

7th ICGLR-OECD-UN GoE Forum on Responsible Mineral Supply Chains

Annotated agenda

26-28 May 2014
Paris, France



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 #OECDminerals



7TH ICGLR-OECD-UN GoE FORUM ON RESPONSIBLE MINERAL SUPPLY CHAINS

26-28 May 2014

Venue: OECD Conference Centre, Paris, France

Format: The 7th ICGLR-OECD-UN GoE Forum on Responsible Mineral Supply Chains will be held in Paris, France on the 26th, 27th and 28th of May 2014.

The Forum meeting will avoid long presentations by panellists. Instead, interveners will be asked to provide initial thoughts as a way of launching a high-value discussion which will fully engage the expertise in the room. All participants are encouraged to actively participate in the discussion.

Rooms: OECD Conference Centre CC1 for plenary sessions. Parallel sessions will be held in CC1 and CC12.

Registration and Badges: Please bring your passport or identity card with you. Registration at the OECD will be open from 8:00 am on 26 May. Please register in the morning (recommended) or at least 30 minutes in advance of the official start of the meeting to allow time for all formalities. Please note there are multiple events occurring at the OECD conference centre on any given day, and as such there may be long lines. *For security reasons, you must wear your conference badge at all times.*

Chatham House Rule: The meeting will be held under the Chatham House Rule for all three days. *“When a meeting, or part thereof, is held under the Chatham House Rule, participants are free to use the information received, but neither the identity nor the affiliation of the speaker(s), nor that of any other participant, may be revealed.”* This rule also applies to social media – **join the conversation at #OECDminerals.**



Supported by the Instrument for Stability of the European Union¹

¹ A new Regulation succeeding the Instrument for Stability entitled "the Instrument contributing to Stability and Peace" (IcSP) was adopted on 11th March 2014

DAY 1: MONDAY 26 MAY 2014	
9:00 – 12:30	OPTIONAL SESSIONS
9:00 – 12:30	<p>Expert learning session on conflict-affected and high-risk areas (optional) CC12</p> <p>Presentation of the approaches to identifying conflict-affected and high-risk areas by the Geneva Academy, Control Risks, the US State Department and Amnesty International.</p> <p>Break out session to test indicators using scenarios of fictitious conflict-affected and high-risk areas</p> <p>Panel discussion and Q&A on how risk-based due diligence should be tailored to the context of a conflict-affected and high-risk area and a company's operations, relationships and impacts of sourcing decisions</p> <p>Facilitator: <i>Ms. Sabrina Ramzi, OECD Multi-stakeholder Steering Group (MSG) Chair and Senior Policy Officer, Department of Foreign Affairs, Trade and Development, Government of Canada</i></p> <p>Panellists:</p> <ul style="list-style-type: none"> • <i>Mr. Stuart Casey-Maslen, Head of Research, and Ms. Sandra Kraehenmann, Research Fellow, Geneva Academy of International Humanitarian Law and Human Rights</i> • <i>Ms. Eileen Kane, Conflict Minerals and Kimberley Process, Bureau of Economic Affairs, US Government</i> • <i>Mr. Jonathan Wood, Associate Director - Global Risk Analysis, Control Risks</i> • <i>Ms. Seema Joshi, OECD Multi-stakeholder Steering Group (MSG) Civil Society Vice-Chair and Head of Business and Human Rights at Amnesty International</i>
	<p style="text-align: center;">10:30 – 12:30</p> <p>Introductory session on the OECD Due Diligence Guidance and industry programmes (optional) CC7</p> <p>Presentations:</p> <ul style="list-style-type: none"> • <i>Ms. Shivani Kannabhiran, Policy Analyst, Investment Division, Directorate of Enterprise and Financial Affairs (DAF), OECD</i> • <i>ICGLR</i> • <i>ITRI</i> <p>Representatives from the following initiatives will be present to answer questions about their programmes:</p> <p><i>International Conference on the Great Lakes Region (ICGLR), Conflict-Free Sourcing Initiative (CFSI), Dubai Multi Commodities Centre (DMCC), Fairmined, ITRI and Pact from the Tin Supply Chain Initiative (iTSCi), London Bullion Market Association (LBMA), Responsible Jewellery Council (RJC), and the World Gold Council</i></p>
12:30 – 13:30	Lunch
13:30	Doors open to welcome participants to the 7th Forum– please be seated in CC1 by 13:45 latest
14:00 – 14:20	<p>OPENING of the 7th Forum on Responsible Mineral Supply Chains, CC 1</p> <p>Chair: <i>Ms. Sabrina Ramzi, OECD Multi-stakeholder Steering Group (MSG) Chair and Senior Policy Officer, Department of Foreign Affairs, Trade and Development, Government of Canada</i></p> <p>Welcome from hosts:</p> <ul style="list-style-type: none"> • <i>Mr. Jon Lomoy, Director, Development Co-operation Directorate, OECD</i> • <i>Ambassador Vicente Muanda, Deputy Executive Secretary, International Conference on the Great Lakes Region (ICGLR)</i>

14:20 – 16:00	<p>Updates from the UN, MONUSCO and the ICGLR Secretariat</p> <p>Facilitator: Mr. Jon Lomoy, Director, Development Co-operation Directorate, OECD</p> <ul style="list-style-type: none"> • Update from the UN Group of Experts on the DRC: Mr. Daniel Fahey, Coordinator • Update from MONUSCO on monitoring Human Rights in mining areas: Mr. Mamadou Angelo Diallo, Program Manager of the Responsible Minerals Trade Project, MONUSCO / IOM • Update from the ICGLR Secretariat: Ambassador Ambeyi Ligabo, Democracy and Good Governance Programme Officer, Executive Secretary of the International Conference on the Great Lakes Region, ICGLR • Update from the UN Group of Experts on Cote d’Ivoire: Mr. Roberto Sollazzo, Diamond Expert
16:00 – 18:00	<p>Regulatory and other updates: EU integrated approach and ICGLR member state updates on implementation</p> <p>Facilitator: Mr. Jon Lomoy, Director, Development Co-operation Directorate, OECD</p> <ul style="list-style-type: none"> • EU integrated approach on responsible supply chains of minerals from conflict-affected and high-risk areas: Ms. Signe Ratso, Director Trade Strategy and Analysis, Market Access at DG Trade, European Commission and Ms. Francesca Cardona, Advisor - Great Lakes thematic issues, European External Action Service (EEAS) • ICGLR member state updates on implementation: <ul style="list-style-type: none"> ▪ Burundi: Mr. Paul Ndarihonyoye, Advisor to the Minister of Energy and Mines ▪ Democratic Republic of the Congo: Mr. Pascal Nyembo Muyumba, Directeur Général Adjoint du CEEC et Coordonnateur National de Lutte Contre la Fraude Minière ▪ Republic of the Congo: M. Joachim Louis Marie Djama, General Director of Mines (tbc) ▪ Rwanda: H.E. Evode Imena, Minister of State in Charge of Mining, Ministry of Natural Resources, Government of Rwanda ▪ South Sudan: Mr. Bethsmith Aliga William, Senior Geologist from the Ministry of Petroleum and Mining ▪ Uganda: The Honorable Mr. Eddie Kwizera, Deputy National Coordinator, Parliament of Uganda (tbc)
18:00 – 18:30	<p>Precious stones update</p> <p>Facilitator: Ms. Sabrina Ramzi, OECD Multi-stakeholder Steering Group (MSG) Chair and Senior Policy Officer, Department of Foreign Affairs, Trade and Development, Government of Canada</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Ms. Ashley Orbach, Special Advisor and Kimberly Process Focal Point, US Department of State • Mr. David A. Bouffard, Signet Vice-President Corporate Affairs, Signet Jewelers Ltd. • Mr. Alan Martin, Director of Research, Partnership Africa Canada (PAC)
END OF DAY 1	
18:30 to 20:30	<p>Networking cocktail: OECD Chateau - George Marshall Room</p> <p>19:00: Launch of the Mandarin edition of the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas</p> <p>Mr. Sangkyom Kim, Deputy Director, Directorate of Enterprise and Financial Affairs, OECD</p> <p>Representatives from the People’s Republic of China and the China Chamber of Commerce Minerals and Chemicals Exporters and Importers (CCCMC) will be present</p>

DAY 2: TUESDAY 27 MAY 2014	
8:30 – 10:00	PARALLEL SESSIONS
8:30 – 10:00	<p>Obstacles and incentives to source from conflict-affected and high-risk areas: The Tungsten Case – CC1</p> <p><i>Perspectives of tungsten producers in Africa’s Great Lakes Region and international tungsten users/buyers; Managing due diligence costs and sustainable financing; Creating incentives to source tungsten from the Great Lakes region; Drawing wider lessons for all minerals.</i></p> <p>Facilitator: H.R.H. Prince Jaime de Bourbon Parme, Special Envoy Natural Resources, Ministry of Foreign Affairs, The Netherlands</p> <p>Interveners:</p> <ul style="list-style-type: none"> • H.E. Evode Imena, Minister of State in Charge of Mining, Ministry of Natural Resources, Government of Rwanda • Mr. Brian Menell, Chief Executive, Tinco Investments Ltd. • Mr. Steffan Schmidt, Project Manager International Mining, Wolfram Bergbau und Hütten AG • Mr. Michael Loch, Director of Supply Chain Sustainability, Motorola Solutions Inc
	<p>Gold Baseline assessments (upstream) – CC12</p> <p><i>1st baseline assessment of Katanga, DRC - Implications for outreach and training activities</i></p> <p>Facilitator: Mr. Zobel Behalal, Natural Resources Expert, UN GoE</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Mr. Gregory Mthembu-Salter, Director, Phuzumoya Consulting • (Abbé) David Ngoy Luhaka, Commission Diocésaine Justice et Paix Kalemie, Observatoire pour les Ressources Naturelles • Mr. Uwe Näher, Project Manager Certification, BGR • Mr. Jason Traub, Managing Director, Metaux Precieux
10:00 – 10:30	Break
10:30 – 11:00	<p>Key announcements from implementation programmes and initiatives – CC1</p> <p>Facilitator: Professor Dr. Roel Nieuwenkamp, Chair of the OECD Working Party on Responsible Business Conduct</p>
11:00 – 12:30	<p>Public Reporting on Due Diligence</p> <p><i>Public reporting under Step 5 of the OECD Due Diligence Guidance Reporting; company experiences and examples. The integration into sustainability and human rights reporting</i></p> <p>Facilitator: Professor Dr. Roel Nieuwenkamp, Chair of the OECD Working Party on Responsible Business Conduct</p> <p>Presentation by: Ms. Hannah Koep-Andrieu, Policy Analyst, Investment Division, Directorate of Enterprise and Financial Affairs (DAF), OECD</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Ms. Seema Joshi, OECD Multi-stakeholder Steering Group (MSG) Civil Society Vice-Chair and Head of Business and Human Rights at Amnesty International • Mr. Jay Celorie, Global Program Manager Conflict Minerals, Hewlett-Packard Company • Mr. Neil Harby, Head of Evaluation, Rand Refinery • Mr. John Kanyoni, Fédération des Entreprises du Congo (FEC)
12:30 – 14:00	Lunch

14:00 – 15:15	<p>Dodd-Frank Conflict Minerals Reporting and Auditing</p> <p>Facilitator: Professor Dr. Roel Nieuwenkamp, Chair of the OECD Working Party on Responsible Business Conduct</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Mr. David A. Bouffard, Signet Vice-President Corporate Affairs, Signet Jewelers Ltd. • Mr. Bryan Fiereck, Conflict Minerals Program Manager, Intel Corporation • Mr. Paul Cullen Beatley, Board of Directors of the Auditing Roundtable
15:15 – 15:45	<p>Break</p>
15:45 – 17:00	<p>Refiner/smelter due diligence and auditing: consistency and harmonisation with the OECD Due Diligence Guidance</p> <p>Facilitator: H.R.H. Prince Jaime de Bourbon Parme, Special Envoy Natural Resources, Ministry of Foreign Affairs, The Netherlands</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Mr. Michael Rohwer, Program Director, Electronic Industry Citizenship Coalition • Ms. Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI • Ms. Ruth Crowell, Multi-stakeholder Steering Group (MSG) Vice chair and CEO, London Bullion Market Association (LBMA) • Mr. Franco Bosoni, Director Commodity Services, Dubai Multi Commodities Centre (DMCC) • Dr. Fiona Solomon, Multi-stakeholder Steering Group (MSG) member and Director of Standards Development, Responsible Jewellery Council • Ms. Jacqueline Mayor-Melan, Director, PAMP
17:00 – 17:45	<p>Implementing due diligence in the gold supply chain in Turkey</p> <p>Facilitator: H.R.H. Prince Jaime de Bourbon Parme, Special Envoy Natural Resources, Ministry of Foreign Affairs, The Netherlands</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Dr. Cetin Ali Donmez, Executive Vice President, Borsa Istanbul • Mr. Gürsel Özdemir, Director General, İstanbul Gold Refinery • Ms. Behnar Ilgin, International Projects Director, Nadir Metal
17:45 -18:30	<p>Implementing due diligence in gold supply chains in the Middle East</p> <p>Facilitator: H.R.H. Prince Jaime de Bourbon Parme, Special Envoy Natural Resources, Ministry of Foreign Affairs, The Netherlands</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Mr. Franco Bosoni, Director Commodity Services, Dubai Multi Commodities Centre (DMCC) • Mr. Rami Shakarchi, Managing Director and Mr. Abidh C.P., Compliance Officer, Emirates Gold • Mr. Alan Martin, Director of Research, Partnership Africa Canada (PAC)
	<p>END OF DAY 2</p>

DAY 3: WEDNESDAY 28 MAY 2014			
8:30 -8:40	Welcome: Mr. Eric Solheim, Chair of the OECD Development Assistance Committee		
8:40 - 10:10	<p>Role of government in enabling responsible mineral sourcing</p> <p>Facilitator: H.R.H. Prince Jaime de Bourbon Parme, Special Envoy Natural Resources, Ministry of Foreign Affairs, The Netherlands</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Mr. Guus Houttuin, Adviser Trade Issues, European External Action Service (EEAS) • Ms. Brenda Killen, Head of Division, Development Co-operation Directorate, OECD • Mr. Joseph Ikoli, Directeur de Cabinet Adjoint, Ministère des Mines, DRC • Ms. Eileen Kane, Conflict Minerals and Kimberley Process, Bureau of Economic Affairs, US Government 		
10:10 – 10:30	Break		
10:30 – 12:30	PARALLEL SESSIONS		
10:30 – 12:30	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; vertical-align: top;"> <p>Artisanal and Small-scale Mining – CC1</p> <p>Role of legislative context and government policies for ASM and formalization efforts: Success stories, cultural contexts, models that work</p> <p>Facilitator: Mr. Andrew Dawe, Head of Secretariat, Intergovernmental Forum on Mining, Minerals, Metals and Sustainable Development (IGF) and Deputy Director, Natural Resource Governance, Department of Foreign Affairs, Trade and Development Canada</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Mr. Banny Banza, SEASSCAM, Government of the DRC • Mr. Jikssa Kidane Zewge, Higher Expert in Artisanal Mining and Transaction Coordination Directorate, Ministry of Mines, Ethiopia • Mr Simon Atebiya, Technical Director (Mining). Ministry of Mines, Ghana • Ms. Adriana Mazuera, Head of planning and international affairs office, Ministry of Mines, Government of Colombia </td> <td style="width: 50%; vertical-align: top;"> <p>Due Diligence and SMEs – CC12</p> <p>How do companies engage with SMEs? How can other stakeholders help? What are the differences between upstream and downstream SMEs?</p> <p>Facilitator: Dr. Gudrun Franken, Multi-stakeholder Steering Group (MSG) member and Head of Unit, Mining and Sustainability, Federal Institute for Geosciences and Natural Resources (BGR)</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Ms. Fern Abrams, Director of Regulatory Affairs and Government Relations, IPC – Association Connecting Electronics Industries • Ms. Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI • Dr. Fiona Solomon, Multi-stakeholder Steering Group (MSG) member and Director of Standards Development, Responsible Jewellery Council • Mr. Jamal Usseni, Coordinator, Save Act Mine • Mr. Michelangelo Margherita, Deputy Head of Unit at DG Trade, European Commission </td> </tr> </table>	<p>Artisanal and Small-scale Mining – CC1</p> <p>Role of legislative context and government policies for ASM and formalization efforts: Success stories, cultural contexts, models that work</p> <p>Facilitator: Mr. Andrew Dawe, Head of Secretariat, Intergovernmental Forum on Mining, Minerals, Metals and Sustainable Development (IGF) and Deputy Director, Natural Resource Governance, Department of Foreign Affairs, Trade and Development Canada</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Mr. Banny Banza, SEASSCAM, Government of the DRC • Mr. Jikssa Kidane Zewge, Higher Expert in Artisanal Mining and Transaction Coordination Directorate, Ministry of Mines, Ethiopia • Mr Simon Atebiya, Technical Director (Mining). Ministry of Mines, Ghana • Ms. Adriana Mazuera, Head of planning and international affairs office, Ministry of Mines, Government of Colombia 	<p>Due Diligence and SMEs – CC12</p> <p>How do companies engage with SMEs? How can other stakeholders help? What are the differences between upstream and downstream SMEs?</p> <p>Facilitator: Dr. Gudrun Franken, Multi-stakeholder Steering Group (MSG) member and Head of Unit, Mining and Sustainability, Federal Institute for Geosciences and Natural Resources (BGR)</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Ms. Fern Abrams, Director of Regulatory Affairs and Government Relations, IPC – Association Connecting Electronics Industries • Ms. Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI • Dr. Fiona Solomon, Multi-stakeholder Steering Group (MSG) member and Director of Standards Development, Responsible Jewellery Council • Mr. Jamal Usseni, Coordinator, Save Act Mine • Mr. Michelangelo Margherita, Deputy Head of Unit at DG Trade, European Commission
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12:30 – 14.00	Lunch		
14:00 – 15:30	<p>Worst Forms of Child Labour (WFCL) in the Mining Sector</p> <p>Facilitator: Ms. Sabrina Ramzi, OECD Multi-stakeholder Steering Group (MSG) Chair, member of the informal Working Group on WFCL in Mining and Senior Policy Officer, Department of Foreign Affairs, Trade and Development, Government of Canada</p>		

	<p>Interveners:</p> <ul style="list-style-type: none"> • Mr. Alexandre Soho, Expert on child labour in mining, International Labour Organisation (ILO) • Ms. France Bourgooin, Manager, Advisory Services, Business for Social Responsibility • Ms. Vestine Mukarukundo, Executive Director, Social Protection for Women and Family in Africa (SOPROWOFA) • Mr. Janvier Murairi Bakihanaye, President, ASSODIP • Ms. Karen Hayes, Director Mines to Market Programme, Pact, representative of the informal Working Group on WFCL in Mining
15:30 – 16:00	Break
16:00 – 17:30	<p>Colombia</p> <p>Presentation of outreach efforts by Colombia, overview of proposed baseline assessment, updates from Colombian gold industry (artisanal miner, Medellin refiner) and international refiner sourcing from Colombia, and other relevant regulatory or governance developments in Colombia (e.g. Colombia's Single Registry RUCOM)</p> <p>Facilitator: Dr. Olivier Bovet, Multi-stakeholder Steering Group (MSG) member and Programme Manager Trade Promotion, State Secretariat for Economic Affairs (SECO), Switzerland</p> <p>Interveners:</p> <ul style="list-style-type: none"> • Ms. Adriana Mazuera, Head of planning and international affairs office, Ministry of Mines, Government of Colombia • Mr. Santiago Angel U., Vice President of Mining, Oil & Gas and Energy, National Business Association of Colombia – ANDI • Mr. Jaime Ignacio Gutiérrez Bernal, Gerente General, CIIGSA • Ms. Janet McCarthy, Group General Counsel, Metalor Technologies SA • Mr. Frederic Masse, Profesor de la Universidad Externado de Colombia, Director del Centro de Investigaciones y Proyectos Especiales (CIPE) • Mr. Harbi Guerrero, Artisanal miner from La Llanada , Narino
17:30 – 18:30	<p>Facilitator: Professor Roel Nieuwenkamp, Chair of the OECD Working Party on Responsible Business Conduct</p> <p>Update from 3T working group on next steps</p> <p>Discussion and adoption of Communiqué from 7th Forum</p> <p>Presentation by: Mr. Tyler Gillard, Head of Project, Investment Division, Directorate of Enterprise and Financial Affairs (DAF), OECD</p>
18:30-18:45	<p>CLOSING of the 7th Forum on Responsible Mineral Supply Chains</p> <p>Chair: Ms. Sabrina Ramzi, OECD Multi-stakeholder Steering Group (MSG) Chair and Senior Policy Officer, Department of Foreign Affairs, Trade and Development, Government of Canada</p> <ul style="list-style-type: none"> • Date and location of 8th Forum: 3-5 November 2014 in the DRC • Any other announcements
	END OF FORUM

