



**ICGLR-OECD-UN GoE JOINT MEETING
ON IMPLEMENTATION OF DUE DILIGENCE FOR RESPONSIBLE MINERAL SUPPLY CHAINS IN THE GREAT
LAKES REGION**

3-4 May 2012

Venue: OECD Conference Centre, 2 rue André Pascal, 75016 Paris, France.

Badges: On arrival you must register at the reception desk to obtain a visitor's badge. Please bring your passport or identity card with you. Please arrive well in advance of the start of the meeting to allow time for the registration formalities. *For security reasons, you must wear your visitor's badge at all times.*

Note: Official welcome remarks will be given in the opening of the first afternoon plenary session at 14:00 on 3 May. Participants in the meeting of the OECD-hosted forum on gold, held on 2 May 2012, are invited to attend the morning break-out sessions on 3 May to share their experiences on implementing due diligence and learn about due diligence in the tin, tantalum and tungsten supply chains.

DRAFT ANNOTATED AGENDA

3 May 2012 – Morning break-out sessions and joint gold and 3T plenary session

9:00 – 11:00 BREAK-OUT SESSIONS – Donor-supported programs and learning about ICGLR and industry initiatives in the tin, tantalum, tungsten and gold supply chains

Two break-out sessions will be held simultaneously. Participants are invited to attend either of these sessions and should indicate their plans in the registration form. One break-out session will focus on the joint ICGLR-OECD-WB study on donor-supported programs. The other break-out session will provide participants with a brief opportunity to learn about ICGLR and industry programmes to implement due diligence in the tin, tantalum, tungsten and gold supply chains.

**Break-out session 1
Conference Room 6 (CC6)**

9:00 – 10:45 Welcome remarks by Ms Alexandra Trzeciak-Duval, Head of Policy Division, OECD Development Co-operation Directorate

Donor-supported programs to create the enabling conditions for effective due diligence

Moderator: Mr. Marc van Bellinghen, Deputy Head of Unit, Conflict Prevention, Peace-building & Mediation, European External Action Service, EU

Discussants:

- Mr. Nick Bates, former DFID Expert on the Great Lakes Region and natural resources, and Senior Consultant, Resource Consulting Services

Reference Documents:

- Draft report on responsible supply of minerals from the Great Lakes region: how can donors support host governments?

**Break-out session 2
Conference Room 9 (CC9)**

9:00 – 10:00 **LEARNING SESSION ON GOLD - Introduction to the Supplement on Gold from members of the Gold Drafting Committee and updates on gold industry programmes from the World Gold Council, the London Bullion Market Association, the Responsible Jewellery Council and EICC-GeSI**

Moderator: Mr. John Bullock, Legal Counsel and Compliance Monitor, Metalor Technologies USA

Reference documents:

- 📄 Supplement on Gold
- 📄 World Gold Council, Conflict-Free Gold Standard. For information and updates, see http://www.gold.org/about_gold/sustainability/conflict_free_standards
- 📄 London Bullion Market Association, Responsible Gold Guidance. For information and updates, see http://www.lbma.org.uk/pages/index.cfm?page_id=137
- 📄 Responsible Jewellery Council, Chain of Custody Standard. For information and updates, see <http://www.responsiblejewellery.com/chain-of-custody.html>
- 📄 EICC-GeSI, Gold Supply Chain Transparency: Refiner Audit. For information and updates, see www.conflictreesmelter.org

10:00 – 11:00 **LEARNING SESSION ON TIN, TANTALUM AND TUNGSTEN - Introduction to ongoing initiatives on due diligence in the supply chains of tin, tantalum and tungsten, with updates from the Government of the DRC, ICGLR, iTSCI and EICC-GeSI.**

Moderator: Ms. Sasha Radovich, Manager, Advisory Services, BSR

Reference Documents:

- 📄 ICGLR Regional Certification Manual and Appendices. For information and updates, see www.icglr.org
- 📄 iTSCI background documents. For information and updates, see http://www.itri.co.uk/index.php?option=com_zoo&view=frontpage&Itemid=60
- 📄 EICC-GeSI Tin, Tantalum and Tungsten Audit Standard and Instructions. For information and updates, see www.conflictreesmelter.org
- 📄 EICC-GeSI Conflict Minerals Reporting Template. For information and updates, see www.conflictreesmelter.org

11:00 – 11:15 **Coffee Break**

11:15 – 13:00 **JOINT GOLD AND 3T PLENARY SESSION - Discussion of the governance of the OECD due diligence implementation programme**

Moderator: Mr. Nick Bates, former DFID Expert on the Great Lakes Region and natural resources, and Senior Consultant, Resource Consulting Services

During this session, participants from the 3-4 May ICGLR-OECD-UN GoE joint forum on due diligence in the tin, tantalum and tungsten supply chains will meet in a plenary session together with participants in the 2-3 May meeting of the OECD-hosted forum on due diligence in the gold supply chain. The purpose of this session is to reflect on the various implementation activities being carried out so far, and discuss the possible governance structure of the OECD implementation programme, including how participants might wish to take on greater responsibility to ensure the continued smooth and successful development of this initiative.

13:00 – 14:00 **Lunch**

3 May 2012 – Afternoon plenary and break-out sessions

Moderator: H.R.H. Prince Jaime de Bourbon Parme, Special Envoy Natural Resources, Ministry of Foreign Affairs, The Netherlands

Room CC 9

14:00 –14:25 Welcome remarks by the Hosts:
Mr Ruben de Koning, UN Group of Experts on the DRC;
Mr Jøn Lomoy, Director of the OECD Development Co-operation Directorate, OECD
Professor Ntumba Luaba Lumu, Executive Secretary of the International Conference on the Great Lakes Region (ICGLR)

14:25 - 14:35 Ground rules, objectives for the meeting and walk-through of the agenda by the Moderator

14:35 – 16:00 PLENARY SESSION - High-level findings of the progress reports on implementation of due diligence

14:30 – 14:50 Presentation by the UN Group of Experts on the DRC

14:50 – 15:10 Presentation by IPIS on the high-level findings from the upstream progress report

Reference Documents:

 Draft Upstream progress report

15:10-15:30 Update on the ICGLR Regional Certification Mechanism

15:30-16:00 Presentation by BSR on the high-level findings from the downstream progress

Reference Documents:

 Draft Downstream progress report

16:00 – 16:05 Instructions on the break-out sessions from the Moderator

16:05 – 16:20 *Coffee break*

16:20 – 18:00 BREAK-OUT SESSION – Implementation of due diligence in the upstream and downstream supply chain

Two break-out sessions will be held simultaneously on due diligence in the upstream and downstream segments of the supply chain. These sessions will provide the opportunity for a detailed presentation of the findings from the progress report and to preliminarily discuss issues related to the implementation of due diligence, including emerging practices and existing challenges. Participants will identify 2-3 topics for deeper discussion during the break-out session on Day 2.

UPSTREAM BREAK-OUT SESSION – CONFERENCE ROOM 6 (CC6)

Moderator Mr. Nick Bates, former DFID Expert on the Great Lakes Region and natural resources, and Senior Consultant, Resource Consulting Services

DOWNSTREAM BREAK-OUT SESSION - CONFERENCE ROOM 9 (CC9)

Moderators Ms. Sasha Radovic, Manager, Advisory Services, BSR

4 May 2012 – Morning break-out sessions

- 9:00 – 11:15** **BREAK-OUT SESSION (continued) - Implementation of due diligence in the upstream and downstream supply chain**
Two break-out sessions will be held simultaneously on due diligence in the upstream and downstream segments of the supply chain. These sessions will provide the opportunity for further discussion on the topics identified during the previous day. Participants in these sessions should also consider any issues they would like to raise in the afternoon plenary in order to obtain constructive feedback from all participants. Volunteers from the break-outs will report back to the plenary.
- 9:00 – 11:15** **UPSTREAM BREAK-OUT SESSION – CONFERENCE ROOM 6 (CC6)**
Moderator: Mr. Nick Bates, former DFID Expert on the Great Lakes Region and natural resources, and Senior Consultant, Resource Consulting Services
Participants may consider the opportunity to discuss topics such as mutual recognition and coordination of mine site assessments and chain of custody/traceability systems, streamlining audit processes, data sharing and coordination of due diligence capacity-building in the Great Lakes region. Participants may also explore how the implementation phase of the OECD Due Diligence Guidance could be coordinated with the operationalisation of the ICGLR certification.
- 9:00 – 11:15** **DOWNSTREAM BREAK-OUT SESSION - CONFERENCE ROOM 9 (CC9)**
Moderator: Ms. Sasha Radovic, Manager, Advisory Services, BSR
Participants may consider the opportunity to discuss topics such as data management and collection, prioritising suppliers for risk assessments, validating data and overcoming confidentiality challenges.
- 11:15 – 11:30** *Coffee break*

4 May 2012 – Plenary sessions – Room CC9

Moderator: H.R.H. Prince Jaime de Bourbon Parme, Special Envoy Natural Resources, Ministry of Foreign Affairs, The Netherlands

- 11:30- 12:45** **PLENARY SESSION - Smelter mapping and engagement**
The session will focus on a dedicated smelter identification and engagement discussion, drawing on the findings of the smelter mapping exercise in the progress report prepared by BSR.
- 12:45 – 14:00** *Lunch*
- 14:00 – 16:30** **PLENARY SESSION - Report back from upstream and downstream break-out sessions**
This session will provide the opportunity for participants to report back on the break-out sessions and raise issues for discussion in plenary.
- 14:00 – 15:15 Report back from upstream break-out sessions followed by discussion
15:15 – 16:30 Report back from downstream break-out sessions followed by discussion
- 16:30 – 16:45** *Coffee break*
- 16:45 – 17:45** **PLENARY SESSION - Next Steps**
Participants will discuss the next steps and possibly agree on a concrete action plan in anticipation of the next meeting of the ICGLR-OECD-UN GoE forum on implementation of the OECD and UN GoE due diligence recommendations, planned for 29-30 November 2012.
- 17:45 – 18:00** **Closing remarks by the Hosts**