



ICGLR-OECD-UNGOE MEETING ON IMPLEMENTATION OF OECD GUIDANCE FOR RESPONSIBLE MINERAL SUPPLY CHAINS IN THE GREAT LAKES REGION

3-4 May 2012

Action plan May-November 2012

- ***Donor-supported programmes session***

Finalise the mapping of donor supported programmes in the Great Lakes region, emphasising the need for a regional approach to the issue of responsible mineral trade. Donors should support host governments' reform agendas, strengthen the capacity of civil society and focus on systemic challenges which are often outside the reach of companies and can hamper corporate due diligence efforts. Donors are encouraged to:

- (i) adopt a whole-of-government approach to articulate a comprehensive, multi-sector response to identified systemic challenges,
- (ii) raise awareness about the Guidance among their industry and
- (iii) engage with emerging economies.

- ***Governance session***

Hold a first call of an interim committee tasked with establishing the mandate and membership of the new governance structure for the OECD due diligence process. The interim committee would include the members of the former Steering Group on the institutionalised mechanism, as well as other volunteers to reflect the diverse multi-stakeholder participation of the ICGLR-OECD-UN GoE joint forum.

- ***Smelter engagement session***

Consider adjusting and revising the draft smelter list in light of comments received and input from participants, and provide contacts details of smelters on request. Remove gold refiners/smelters from the list. Industry associations and/or the ICGLR-OECD-UN GoE joint forum, depending on any decision from the interim committee, may wish to consider the ongoing maintenance of any smelter list(s).

OECD countries, in cooperation with the ICGLR, may consider how to reach out to domestic industries as well as non-OECD member smelter countries, such as China, Indonesia, Russia and other relevant countries, for them to encourage their smelters to perform due diligence in accordance with the OECD Guidance and engage in industry programmes, such as the EICC and GeSI Conflict Free Smelter Program.

Asia-based smelters participating in the meeting volunteer to be "OECD Due Diligence champions", which may include disseminating the OECD DDG amongst their peers, including national industry bodies, and eventually facilitating meetings in their countries.

- ***Upstream break-out session***

Taking into account final SEC rules, the EICC and GeSI Conflict Free Smelter Program will accept ICGLR certificates as a credible in-region sourcing mechanism, once validated by the CFS programme and so long as minerals originate from “green” validated sites.

ICGLR members, the ICGLR Secretariat and iTSCi, as well as their consultants, are encouraged to continue discussion on data-sharing, taking into account capacity constraints which may be eased by more effective ways of data transfer and maintenance.

Encourage more effective coordination amongst different initiatives to minimize inefficiencies in on-the-ground due diligence.

- ***Downstream break-out session:***

Downstream pilot participants will establish a small group to explore the possibility of developing common content and model principles for reaching out to suppliers and other companies in the downstream supply chain, in order to make them aware of due diligence and reasonable expectations, and to avoid harmful unintended consequences of regulatory pressure.

Pilot participants with the assistance of the OECD Secretariat will increase outreach to Asia-based downstream companies, particularly intermediate product manufacturers, and industry associations.

In the last cycle of the pilot, establish a baseline of information with other industry organisations to highlight emerging practices, particularly amongst SMEs and end-product manufacturers that are not participating in the pilot. This will entail developing a simplified questionnaire to be circulated to industry associations and their SME and end-product manufacturer members.

Pending resources, the OECD will issue an additional follow-up report in 2013 after the completion of the 3T pilot, following up with pilot participants and after the adoption of the final SEC rules implementing section 1502 of the Dodd Frank Act.

<p>This action plan was agreed by the ICGLR-OECD-UN GoE joint forum on implementation of due diligence in the tin, tungsten and tantalum supply chain at its meeting held on 3-4 May 2012.</p>
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