> <ul style="list-style-type: none"> <li>• <i>Ms. Fiona Southward, Researcher, IPIS</i></li> </ul>

	<ul style="list-style-type: none"> <li>• <i>Mr. Hassan Partow, DR Congo Programme Manager, United Nations Environment Programme</i></li> </ul> <p><b>Discussants:</b></p> <ul style="list-style-type: none"> <li>• <i>Mr. Remy Kasindi Lurhakwa, Centre de Recherches d'Études Stratégiques en Afrique Centrale (CRESA)</i></li> <li>• <i>Mr. John Kanyoni, Vice-Président de la chambre des mines, Fédération des Entreprises de Congo (FEC)</i></li> <li>• <i>Mr. Christoph Vogel, Researcher, Political Geography, University of Zurich</i></li> <li>• <i>Ms. Marlene Wafler, Field Technical Adviser, Pact</i></li> </ul> <p><b>Reference documents:</b></p> <p> <b>Mineral supply chains and conflict links in eastern DRC: 5 years on</b></p>	
<b>11:00 - 11:30</b>	<b>Coffee Break</b>	
	<p><b>Room CC1</b></p> <p><b>Gold production and trade in the Great Lakes region</b></p> <p>Presentation of key findings and recommendations of the series of five OECD-commissioned reports on DRC gold trading networks. Discussion around proposed follow-up actions.</p> <p><b>Moderator:</b> <i>Mr. Dirk-Jan Koch, Netherlands, Special Envoy for Raw Materials</i></p> <p><b>Presenter:</b> <i>Mr. Gregory Mthembu-Salter, Phuzumoya Consulting</i></p> <p><b>Discussants:</b></p> <ul style="list-style-type: none"> <li>• <i>Mr. Guy-Robert Lukama, Managing Director, Mongbwalu Gold Mines</i></li> <li>• <i>Mr. Shawn Blore, Technical Expert, Just Gold/Or Juste, Partnership Africa Canada</i></li> <li>• <i>Mr. Pascal Nyembo Muyumba, Directeur Général Adjoint du CEEC, DRC</i></li> <li>• <i>Mr. Joseph Okedi, Principal Inspector of Mines, Ministry of Energy and Mineral Development, Republic of Uganda</i></li> </ul> <p><b>Reference documents:</b></p> <p> <b>Gold Baseline Assessments (Katanga, South Kivu, Orientale (DRC) and Uganda)</b></p>	<p><b>Room CC10</b></p> <p><b>Challenges for downstream implementation of the Due Diligence Guidance for Small and Medium-Sized Enterprises (SMEs)</b></p> <p>This session will present the key findings of a study on due diligence implementation by SMEs in four different sectors (automotive, electronics, medical technology and jewellery industries). The session will also discuss the recommendations and proposed follow-up actions for downstream companies.</p> <p><b>Moderator:</b> <i>Dr. Ariel Meyerstein, Vice President, Labor Affairs, Corporate Responsibility &amp; Corporate Governance, United States Council for International Business</i></p> <p><b>Presenter:</b> <i>Dr. Yolande Kyngdon-McKay, Project Manager and Lead Researcher, Estelle Levin Ltd.</i></p> <p><b>Discussants:</b></p> <ul style="list-style-type: none"> <li>• <i>Dr. Marylyn Carrigan, Professor of Marketing in the Centre for Business in Society, Coventry University</i></li> <li>• <i>Ms. Franziska Killiches, Project Coordinator, BGR</i></li> <li>• <i>Ms. Sofia Bournou, Adviser, International Relations, Business Europe</i></li> <li>• <i>Mr. Sebastian Zaleski, DG GROW for Internal Market, Industry, Entrepreneurship and SMEs, European Commission</i></li> </ul>
<b>11:30 – 13:00</b>		
<b>13:00 – 14:00</b>	<b>Lunch</b>	
<b>14:00 – 15:15</b>	<p><b>Progress in fostering mineral supply chain transparency in Colombia</b></p> <p>This session will present progresses in fostering transparency and good governance of supply chains of minerals by the Colombian government and allow for discussion of key findings of the initial baseline report on Colombian gold production and trading networks.</p> <p><b>Moderator:</b> <i>Dr. Olivier Bovet, Multi-stakeholder Steering Group (MSG) member and Programme, Manager Trade Promotion, State Secretariat for Economic Affairs (SECO), Switzerland</i></p>	

	<ul style="list-style-type: none"> <li>• <i>H.E. Maria Isabel Ulloa Cruz, Vice-minister of Mines and Energy, Republic of Colombia</i></li> <li>• <i>Mr. Frederic Massé, Consultant</i></li> <li>• <i>Ms. Yaneth Martinez Pobeá, Secretary of the Miners' Association of Pueblito Mejía and San Martín de Loba</i></li> <li>• <i>Mr. Santiago Angel Urdinola, President, Asociacion Colombiana de Minería</i></li> <li>• <i>Dr. Wilfried H. Hörner, co- Chief Executive Officer, Argor-Heraeus</i></li> </ul>	
15:15 – 16:30	<p><b>China and the development of the China Chamber of Commerce of Metals Minerals and Chemicals Importers and Exporters (CCCMC) Guidelines</b></p> <p>Presentation of the first draft of the CCCMC Guidelines on responsible supply chains of minerals from conflict-affected and high-risk areas. Discussion of an audit mechanism for Chinese smelters and refiners.</p> <p><b>Moderator:</b> Ambassador Baudoin Kabarhuza Hamuli Ministry of Regional and International Cooperation, ICGLR National Coordinator, Democratic Republic of Congo</p> <p><b>Presenters:</b></p> <ul style="list-style-type: none"> <li>• <i>Mr. Sun Lihui, Director, Liaison Department, CCCMC</i></li> <li>• <i>Mr. Jannick Saegert, Technical advisor to the CCCMC</i></li> </ul> <p><b>Discussants:</b></p> <ul style="list-style-type: none"> <li>• <i>Mr. Michael Rohwer, Programme Director, Electronic Industry Citizenship Coalition</i></li> <li>• <i>Mr. Emmanuel Freudenthal, Campaigner for DRC, Global Witness</i></li> </ul>	
16: 30 – 17:00	<b>Coffee Break</b>	
17:00 – 18:30	<b>Room CC1</b>	<b>Room CC10</b>
	<p><b>Solutions for seized materials in the Great Lakes region</b></p> <p>This session will look into the critical issue of seized materials of 3TG minerals in countries implementing the ICGLR Regional Certification Mechanism, and examine and discuss practical proposed solutions.</p> <p><b>Moderator:</b> <i>Dr. Ariel Meyerstein, Vice President, Labor Affairs, Corporate Responsibility &amp; Corporate Governance, United States Council for International Business</i></p> <ul style="list-style-type: none"> <li>• <i>Honorable Evode Imena, Minister of State in Charge of Mining, Rwandan Ministry of Natural Resource</i></li> <li>• <i>Mr. Joseph Ikoli Yombo, Directeur de Cabinet Adjoint du Ministre des Mines de la RDC</i></li> <li>• <i>Ms. Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI</i></li> </ul>	<p><b>Consultation by the IGF of the draft ASM Guidance for Governments</b></p> <p>The Intergovernmental Forum on Mining, Minerals, Metals and Sustainable Development (IGF) is developing a comprehensive Guidance for Governments on managing Artisanal and Small-Scale Mining (ASM). The session will launch the public consultation process on the Guidance.</p> <p><b>Moderator:</b> <i>Mr. Andrew Dawe, Head of Secretariat, IGF and Deputy Director, Natural Resource Governance, Department of Foreign Affairs, Trade and Development Canada</i></p> <p><b>Presenter:</b> <i>Mr. Dan Paget, RCS Global</i></p> <p><b>Discussants:</b></p> <ul style="list-style-type: none"> <li>• <i>Mr. Paul Schure, Artisanal Gold Council and University of Victoria</i></li> <li>• <i>Mr. Terry Heymann, Director Responsible Gold, World Gold Council</i></li> <li>• <i>Eng. John Nayopa, Assistant Commissioner for Mineral Licensing in the Ministry of Energy and Minerals, United Republic of Tanzania – tbc</i></li> <li>• <i>Ms. Michèle Brühlhart, Head of Auditing, RCS Global</i></li> </ul>
	<b>END OF DAY 2</b>	

DAY 3: WEDNESDAY 6 MAY 2015		
<b>9:00 – 10:30</b>	<b>Room CC1</b>	<b>Room CC10</b>
	<p><b>Responsible Gold Markets</b></p> <p>This session will explore progress to date in the implementation of the OECD Due Diligence Guidance by exchanges and companies in major gold markets around the world, including China, India, Switzerland, Turkey, the United Arab Emirates and the United States.</p> <p><b>Moderator:</b> Mr. Tyler Gillard, Manager, Sector Projects, Responsible Business Conduct Unit, OECD</p> <ul style="list-style-type: none"> <li>• Mr. Yunus Oğuzhan Aloglu, Director Precious Metals &amp; Diamond Markets, Borsa Istanbul</li> <li>• Mr. Sun Lihui, Director, Liaison Department, CCCMC</li> <li>• Ms. Ruth Crowell, Chief Executive Officer, LBMA</li> <li>• Ms. Harriet Hunnab, Global Head of Precious Metals CMEgroup</li> </ul>	<p><b>Women as Economic Actors in Responsible Supply Chains of Minerals</b></p> <p>This session will aim to showcase the importance of supporting women empowerment to help prevent serious and systematic abuses of women's rights, foster responsible practices and support peacebuilding and human rights in mining areas.</p> <p><b>Moderator:</b> Ms. Joanne Lebert, Director Great Lakes Programme, Partnership Africa Canada</p> <ul style="list-style-type: none"> <li>• Ms. Bibiche Laumu, University of Kisangani &amp; ARED</li> <li>• Mr. Stephen Turyahikayo, Consultant</li> <li>• Ms. Karen Hayes, Senior Director, Mines to Market, Pact</li> <li>• Mr. Gerard Nayurburundi, Coordinator, Technical Unit on Natural resources, ICGLR</li> </ul>
<b>10:30 - 11:00</b>	<b>Coffee Break</b>	
<b>11:00 – 13:00</b>	<p><b>Financing models for responsible artisanal and small-scale mining and development of market-oriented activities</b></p> <p>This session will give an opportunity to present different existing financing models for formalisation and pre-financing models for artisanal mining production</p> <p><b>Moderator:</b> Ms. Ruth Crowell, Chief Executive Officer, LBMA</p> <ul style="list-style-type: none"> <li>• Mr. Etienne Atger, Barksanem SARL, Burkina Faso</li> <li>• Eng. John Nayopa, Assistant Commissioner for Mineral Licensing in the Ministry of Energy and Minerals, United Republic of Tanzania</li> <li>• Mr. Assheton Carter, Director, The Dragonfly Initiative</li> <li>• Mr. Charles Nzigamasabo, Chairman of a Burundian gold mining cooperative</li> <li>• Dr. Paul Schure, Artisanal Gold Council and University of Victoria</li> </ul>	
<b>13:00 – 14:00</b>	<b>Lunch</b>	
<p><b>2<sup>nd</sup> edition of the Responsible Investor Forum</b></p> <p>The Responsible Investor Forum aims to clarify international expectations on responsible production and sourcing (e.g. avoiding disengagement, adopting progressive approaches while cutting off the most harmful elements of the trade) and showcase the international support for sourcing responsibly from conflict-affected and high-risk areas. The sessions will discuss bottlenecks preventing the financial sector to play a bigger role in setting up responsible mineral supply chains from conflict-affected and high risk areas. The aim is to provide practical recommendations to companies willing to become active stakeholders in the implementation programme of the Due Diligence Guidance.</p>		

<p><b>14:00 – 16:15</b></p>	<p><b>2<sup>nd</sup> edition of the Responsible Investor Forum</b></p> <p><b>Financing and lending for responsible supply chains of minerals from conflict-affected and high-risk areas / The role of investors and asset managers in responsible supply chains of minerals</b></p> <p>This session will focus on how production and trade in commodity is financed and insured and how actors ensure that their business partners source responsibly. This session will also help clarify expectations by investors and asset managers when taking investment decisions and the opportunities they have to drive responsible sourcing practices of companies they invest in. Constraints, whether legal (i.e. related to the Dodd Frank Act requirements) or otherwise (i.e. pressure from board members, fear of reputational damage, etc.), will also be discussed.</p> <p><b>Moderators:</b></p> <p><i>Professor Roel Nieuwenkamp, Chair of the OECD Working Party on Responsible Business Conduct and Mr. Tyler Gillard, Manager, Sector Projects, Responsible Business Conduct Unit, OECD</i></p> <p><b>Discussants:</b></p> <ul style="list-style-type: none"> <li>• <i>Dr. Peter Kilchenmann, Head Business Risk Management Sales &amp; Trading Services, Crédit Suisse AG</i></li> <li>• <i>Mr. Thierry Ntumba, DRC General Counsel, Banro Corporation</i></li> <li>• <i>Mr. Arthur van Mansvelt, Sustainability Analyst, Triodos Investment Advisory &amp; Services</i></li> <li>• <i>Ms. Helena Vines Fiestas, Head of Sustainability Research, BNP Paribas Asset Management</i></li> <li>• <i>Mr. Rob Lake, Independent Responsible Investment Advisor</i></li> </ul>
<p><b>16:15 – 16:45</b></p>	<p><b>Coffee Break</b></p>
<p><b>16 :45 – 18 :00</b></p>	<p><b>Existing due diligence efforts in other mineral supply chains</b></p> <p>The OECD Due Diligence Guidance can already be used to build responsible mineral supply chains beyond 3TG minerals, in light of broader expectations already established under existing instruments, such as the OECD Guidelines for Multinational Enterprises or the UN Guiding Principles on Business and Human Rights. This session will showcase how companies are supporting transparency in supply chains of minerals other than 3TG and how they are implementing due diligence.</p> <p><b>Moderator:</b> <i>Ms. Ana Novik, Head of Investment Division, OECD</i></p> <ul style="list-style-type: none"> <li>• <i>Mr. David A. Bouffard, Signet Vice-President Corporate Affairs, Signet Jewelers Ltd.</i></li> <li>• <i>Mr. Jon Samuel, Anglo American’s Group Head of Government and Social Affairs</i></li> <li>• <i>Mr. Jamal Usseni, Coordinator, Save Act Mine</i></li> </ul>
<p><b>18:00 – 18:15</b></p>	<p><b>CLOSING of the 9th Forum on Responsible Mineral Supply Chains</b></p>
	<p><b>END OF FORUM</b></p>