



ICGLR-OECD-UN Meeting on implementing due diligence recommendations for responsible mineral supply chains

5-6 May 2011

Action plan for the implementation of the Guidance

Participants agreed on the following:

1. To **issue a joint letter to the US Securities and Exchange Commission** outlining the common understanding among all stakeholders about what due diligence and products that are not DRC conflict-free actually mean. This statement is intended to constructively address the tension between process and result-oriented provisions in the Dodd-Frank Section 1502, and ensure a coherent interpretation of all the provisions with respect to the objective and purpose of the law, which is to ensure that trade in minerals does not directly or indirectly finance or benefit armed groups.

Follow up:

[Multi-stakeholder letter to the US Securities and Exchange Commission](#), 29 July 2011

2. To **disseminate the OECD and UN Group of Experts' due diligence guidance and encourage companies throughout the supply chain to participate in the pilot implementation**. This would include regional workshops in the Great Lakes region similar to those organised by the OECD in co-operation with the ICGLR.

Follow up

Joint ICGLR-OECD workshop to be held in October 2011

3. Members of the OECD-hosted working group on implementation of the OECD Due Diligence Guidance to **launch the implementation phase** of the OECD Due Diligence Guidance.

Follow up:

[Terms of Reference](#) for the implementation of the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas

Information on how the [Guidance will be implemented](#)

4. The UN Group of Experts on the Democratic Republic of Congo will **continue to collaborate** with the OECD during the implementation phase pursuant to UN Security Council Resolution 1952/2010.
5. The International Conference on the Great Lakes Region, the OECD and the World Bank will **jointly develop the terms of reference and carry out a feasibility study** on the establishment of an institutionalised mechanism to support the implementation of the OECD due diligence Guidance and audits under the ICGLR regional certification mechanism, drawing lessons from the successful experience of the Extractive Industries Transparency Initiative.
6. The OECD and World Bank will **cooperate to take stock of donor-supported programs** in the Great Lakes region (e.g. mapping, traceability, certification, training of customs officials and security forces, and more broadly infrastructure and professionalisation/formalisation of the artisanal sector) and liaise with existing structures, such as DRC Groupe Thématique Mines, to clarify what is already being accomplished by donors, which programs could be better coordinated to maximise impact and what remains to be done to create the enabling conditions for the implementation of the OECD and UN Group of Experts' due diligence guidance.
7. Civil society participants **agree to issue public statements welcoming and supporting companies** which are implementing in good faith the OECD and UN Group of Experts' due diligence guidance and continue sourcing minerals from the Great Lakes region, as an example of how companies can positively contribute to lasting peace and development.

Follow up

[NGO Joint statement](#) calling on governments and industry to proceed with implementation of the OECD Due Diligence Guidance, 26 May 2011