



# EICC<sup>®</sup> and GeSI Reporting Template Update

May 3, 2012



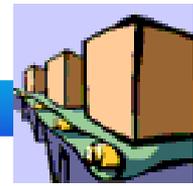
# Components of Responsible Sourcing: EICC-GeSI Approach



MINE



SMELTER / REFINERY



OEMS



Finished Product

In-Region Sourcing

Conflict Free Smelter Program

Company Due Diligence



# Conflict Minerals Reporting Template: Philosophy

*Define a common industry approach supporting the downstream Due Diligence requirements of the SEC, consistent with the OECD Guidance*

- Preserve customer-supplier relationship
- Reduce burden on suppliers and customers
- Preserve confidentiality, enable sharing
- Encourage broad adoption through flexibility



# Conflict Minerals Reporting Template: Purpose

1. Identify Conflict Minerals status relative to CFS program
2. Collect Supplier Due Diligence program information
3. Obtain Smelter names



# Results\*\*

- 67% of the Pilot Survey Respondents are using the EICC and GeSI Reporting Template as one of their data collection tools for conflict minerals due diligence
- 30% indicated they were relying on this as the primary mechanism
- Non-EICC and GeSI members are using the tool in addition to members

\*\*Based on the OECD Guidance Implementation Pilot



# Rev 1.0 Key Learnings

- Low value and low quality data fields should be removed
- Data consistency and quality of certain fields could be improved greatly by providing reference lists
- Not all required data is provided consistently
- Language barriers could be reduced by simplification of wording, improved definitions, and increased language options
- Without an explicit specification for or clarification of the scope of the declaration, suppliers are reporting in an inconsistent and inadequately recorded manner
- Identified reporting approaches not supported



# Rev 2.0 Objectives

1. Address identified weaknesses in the template
2. Increase flexibility to support a wider range of interests and needs
3. Encompass any SEC final rule considerations



# Proposed Changes

- Addition of Scope and Scope Description Fields
  - A. Company level
  - B. Division (or sub-company level)
  - C. Product Category
  - D. Product List
  
- Addition of Product List tab



# Proposed Changes

- Simplification of Smelter List
  - Removal of mine identification
  - Location fields have been segregated
  - Smelter reference lists have been made readily available
- Declaration questions have been simplified / better clarified
- Misc changes



# Due Diligence Sub Team Schedule

- Nov 2011 – Compiled input / suggestions for template revisions
- Dec 2011 to March 2012 – Reviewed changes
- April 2012 – Created final draft template
- April 16 to 30 – Solicit comments from Extractives Work group
- May 2012 – Finalize changes and Translate
- June 2012 - Publish final version of Rev 2.0



# For More Information

- [www.conflictreesmelter.org](http://www.conflictreesmelter.org)



# BACKUP





# Use Cases

## Declaration Choices for a Customer of “Acme, Inc.”

| Scope [Field]             | Description of Scope [Field]     | Product List [Tab]   |
|---------------------------|----------------------------------|--|
| Company Level             | NA                               | NA   |
| Division Level            | Acme Electronic Devices Division | NA   |
| Product Category Level    | Capacitors                       | NA   |
| Specific List of Products | NA                               | CF-CAP-001<br>CF-CAP-002<br>CF-CAP-003<br>CF-CAP-004<br>CF-CAP-005 |

# Rev 2.0 Mock-up: Scope Declaration

**EICC**  
ELECTRONIC INDUSTRY CITIZENSHIP COALITION

**GeSI**  
GLOBAL e-SUSTAINABILITY INITIATIVE

## Conflict Minerals Reporting Template

Select Language Preference Here:  
请选择你的语言:  
사용할 언어를 선택하십시오:  
表示言語をここから選択してください:

English

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten, and gold (3TG) from your company and its suppliers. Changes within the annual cycle should be provided to your customers

Mandatory fields are noted with an asterisk (\*). The information collected in this template should be updated annually. Changes within the annual cycle should be provided to your customers

|  |   |
|--|---|
| Company Name (*):  |   |
| <b>Declaration scope (*):</b>                                    |   |
| Scope comments:  | A. This declaration represents all products produced by our company<br>B. This declaration represents all products produced by a Division(s) [Specify in "Scope Comments"]<br>C. This declaration represents a specific category(ies) of products [Specify in "Scope Comments"]<br>D. This declaration represents a specific list of products [Identify products on 'Product List' tab] |
| Company Unique Identifier:                                       |   |
| Address:   |   |
| Authorized Management Representative (*):                        |   |
| Representative Title:  |   |
| Representative Email (*):  |   |
| Representative Phone:  |   |
| (If different than representative named above) Contact Name (*): |   |
| Contact Email (*):   |   |
| Contact Phone:   |   |
| Date of Completion (*):  | Day: (*)    Month: (*)    Year: (*)   |

company's products that it manufactures or contracts to manufacture? If no for all

| Answer            | Comments |
|-------------------|----------|
| Tantalum (Ta) (*) |          |

Instructions    Definitions    **Declaration**    Product List    Smelter and Mine List



# Rev 2.0 Mock-up: Product List

A1    fx    Completion required only if reporting level "Product-level" selected on the 'Declaration' tab.

1    Completion required only if reporting level "Product-level" selected on the 'Declaration' tab.

2      

Select Language Preference Here:  
请选择您的语言:  
사용할 언어를 선택하십시오:  
表示言語をここから選択してください

3

| 4  | Product or Item Number (*) | Product or Item Description | Comments |
|----|----------------------------|-----------------------------|----------|
| 5  |                            |                             |          |
| 6  |                            |                             |          |
| 7  |                            |                             |          |
| 8  |                            |                             |          |
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| 30 |                            |                             |          |
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| 32 |                            |                             |          |
| 33 |                            |                             |          |
| 34 |                            |                             |          |
| 35 |                            |                             |          |

Ready    Instructions    Definitions    Declaration    **Product List**    Smelter and Mine List    75%

Supplier to list specific product(s) which define the scope of the declaration when declaration scope choice "D" is selected



# Definitions

## Definitions:

**Division:** A defined unit of a business which performs a specific service or produces a specific category of products

**Category of Products:** A group of products which can be described by a industry recognized generic term

**Products:** A company's Products or Finished goods are those materials or items which have completed the final stage of manufacturing and/or processing and are available for distribution or sale to customers