TRANSFRONTIER MOVEMENTS OF WASTE DESTINED FOR RECOVERY OPERATIONS

Practical Information for the Implementation of the OECD Control System

ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT

Paris

52242

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FOREWORD

The OECD Member countries have been concerned with the control of transfrontier movements of hazardous wastes since the beginning of the 1980’s. It has been the object of several Council Acts which were adopted as an evolving process between 1984 and 1992. This work contributed significantly to the creation of relevant European Community laws, and to the preparation of the Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal, adopted in Basel 22nd March 1989 under the auspices of the United Nations Environment Programme.

In March 1992 the OECD Member countries resolved to create and fully implement an international mechanism to control transfrontier movements of wastes destined for recovery operations within the OECD area. Council Decision C(92)39/FINAL was adopted as a multilateral agreement under Article 11 of the Basel Convention. The Decision classifies wastes to be recovered into one of three lists - green, amber and red - specifies the control regime which should apply to each and, more generally, contains the necessary provisions to develop what is now referred to as the OECD Control System.

In January 1996 a Guidance Manual (OECD Environment Monograph N° 96) was published as an aid to the use of the OECD Control System. The Manual contains several annexes, of which Annex F is issued separately and contained herein. This current document is the second de-restricted revision of Annex F and consists of four parts: i) description of data elements, ii) summary list of Competent Authorities in the OECD area, iii) OECD Member country information, and iv) updated OECD Green, Amber and Red lists of Council Decision C(92)39/FINAL.

The objective of this data base is to assist Member countries in the practical implementation of the OECD Council Decision C(92)39/FINAL, by keeping them accurately informed about specific national measures (statutory, regulatory, administrative) introduced over and above the provisions of the OECD Control System or departing from these provisions. It is essential that anyone involved with transfrontier movements within the OECD area has full access to this information in order to allow particular implementation measures to be respected and to prevent any difficulties, delays, or complications. Annex F will be updated and re-issued on a regular basis under the auspices of the OECD Waste Management Policy Group.

Users should note that, in view of the difficulties experienced in compiling full and updated information for national “pre-consented” facilities (see data elements description) within the OECD area, particular information in this regard may not fully reflect the current status of such facilities.

As with the Guidance Manual, Annex F is for guidance purposes only and primarily is intended for use by Competent Authorities in OECD Member countries concerned with the transfrontier movement of wastes. Annex F is indicative, not prescriptive. It does not replace the OECD Council Decision C(92)39/FINAL or any national legislation. If in any doubt on specific points in the Annex, please refer directly to the Decision, a national Contact Point, a national Competent Authority, or the OECD Secretariat.
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<td>United Kingdom</td>
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<tr>
<td>United States</td>
<td>85</td>
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<tr>
<td>IV. Updated OECD Green, Amber and Red Lists</td>
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</tbody>
</table>
PART I

DESCRIPTION OF DATA ELEMENTS
DESCRIPTION OF DATA ELEMENTS
FOR OECD MEMBER COUNTRY INFORMATION

(1) **Competent Authority**: indicates the address, telephone and fax numbers of the regulatory authority having jurisdiction over transfrontier movements of wastes destined for recovery operations. If separate competent authorities are known to exist for different types of movements (e.g., different authorities for transit than for import/export), this is also indicated. When known, the national competent authority code number is noted, to assist filling in Block 20 of the Notification Form (see either Annex C of Environment Monograph No 96 or OECD Document C(94)154/FINAL).

(2) **Contact Point**: provides the point of correspondence, including the address, telephone and fax numbers, through which individuals can, if desired, go about obtaining additional or complementary information to Annex F.

(3) **Acceptable Languages**: indicates the written languages that are acceptable for the competent authority receiving the notification form.

(4) **Required Points of Entry/Exit**: notes if and when national regulations prescribe that recoverable waste shipments must enter or exit the territory through specific customs offices (for instance, some Member countries require this if the general notification procedure is used).

(5) **Pre-consent Facilities**: indicates if a Member country has granted pre-consent (pre-authorisation) for quantities of certain wastes to be accepted by one or more designated recovery facilities within its jurisdiction, in conformity with IV, paragraph 2.2(a) of Decision C(92)39/FINAL. Details on the company, the location, the expiry of pre-consent, the OECD codes or relevant waste types, and total quantity pre-consented is also indicated when known.

(6) **Classification Differences**: this item is meant to indicate when divergent classifications exist between the OECD Green, Amber and Red lists and national waste lists. When known, specific wastes and associated controls are cited.

(7) **Prohibitions**: provides information on materials specifically banned or prohibited for import or export under the Member country’s pertinent national laws or regulations (see below);
(8) **Contractual Requirements**: notes requirements concerning contracts between the notifier and the consignee, including whether the competent authority shall review the contract.

(9) **Financial Requirements**: if Member countries require financial guarantees for transfrontier movements of recoverable wastes, such requirements would be specified under this entry. Information provided may *inter alia* include: the types of guarantee (e.g. insurance statement, bank letters, bonds, etc.), the amount of guarantee (minimum and maximum, if any), whether the guarantee varies according to amount and/or hazardousness of the waste, the damages to be covered.

(10) **Pertinent National Laws/Regulations**: provides citations to relevant domestic laws and regulations containing provisions that relate to the conditions of OECD Council Decision C(92)39/FINAL.

(11) **Other** is used to indicate:
- additional differences between the OECD Control System and national provisions;
- pending amendments to pertinent national laws/regulations;
- whether notifications for export are transmitted by the competent authorities instead of the notifier;
- other requirements or issues deemed relevant by the Member country.
PART II

SUMMARY LIST OF COMPETENT AUTHORITIES
## COMPETENT AUTHORITIES IN OECD MEMBER COUNTRIES:
### SUMMARY LIST

<table>
<thead>
<tr>
<th>Country</th>
<th>Telephone</th>
<th>Fax</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>AUSTRALIA</strong></td>
<td>For Import, Export and Transit:</td>
<td>61(6) 274 1441</td>
</tr>
<tr>
<td></td>
<td>Environment Protection Agency</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Manager, Hazardous Waste Section</td>
<td></td>
</tr>
<tr>
<td></td>
<td>40 Blackall Street</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Barton ACT 2600</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Competent Authority Code:</td>
<td></td>
</tr>
<tr>
<td><strong>AUSTRIA</strong></td>
<td>For Import, Export and Transit:</td>
<td>43(1)51522 3501 or 43(1)51522 3003 or</td>
</tr>
<tr>
<td></td>
<td>Federal Ministry for the Environment</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Department III, Waste Management</td>
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<td></td>
<td>Stubenring 5</td>
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<tr>
<td></td>
<td>A-1010 Vienna</td>
<td></td>
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<tr>
<td></td>
<td>Competent Authority Code:</td>
<td></td>
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<tr>
<td><strong>BELGIUM</strong></td>
<td>For Transit within Belgium</td>
<td>32(2)210 45 42</td>
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<td></td>
<td>Ministerie van Volksgezondheid en</td>
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<td></td>
<td>Leefmilieu</td>
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<td></td>
<td>Pachecolaan 19 bus 5</td>
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<tr>
<td></td>
<td>1010 Brussel</td>
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<tr>
<td></td>
<td>Competent Authority Code: BE004</td>
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<tr>
<td></td>
<td>For Import and Export, Flemish Region:</td>
<td>32(15)20 83 20</td>
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<tr>
<td></td>
<td>OVAM</td>
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<tr>
<td></td>
<td>Kan. De Deckerstraat 22-26</td>
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<tr>
<td></td>
<td>2800 Mechelen</td>
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<td></td>
<td>Competent Authority Code: BE001</td>
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<tr>
<td></td>
<td>For Import and Export, Brussels Region:</td>
<td>32(2)775 75 11</td>
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<tr>
<td></td>
<td>BIM-IBGE, Gulledelle 100</td>
<td></td>
</tr>
<tr>
<td></td>
<td>1200 Brussels</td>
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<td></td>
<td>Competent Authority Code: BE002</td>
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<tr>
<td></td>
<td>For Import and Export, Walloon Region:</td>
<td>32(81)32 59 39</td>
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<tr>
<td></td>
<td>Direction générale des Ressources naturelles et de l'Environnement</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Avenue Prince de Liège 15</td>
<td></td>
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<tr>
<td></td>
<td>5100 Jambes (Namur)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Competent Authority Code: BE003</td>
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<tr>
<td>Country</td>
<td>Telephone 1</td>
<td>Telephone 2</td>
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</tr>
<tr>
<td><strong>CANADA</strong></td>
<td>1(819)997 3377</td>
<td>1(819)997 3068</td>
</tr>
<tr>
<td>For <em>Import, Export and Transit:</em></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chief, Transboundary Movements Division</td>
<td></td>
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<tr>
<td>Environmental Protection Service</td>
<td></td>
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</tr>
<tr>
<td>Department of the Environment</td>
<td></td>
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</tr>
<tr>
<td>Ottawa, Ontario, K1A OH3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Competent Authority Code: none</td>
<td></td>
<td></td>
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<tr>
<td><strong>CZECH REPUBLIC</strong></td>
<td>42(2)67 12 22 16</td>
<td>42(2)67 31 15 45</td>
</tr>
<tr>
<td>For <em>Import, Export and Transit:</em></td>
<td></td>
<td></td>
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<tr>
<td>Czech Ministry of the Environment</td>
<td></td>
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<tr>
<td>Alois Kopecky, Dr.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Director, Waste Management Department</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vrsovicıká 65, 100 10 Prague 10</td>
<td></td>
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<tr>
<td>Competent Authority Code:</td>
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<tr>
<td><strong>DENMARK</strong></td>
<td>45(32)66 01 00</td>
<td>45(32)66 04 79</td>
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<tr>
<td>For <em>Import, Export and Transit:</em></td>
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<tr>
<td>Miljøstyrelsen</td>
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<tr>
<td>Strandgade 29</td>
<td></td>
<td></td>
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<tr>
<td>1401 København K.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Competent Authority Code: DK 001</td>
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<tr>
<td><strong>FINLAND</strong></td>
<td>358(9)40 3000</td>
<td>358(9)40 300 591</td>
</tr>
<tr>
<td>For <em>Import, Export and Transit:</em></td>
<td></td>
<td></td>
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<tr>
<td>Finnish Environment Institute</td>
<td></td>
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<tr>
<td>Chemicals Division</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kesäkatu 6, P.O. Box 140</td>
<td></td>
<td></td>
</tr>
<tr>
<td>FIN-00251 Helsinki</td>
<td></td>
<td></td>
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<tr>
<td>Competent Authority Code: FI 001</td>
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<tr>
<td><strong>FRANCE</strong></td>
<td>33(1)42 19 15 51</td>
<td>33(1)42 19 14 68</td>
</tr>
<tr>
<td>For <em>Export to non-EU countries and Transit:</em></td>
<td></td>
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<tr>
<td>Ministère de l'Environnement</td>
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<tr>
<td>Direction de la Prévention, des Pollutions et des Risques</td>
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<tr>
<td>Sous-Direction des Produits et des Déchets</td>
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<tr>
<td>20, avenue de Ségur, 75302 Paris 07 SP</td>
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<td>Competent Authority Code: FR999</td>
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<td>For <em>Export to EU countries and Import:</em></td>
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<tr>
<td>competent authorities exist according to regions (&quot;départements&quot;). See attached list in Part III of the Document (FRANCE).</td>
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**GERMANY**
For *Transit:*
Umweltbundesamt
Anlaufstelle Basler Übereinkommen
Postfach 33 00 22
14191 Berlin
Seecktstrasse 6-10
13581 Berlin

Competent Authority Code: DE005

For *Import and Export:*

competent authorities exist according to regions: “Länder” (see attached list in Part III of the Document GERMANY).

**GREECE**
For *Import, Export and Transit:*
Ministry of the Environment
Patission 147
11251 Athens

Competent Authority Code:

**HUNGARY**
For *Export and Transit:*
Chief Environmental Inspectorate
Fo u. 44-55
H-1011 Budapest

Competent Authority Code:
For *import:*
Separate competent authorities are represented in the twelve regional environment inspectorates.

**ICELAND**
For *Import, Export and Transit:*
Environment and Food Agency
P.O. Box 8080
128 Reykjavik

Competent Authority Code: none

**IRELAND**
For *Import and Transit:*
Environmental Protection Agency
Ardcavan, Wexford

Competent Authority Code: none, currently
For *Export:*
competent authorities exist according to localities.
ITALY
For Transit:
Ministero dell'Ambiente
Dr. Raimondo Santacroce
Servizio ARS
Via Della Ferratella In Laterano 33
00184 Rome
Competent Authority Code:
For Import and Export: competent authorities exist according to regions. Contact the transit competent authority for the listing

JAPAN
For Import, Export and Transit:
Environment Agency
Director, Office of Marine Pollution Control and Waste Management
Water Quality Bureau
1-2-2, Kasumigaseki, Chiyoda-ku, Tokyo
Competent Authority Code:

LUXEMBOURG
For Import, Export and Transit:
Administration of the Environment
Division of Waste
1 Bender Street
1229 Luxembourg
Competent Authority Code: LU001

MEXICO
For Import and Export:
Instituto Nacional De Ecologia
Rio Elba N° 20, Piso 14, Col. Cuauhtémoc
06500, México, D.F.
Competent Authority Code: none
For Transit:
PROFEPA
(Procuraduria Federal de Protección al Ambiente)
Periférico Sur 5000
Col. Insurgentes Cuicuilco
Coyoacán 04530 Mexico D.F.
<table>
<thead>
<tr>
<th>Country</th>
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<tr>
<td><strong>NETHERLANDS</strong></td>
<td>For Import, Export and Transit:</td>
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<tr>
<td></td>
<td>31(348)48 74 40</td>
<td>31(348)48 74 04</td>
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<tr>
<td></td>
<td>Minister of Housing, Spatial Planning and the Environment (IMA)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>P.O. Box 418</td>
<td></td>
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<tr>
<td></td>
<td>3440 AK Woerden</td>
<td></td>
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<tr>
<td></td>
<td>Competent Authority Code: NLOO1</td>
<td></td>
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<tr>
<td><strong>NEW ZEALAND</strong></td>
<td>For Import, Export, and Transit:</td>
<td>64(4)4720030  64(4)4738949</td>
</tr>
<tr>
<td></td>
<td>Ministry of Commerce (MCM)</td>
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<tr>
<td></td>
<td>Business Policy &amp; Programmes Division</td>
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<td></td>
<td>Tariff Policy and Industry Issues Group</td>
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<td></td>
<td>P.O. Box 1473</td>
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<td></td>
<td>Wellington</td>
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<td><strong>NORWAY</strong></td>
<td>For Import, Export and Transit:</td>
<td>47 (22) 57 34 00  47 (22) 67 67 06</td>
</tr>
<tr>
<td></td>
<td>Norwegian Pollution Control Authority</td>
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<tr>
<td></td>
<td>Hazardous Waste Division</td>
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</tr>
<tr>
<td></td>
<td>Box 8100 Dep., Oslo 0032</td>
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<td>Competent Authority Code:</td>
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<td><strong>POLAND</strong></td>
<td>For Import, Export and Transit:</td>
<td>48(22)251 104  48(22)251 104</td>
</tr>
<tr>
<td></td>
<td>Chief Inspectorate for Environmental Protection (Waste Section)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>ul. Wawelska 52/54</td>
<td></td>
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<tr>
<td></td>
<td>00-922 Warszawa</td>
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<td></td>
<td>Competent Authority Code:</td>
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<tr>
<td><strong>PORTUGAL</strong></td>
<td>For Import, Export and Transit:</td>
<td>351(1)847 10 22  351(1)847 30 01</td>
</tr>
<tr>
<td></td>
<td>Direcção Geral Do Ambiente</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Mr. A Ascenso Pires</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Av. Almirante Gago Coutinho, n° 30</td>
<td></td>
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<tr>
<td></td>
<td>1000 Lisboa</td>
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<td></td>
<td>Competent Authority Code: none</td>
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<td></td>
<td>For Exports from Portugal by Sea, also notify:</td>
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<td></td>
<td>31 95 78 66</td>
<td>39 79 79 4</td>
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<td></td>
<td>Direcção Geral De Portos Navegacao e Tranportes Maritimos</td>
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<td>Alcantara Mar Edificio Vasco Da Gama</td>
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<td>1000 Lisboa</td>
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<tr>
<td>Spain</td>
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<td>34(1)597 80 30</td>
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<tr>
<td></td>
<td>Dirección General de Política Ambiental Subdirección General de Residuos</td>
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<td></td>
<td>Ministerio de Obras Públicas, Transportes y Medio Ambiente</td>
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<tr>
<td></td>
<td>Paseo de la Castellana 67</td>
<td></td>
</tr>
<tr>
<td></td>
<td>28071 Madrid</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Competent Authority Code: none</td>
<td></td>
</tr>
<tr>
<td>Sweden</td>
<td></td>
<td>46(8)698 10 00</td>
</tr>
<tr>
<td></td>
<td>National Environmental Protection Agency</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Mrs. Margareta Appelberg</td>
<td></td>
</tr>
<tr>
<td></td>
<td>S-10648 Stockholm</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Competent Authority Code:</td>
<td></td>
</tr>
<tr>
<td>Switzerland</td>
<td></td>
<td>41(31)322 93 27</td>
</tr>
<tr>
<td></td>
<td>Bundesamt für Umwelt, Wald und Landschaft (BUWAL)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Dr. Bernhard Hammer</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Abteilung Abfall/VVS</td>
<td></td>
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<tr>
<td></td>
<td>CH-3003 Bern</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Competent Authority Code:</td>
<td></td>
</tr>
<tr>
<td>Turkey</td>
<td></td>
<td>90(312)285 10 40</td>
</tr>
<tr>
<td></td>
<td>Ministry of Environment</td>
<td>90(312)387 99 63</td>
</tr>
<tr>
<td></td>
<td>Head of Waste &amp; Chemicals</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Management Department</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Eskisehir Yolu 8.km C Blok</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Ankara</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Competent Authority Code: none</td>
<td></td>
</tr>
<tr>
<td>UK</td>
<td></td>
<td>44(171)276 8214</td>
</tr>
<tr>
<td></td>
<td>The Secretary of State</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Department of the Environment</td>
<td></td>
</tr>
<tr>
<td></td>
<td>c/o Waste Management Division</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Room A 232 Romney House</td>
<td></td>
</tr>
<tr>
<td></td>
<td>43 Marsham Street</td>
<td></td>
</tr>
<tr>
<td></td>
<td>London SW1P 3PY</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Competent Authority Code:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>For Import and Export:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>competent authorities exist</td>
<td></td>
</tr>
<tr>
<td></td>
<td>according to the different</td>
<td></td>
</tr>
<tr>
<td></td>
<td>administrative territories of the United Kingdom.</td>
<td></td>
</tr>
<tr>
<td>Telephone</td>
<td>Fax</td>
<td></td>
</tr>
<tr>
<td>--------------------</td>
<td>-----------------</td>
<td></td>
</tr>
<tr>
<td>1(202)564 4108</td>
<td>1(202)564 0025</td>
<td></td>
</tr>
</tbody>
</table>

**UNITED STATES**

For *Import, Export and Transit*:

U.S. Environmental Protection Agency
Office of Enforcement and Compliance Assurance
Enforcement Planning, Targeting and Data Division
Import, Export Programme (2222A)
401 M Street, SW
Washington, DC 20460
Competent Authority Code:
PART III

OECD MEMBER COUNTRY INFORMATION
AUSTRALIA

COMPETENT AUTHORITY
For Import, Export and Transit:
Manager
Environment Protection Group
Environment Australia
40 Blackall Street
Barton ACT 2600
AUSTRALIA
Competent Authority Code:

TELEPHONE 61(6)274 1411
FACSIMILE 61(6)274 1230
E-MAIL geoff.thompson@dest.gov.au

ACCEPTABLE LANGUAGE(s) English

REQUIRED POINTS OF ENTRY/EXIT None

PRE-CONSENT FACILITIES None, currently

CLASSIFICATION DIFFERENCES

PROHIBITIONS

CONTRACTUAL REQUIREMENTS None, currently

FINANCIAL REQUIREMENTS Applicants under the Hazardous Waste Act must have appropriate insurance, and be sufficiently financially viable to be a suitable person to manage and transport hazardous wastes

PERTINENT NATIONAL LAWS/REGS
• Hazardous Waste (Regulation of Exports and Imports) Act 1989
• Hazardous Waste (Regulation of Exports and Imports) Amendment Act 1996.
• Hazardous Waste (Regulation of Exports and Imports) Regulations
• Hazardous Waste (Regulation of Exports and Imports) (Fees) Regulations
• Hazardous Waste (Regulation of Exports and Imports) (OECD Decision) Regulations

OTHER None
AUSTRIA

COMPETENT AUTHORITY
For Import, Export and Transit:
Federal Ministry for the Environment, Youth and Family Affairs
Department III, Waste Management
Stubenbastei 5
A-1010 Vienna
AUSTRIA
Competent Authority Code: AT

TELEPHONE 43(1)51522-3513 or 3525
FACSIMILE 43(1)51522.3003 or 7502

CONTACT POINT Same as competent authority

TELEPHONE 43(1)51522-3513 or 3525
FACSIMILE 43(1)51522.3003 or 7502

ACCEPTABLE LANGUAGE(s) German, English, French

REQUIRED POINTS OF ENTRY/EXIT

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES None; a national interpretation of some entries of the Green List has been developed.
Non-listed wastes and wastes that cannot explicitly be subsumed in a category on the Green or Amber List are classified as if they were subject to the Red List, following Art. 10 of the EC Shipment Regulation 259/93.

PROHIBITIONS

CONTRACTUAL REQUIREMENTS In accordance with the EC Shipment Regulation 259/93.

FINANCIAL REQUIREMENTS Export, import and transit of waste must be covered by a bank guarantee or an insurance that covers the transport and alternative treatment costs for the total amount of wastes specified in the notification form.

However, only transport costs are to be covered for wastes destined for recovery operations and having a (net) positive economic value.
PERTINENT NATIONAL LAWS/REGS


OTHER

Following Art. 3(8) of the EU Shipment Regulation, the Austrian Ministry for the Environment transmits the notification itself instead of the notifier, if it is the competent authority of dispatch.

In every case of shipment of Amber List wastes, the Austrian Ministry for the Environment issues a written consent.
BELGIUM

COMPETENT AUTHORITY
For Transit within Belgium
Ministère de la Santé et de l’Environnement
Dienst Risicobeheersing
R.A.C.
Pachecolaan 19 bus 5
1010 Brussels
Competent Authority Code: BE004

TELEPHONE: 32(2)210 45 42
FACSIMILE: 32(2)210 47 04

COMPETENT AUTHORITY
For Import and Export from the Flemish Region:
OVAM
Kan. De Deckerstraat 22-26
2800 Mechelen
Competent Authority Code: BE001

TELEPHONE: 32(15)20-83-20
FACSIMILE: 32(15)21-62-47

COMPETENT AUTHORITY
For Import and Export from the Brussels Region:
BIM-IBGE
Gulledelle 100
1200 Brussel
Competent Authority Code: BE002

TELEPHONE: 32-2-775-75-11
FACSIMILE: 32-2-775-76-11

COMPETENT AUTHORITY
For Import and Export from the Walloon Region:
Direction générale des Ressources naturelles et de l’Environnement
Avenue Prince de Liège 15
5100 Jambes (Namur)
Competent Authority Code: BE003

TELEPHONE: 32-81-32-59-39
FACSIMILE: 32-81-32-59-60

CONTACT POINT
For Belgium: OVAM
Mrs Van Grimbergen Lies (interregional coordination)

TELEPHONE: 32-15-28-43-10
FACSIMILE: 32-15-20-54-18

ACCEPTABLE LANGUAGE(s)
all parts: Dutch, French, English, German

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REQUIRED POINTS OF ENTRY/EXIT

For transit and for the Flemish and Walloon regions (Arrêté ministériel du 23/12/91), contact the Competent Authorities noted above for lists of required points of entry/exit.

Waste shipment to be exported or imported outside the European Community must be dispatched through different customs offices:
- for maritime and rail transport: Antwerpen, Brugge, Brussel, Gent, Oostende, Zeebrugge, Zelzate.
- for rail transport: Hasselt and Luik

PRE-CONSENT
Brussels Region: not specified

FACILITIES
Walloon Region:

<table>
<thead>
<tr>
<th>Company and Location</th>
<th>Expiry of pre-consent</th>
<th>Waste types (code)*</th>
<th>Total quantity pre-consented</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chemviron Carbon Branch of Calgon Carbon Association Zoning Industriel de Feluy B-7181 Feluy Belgique</td>
<td>31/12/99</td>
<td>Spent activated carbon (GG060): code RW116, CED 06 07 02, CED 06 13 02</td>
<td>6000 tonnes per year</td>
</tr>
<tr>
<td>Sedema Division Sadacem rue de la Carbo BP 9 - B-7333 Tertre</td>
<td>31/12/99</td>
<td>Spent acid solutions (AA130) from etching / engraving printed circuit boards using HCl: code RW 301, EWC 11 01 06</td>
<td>10000 tonnes per year</td>
</tr>
<tr>
<td></td>
<td>31/12/99</td>
<td>Spent basic liquids (AB110) from etching/engraving printed circuit boards using ammonia based solutions code RW 302, EWC 11 01 07</td>
<td>18000 tonnes per year</td>
</tr>
<tr>
<td></td>
<td>31/12/99</td>
<td>Copper waste (GA120) from printed circuit boards production code RW 199, EWC 10 07 00</td>
<td>4000 tonnes per year</td>
</tr>
</tbody>
</table>
Flemish Region: pre-authorised facilities:

1. no limitation regarding the imported quantities;
2. the pre-authorised facilities are valid from 7 May 1994 until revocation;
3. list of competent authorities in Flemish Region:

<table>
<thead>
<tr>
<th>Company and Location</th>
<th>Expiry of pre-consent</th>
<th>Waste types (code)*</th>
<th>Total quantity pre-consented</th>
</tr>
</thead>
<tbody>
<tr>
<td>Union Miniere, Balan site, Zinkstraat 1 B-2490 Balan</td>
<td>AA020* AA080 AA070* AA120 AA140</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Union Miniere, Olen site Leemanslaan 36 B-2250 Olen</td>
<td>AA020* AA070*</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Union Miniere Oxyde (Belgium) N.V. Industriezone Zolder-Lummen Zuid B-3550 Heusden-Zolder</td>
<td>AA020* AD140</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Unione Miniere, Business Unit Hoboken A.Greinerstraat 14 B-2660 Hoboken</td>
<td>AA030* AA040* AA070* AA160** AB040 AB070 AD140</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rezinal, NV Industriezone Zolder-Lummen Zuid B-3550 Zolder</td>
<td>AA020</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Metallo Chimique N.V. Nieuwe Deef 33 B-2340 Beerse</td>
<td>AA030* AA040* AA070*</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rhone Poulenc Chemie NV Kuhlmannkaai 1 B-9000 Gent (Rieme)</td>
<td>AD110 (solutions of sulfuric acid - at least 20% sulfuric acid)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Proviron Industries NV G Gilliotstraat 60/7 2620 Hemiksem</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Company and Location</td>
<td>Expiry of pre-consent</td>
<td>Waste types (code)*</td>
<td>Total quantity pre-consented</td>
</tr>
<tr>
<td>--------------------------------------</td>
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<td>-----------------------------</td>
</tr>
<tr>
<td>Hoogovens Aluminum NV</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Stocketlaan 87 2570</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Duffel</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Campine NV</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nijverheidsstraat 2 2340</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Beerse</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Affilips NV Biezenstraat 26-31 3300</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tienen</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* This listing includes slag, dross, skimming, scaling, dust, sludge, and cake unless a material is expressly listed elsewhere.

** Ash, sludge, dust and other releases of precious metals such as: AA161, AA162, AA090, and wastes from non-cyanide-bases systems which arise from surface treatment.

CLASSIFICATION

DIFFERENCES None

PROHIBITIONS

CONTRACTUAL

REQUIREMENTS

Flemish Region: not specified

Brussels Region: not specified

Walloon Region & Ministerie van Volksgezondheid en Leefmilieu:

a copy of the contract between the notifier and the consignee of waste must be submitted to the competent authority. This contract must include an undertaking from the generator of wastes to take back wastes when the recovery operation cannot be completed as foreseen.

FINANCIAL

REQUIREMENTS

Ministerie van Volksgezondheid en Leefmilieu: none

Brussels Region: not specified

Walloon Region: the notifier or consignee of waste must subscribe to an insurance or bank guarantee: Arrêté du Gouvernement Wallon pris en application du règlement (CEE) No. 259/93 du 1er février 1993 concernant la surveillance et le contrôle des transferts de déchets à l'intérieur, à l'entrée et à la sortie de la Communauté européenne (AGW 09 juin 1994).

PERTINENT NATIONAL

LAWS/REGS

• Arrêté royal portant réglementation de l'exportation, l'importation et du transit des déchets. 2 June 1987.

• Arrêté royal modifiant arrêté royal du 2 juin portant réglementation de l'exportation, l'importation et du transit déchets. 2 June 1987.
Brussels Region: not specified
Flemish Region: the EU Regulation No. 259/93 is supplemented by:
1. the implementing order by the Flemish government of 27/04/94
2. decree of 02/07/81 regarding the control of wastes, modified by the decree of 20/04/94
Walloon Region:
1. AGW 09 June 1994 National Regulation
2. For exports to a non-EEC Member state, the notifier must provide the competent authority with: (i) information on the recovery technique used by the importing country; (ii) a copy of information transmitted to the competent authority of the importing country in view of obtaining its consent; (iii) a contract with the consignee of wastes; (iv) a bank guarantee.
3. AGW 09 June 1994
4. Decree of 27/06/96 concerning wastes, Article 23.

Ministerie van Volksgezondheid en Leefmilieu/Ministère de la Santé et de l'Environnement:
EU Regulation came into force 6 May 1994 and is supplemented by the following national regulations:
1. law of 09/07/84 (all parts concerning transit within Belgium and which are not in conflict with EU Regulation 259/93);
2. R.D. of 02/06/87 (all parts concerning transit within Belgium and which are not in conflict with the Regulation 259/93);

OTHER Import and export of hazardous waste is of the competence of the regional governments of Flanders, Wallonia and Brussels-Capital City.
Transit of hazardous waste is of the competence of the Federal Government.
An agreement between the three regional governments and the Federal Government regulates the control of transfrontier movements of hazardous wastes. Customs and the National Gendarmerie are fully involved in the control system.
CANADA

COMPETENT AUTHORITY
For Import, Export and Transit:
Chief, Transboundary Movements Division
Environmental Protection Service
Department of the Environment
Ottawa, Ontario
CANADA K1A 0H3
Competent Authority Code: none

TELEPHONE 1(819)997 3377
FACSIMILE 1(819)997-3068

CONTACT POINT Same as competent authority

ACCEPTABLE LANGUAGE(s) English, French

REQUIRED POINTS OF ENTRY/EXIT For general notification, waste shipments must pass through the same customs office as those given in the notice.

PRE-CONSENT FACILITIES Yes:

<table>
<thead>
<tr>
<th>Company and Location</th>
<th>Expiry of pre-consent</th>
<th>Waste types (code)*</th>
<th>Total quantity pre-consented</th>
</tr>
</thead>
<tbody>
<tr>
<td>Catalyst Recovery Canada Ltd Medicine Hat, Alberta</td>
<td>December 1997</td>
<td>AB080** AC210</td>
<td>907 tonnes</td>
</tr>
</tbody>
</table>

* Corresponds to codes in revised Amber List of wastes as set out in ENV/EPOC/WMP(94)4, May 1994
** Catalysts, flammable or corrosive

CLASSIFICATION DIFFERENCES Classification is a function of hazard characterisation in addition to listing. Biomedical wastes are also controlled.

PROHIBITIONS The EIHW Regulations (1992) prohibit exports and imports for disposal involving countries that are not Parties to the Basel Convention, with the exception of the USA with which Canada has entered into an Agreement. Exports and imports for recycling involving countries, other than those which are Parties to the Convention or OECD Members, are also prohibited. All exports to countries having banned imports and informed Canada are also prohibited, be it for recycling or disposal.
CONTRACTUAL REQUIREMENTS

A copy of the contract or arrangement between the exporter and the importer must be submitted to the competent authority at the time of notification. This contract or arrangement must contain:

- the code for the proposed recovery operation (see back of the OECD Notification Form; see Schedule I of the Export and Import of Hazardous Waste Regulations);
- a statement that the recovery facility will issue a certificate of recycling to the Canadian competent authority within 30 days following the operation;
- a statement that the recovery facility will return the completed Canadian waste manifest to the Canadian competent authority within 3 days after accepting the shipment;
- an undertaking by the importer to take all practicable measures to assist the exporter to fulfil his obligations to make alternative arrangement or return the waste, if the recovery operation cannot take place as notified;
- in the case of shipments destined for waste exchanges and storage prior to re-export, a statement that the importer will not re-export without notifying and obtaining consent from the competent authority in the original country of export.

FINANCIAL REQUIREMENTS

All Canadian importers and exporters, as well as their carriers, must have an insurance policy covering damages to third parties and costs to clean up the environment in case of accident. For Canadian importers and exporters, the coverage required is $5 000 000 for red wastes and $1 000 000 for amber wastes for the Canadian importer/exporter. As for carriers, the amount of insurance in respect of each shipment shall be the amount required by the law of the country in which the hazardous waste is carried. Proof of sufficient coverage (insurance policy or certificate) must be submitted at the time of notification.

PERTINENT NATIONAL LAWS/REGS

• Export and Import of Hazardous Wastes (EIHW) Regulations.
• Transportation of Dangerous Goods Regulations.

OTHER

With regard to Canadian pre-consented recovery facilities, upon notification the Canadian competent authority issues a letter advising the notifier that the forms have been received. If no objection is received within the 7 day period, the Canadian competent authority issues a letter allowing the movement to proceed. This letter (or, where the Export and Import of Hazardous Waste Regulations permit, the letter of acknowledgement), as well as a copy of the Canadian notice form and the Canadian waste manifest, must accompany the shipment.

With regard to exports of wastes which cannot be recycled in the manner stated in the notice, the Canadian notifier must make alternative arrangements to be approved by all concerned competent authorities. These arrangements must be made within 90 days after the delivery of the waste to the recovery facility; or within 10 days after the notifier became aware of the problem, if the waste was not yet delivered to the recovery facility; or within any other period agreed to by the concerned competent authorities. If acceptable alternative arrangements cannot be made, the shipment must be re-imported into Canada to such a place in Canada approved by the competent authority.
**CZECH REPUBLIC**

| COMPETENT AUTHORITY | For Import, Export and Transit: Czech Ministry of the Environment  
| Alois Kopecky, Dr.  
| Director, Waste Management Department  
| Vrsoviceká 65  
| 100 10 Prague 10 |

| TELEPHONE | 42(2)67.12.22.16 |
| FACSIMILE | 42(2)67.31.15.45 |

**CONTACT POINT**

| TELEPHONE |
| FACSIMILE |

**ACCEPTABLE LANGUAGES**

Czech, Slovak, English

**REQUIRED POINTS OF ENTRY/EXIT**

ADR crossing points are valid for the transport of hazardous wastes; entry and exit points for other wastes are not specified.

**PRE-CONSENT**

None

**CLASSIFICATION DIFFERENCES**

The currently valid legislation does not employ the green, amber and red lists. Harmonisation with the OECD classification will be achieved with the new Waste Management Act due in the second half of 1996. The current legislation includes the following principles relating to Decision C(92)39/FINAL:

(a) the import of all kinds of secondary raw materials for the purpose of further utilisation, regardless of whether such waste is hazardous or not, requires the approval of the Ministry of the Environment of the Czech Republic;

(b) the export of secondary raw materials that are not classified as hazardous pursuant to the Basel Convention or national legislation are not subject to any control or approval measures from the part of environmental authorities, but some items are subjected to licensing by the Ministry of Industry and Trade.

(c) the export of hazardous secondary raw materials for further utilisation or hazardous waste for final disposal requires approval in accordance with the provisions of the Basel Convention and national legislation.

**PROHIBITIONS**

For all transports through the Czech Republic a written obligation of participating parties is requested to cover all transport expenses in cases where the waste will be returned to the notifier. In all other matters the Basel Convention is applied.

**FINANCIAL REQUIREMENTS**

None, currently.
NATIONAL • Measure of the Federal Committee of the Environment Promulgating the
OTHER New legislation in conformity with the relevant requirements of the European Communities and OECD is expected to enter into force in 1996.
DENMARK

COMPETENT AUTHORITY For Import, Export and Transit:
Miljøstyrelsen
Strandgade 29
1401 København K.
DENMARK
Competent Authority Code: DK 001

TELEPHONE 45(32)66 01 00
FACSIMILE 45(32)66 04 79

CONTACT POINT

TELEPHONE
FACSIMILE

ACCEPTABLE LANGUAGE(s) Danish, English

REQUIRED POINTS OF ENTRY/EXIT None

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES Wastes are classified and controlled in accordance with EU Regulation 259/93.

PROHIBITIONS

CONTRACTUAL REQUIREMENTS Yes, as laid down in EU Regulation 259/93.

FINANCIAL REQUIREMENTS Bankers guarantee, insurance guarantee, or cash deposit is required; the amount is 3000,00 DKr. pr. tonnes.

PERTINENT NATIONAL LAWS/REGS • Statutory Order from the Ministry of Environment and Energy N° 971 of 19 November 1996 on import and export of wastes.

OTHER EU Regulation 259/93 came into force 6 May 1994.
FINLAND

COMPETENT AUTHORITY
For Import, Export and Transit:
Finnish Environment Institute
Chemicals Division
Kesäkatu 6
P.O. Box 140, FIN-00251 Helsinki
FINLAND
Competent Authority Code: FI 001

TELEPHONE 358(9)40 3000
FACSIMILE 358(9)40 300 591

CONTACT POINT as above
TELEPHONE as above
FACSIMILE

ACCEPTABLE LANGUAGE(s) English, Finnish, Swedish

REQUIRED POINTS
OF ENTRY/EXIT None

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES Classification is in accordance with the EC Regulation 259/93. According to the Regulation, non-listed wastes are controlled as if on the Red List.

PROHIBITIONS

CONTRACTUAL REQUIREMENTS According to the Waste Decree, the competent authority can require the notifier to present any contract that he has made with the consignee of the waste. In practice, a copy of the contract is usually required to be enclosed with the notification.

FINANCIAL REQUIREMENTS All shipments of waste shall be covered by a financial guarantee or equivalent insurance covering costs for possible re-shipment, alternative recovery or disposal, and other relevant costs (e.g. administrative costs).

• Ministry of the Environment Decision on an interim national waste plan for movements of wastes (1127/95, 1 October 1995).
OTHER

The competent authority of Finland issues a written Decision within the given time frames. The costs arising from the processing of the notification (3000 FIM for export and import, 1500 FIM for transit) are charged to the notifier.
EU Regulation 259/93 came into force 1 January 1995.
FRANCE

COMPETENT AUTHORITY For Export to non-EU countries and Transit:
Ministère de l'Environnement
Direction de la Prévention, des Pollutions et des Risques
Sous-Direction des Produits et des Déchets
20, avenue de Ségur
75302 Paris 07 SP
FRANCE
Competent Authority Code: FR999

TELEPHONE 33(1)42 19 15 51
FACSIMILE 33(1)42 19 14 68
E-MAIL Samuel@environnement.gouv.fr

COMPETENT AUTHORITY For Export to EU countries and Import:
Competent authorities are the administrative authority of the “département”. Any request should be addressed to:
Monsieur le Préfet de ...(name of the “département”)
Bureau de l’environnement
and the address of the “Préfecture” of the “Département” (see attached list)

CONTACT POINT Ministère de l'Environnement
Direction de la Prévention, des Pollutions et des Risques
Sous-Direction des Produits et des Déchets
20, avenue de Ségur
75302 Paris 07 SP
FRANCE

TELEPHONE 33-1-42-19-15-51
FACSIMILE 33-1-42-19-14-68

ACCEPTABLE LANGUAGE(s) French, English

REQUIRED POINTS OF ENTRY/EXIT Customs offices of Dunkerque-Port (59), Le Havre-Port (76), Nantes-Port (44)
Sète (34), Marseille-Port (13) for marine transportation.
Customs offices of Roissy-Aéroport (95) for air transportation.
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### CLASSIFICATION DIFFERENCES

None

### PROHIBITIONS

**CONTRACTUAL REQUIREMENTS**

Yes, as laid down in EU Regulation 259/93

**FINANCIAL REQUIREMENTS**

EU Regulation 259/93 requires that a financial guarantee must be in place for every shipment of hazardous wastes covered by the Regulation.

**PERTINENT NATIONAL LAWS/REGS**


**OTHER**

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GERMANY

COMPETENT AUTHORITY For Transit:
Umweltbundesamt
Anlaufstelle Basler Übereinkommen
Postfach 33 00 22
14191 Berlin

Seeckstrasse 6-10
13581 Berlin

Competent Authority Code: DE005

TELEPHONE 49(30)8903-3459 (or -3507, or -3296)
FACSIMILE 49(30)8903-3103

COMPETENT AUTHORITY For Import and Export:
competent authorities exist according to regions: “Länder”
(see attached list).

CONTACT POINT

TELEPHONE
FACSIMILE

ACCEPTABLE LANGUAGE(s) English, German

REQUIRED POINTS OF ENTRY/EXIT Multiple hazardous waste shipments notified by a general notification must be
dispatched through the same customs offices.

PRE-CONSENT FACILITIES Yes:

<table>
<thead>
<tr>
<th>Company and Location</th>
<th>Expiry of pre-consent</th>
<th>Waste types (code)*</th>
<th>Total quantity pre-consented</th>
</tr>
</thead>
<tbody>
<tr>
<td>Firma W.C. Heraeus GmbH</td>
<td></td>
<td>contact OECD Secretariat for extensive codes list</td>
<td></td>
</tr>
<tr>
<td>Heraeusstrasse 12-14</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D-63450 Hanau</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Corresponds to codes in OECD Council Decision C(92)39/FINAL, as amended.
Firma Degussa AG
Zweigniederlassung Wolfgang
Redenbacher Chausse 4
D-63457 Hanau

Norddeutsche Affinerie AG
Hovestrasse 50
D-20539 Hamburg

SEGL GmbH
Brunnenstrasse 138
D-44536 Lünen

Sandregenerierung Lage GmbH
Im Seelenkamp 20
D-32778 Lage-Lippe

Th. Goldschmidt AG
Zweigniederlassung Mannheim
Mühlheimer Str.16-22
D-68219 Mannheim

CLASSIFICATION
DIFFERENCES None

PROHIBITIONS

CONTRACTUAL Yes, as laid down in EU Regulation 259/93.

FINANCIAL
REQUIREMENTS The waste exporters required to notify must ensure financial security for their waste shipments. On the other hand, they must make payments into the solidarity fund for the return of waste for each tonne of waste to be exported. The solidarity fund pays for the return of waste from abroad whenever a solvent operator with a duty to reimport cannot be found in time.

PERMITTED NATIONAL LAWS/REGS • Act Implementing the Basel Convention of 30 September 1994.

OTHER Notifications for export are transmitted by the competent authorities instead of the notifier.
EU Regulation 259/93 came into force 6 May 1994.
List of German Competent Authorities,

For EXPORTS-and IMPORTS:

<table>
<thead>
<tr>
<th>Code</th>
<th>Regierungspräsidium</th>
<th>Telefon/Telefax</th>
</tr>
</thead>
<tbody>
<tr>
<td>DE 001</td>
<td><strong>Stuttgart</strong></td>
<td>(07 11) 904 - 3293</td>
</tr>
<tr>
<td></td>
<td>Postfach 80 07 09</td>
<td>- 3306</td>
</tr>
<tr>
<td></td>
<td>70507 Stuttgart</td>
<td>- 3295</td>
</tr>
<tr>
<td></td>
<td>Ruppmannstraße 21</td>
<td>(07 11) 904 - 3289</td>
</tr>
<tr>
<td></td>
<td>70565 Stuttgart</td>
<td></td>
</tr>
<tr>
<td>DE 002</td>
<td><strong>Karlsruhe</strong></td>
<td>(07 21) 926 - 3797</td>
</tr>
<tr>
<td></td>
<td>Postfach 53 43</td>
<td>- 2795</td>
</tr>
<tr>
<td></td>
<td>76035 Karlsruhe</td>
<td>- 3354</td>
</tr>
<tr>
<td></td>
<td>Schloßplatz 1-3</td>
<td>(07 21) 926 - 6211</td>
</tr>
<tr>
<td></td>
<td>76131 Karlsruhe</td>
<td></td>
</tr>
<tr>
<td>DE 003</td>
<td><strong>Freiburg</strong></td>
<td>(07 61) 208 - 1194</td>
</tr>
<tr>
<td></td>
<td>Bertoldstr. 43</td>
<td>- 1346</td>
</tr>
<tr>
<td></td>
<td>79083 Freiburg i.Br.</td>
<td>- 1341</td>
</tr>
<tr>
<td></td>
<td></td>
<td>- 1342</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(07 61) 208 - 1192</td>
</tr>
<tr>
<td>DE 004</td>
<td><strong>Tübingen</strong></td>
<td>(07 07 1) 757 - 3513</td>
</tr>
<tr>
<td></td>
<td>Postfach 2666</td>
<td>- 3527</td>
</tr>
<tr>
<td></td>
<td>72016 Tübingen</td>
<td>(07 07 1) 757 - 3523</td>
</tr>
<tr>
<td></td>
<td>Konrad-Adenauer-Str. 20</td>
<td></td>
</tr>
<tr>
<td></td>
<td>72072 Tübingen</td>
<td></td>
</tr>
</tbody>
</table>
BAYERN

DE 026  Regierung von Oberbayern
80534 München
Maximilianstraße 39
80538 München
Telefon: (089) 21 76 - 2748
Telefax: (089) 21 76 - 2858

DE 027  Regierung von Niederbayern
Postfach
84023 Landshut
Regierungsplatz 540
84028 Landshut
Telefon: (0871) 808 - 01
- 1821
- 1825
- 1849
- 1829, 1847
Telefax: (0871) 808 - 1899

DE 028  Regierung der Oberpfalz
93039 Regensburg
Emmeramsplatz 8 - 9
93047 Regensburg
Telefon: (0941) 56 80 - 824
- 847
Telefax: (0941) 56 80 - 174

DE 029  Regierung von Oberfranken
Postfach 11 01 65
95420 Bayreuth
Ludwigstraße 20
95444 Bayreuth
Telefon: (0921) 604 - 0
- 1445
Telefax: (0921) 604 - 289

DE 030  Regierung von Mittelfranken
Postfach 606
91511 Ansbach
Promenade 27 (Schloß)
91522 Ansbach
Telefon: (0981) 53 - 1
- 601
- 417
- 242
Telefax: (0981) 53 - 773
53 - 456
<table>
<thead>
<tr>
<th>DE 031</th>
<th>Regierung von <strong>Unterfranken</strong></th>
<th>Telefon: (0931) 380 - 1367 - 1384 - 1385</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Postfach 6349 97013 Würzburg</td>
<td>. 97070 Würzburg</td>
</tr>
<tr>
<td></td>
<td>Peterplatz 9 97070 Würzburg</td>
<td>Telefax: (0931) 380 - 2287, 2384, 2385</td>
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<table>
<thead>
<tr>
<th>DE 032</th>
<th>Regierung von <strong>Schwaben</strong></th>
<th>Telefon: (0821) 327 - 2208 - 2240 - 2256 - 2383</th>
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<tbody>
<tr>
<td></td>
<td>Postfach 86145 Augsburg</td>
<td>Fronhof 10 86152 Augsburg</td>
</tr>
<tr>
<td></td>
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<tr>
<td></td>
<td></td>
<td>Telefax: (0821) 327 - 2284</td>
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<th>BERLIN</th>
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<tr>
<td>DE 006</td>
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<th>BRANDENBURG</th>
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<td>DE 015</td>
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<table>
<thead>
<tr>
<th>FREIE UND HANSESTADT BREMEN</th>
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<tbody>
<tr>
<td>DE 007 Der Senator für Frauen, Gesundheit, Jugend, Soziales und Umweltschutz, Bereich Umweltschutz und Frauen</td>
</tr>
<tr>
<td>Hanseatenhof 5 28195 Bremen</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Region</td>
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<tr>
<td>----------</td>
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<tr>
<td>DE 009</td>
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<td>HESSEN</td>
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<tr>
<td>DE 011</td>
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<td>DE 013</td>
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<tr>
<td></td>
</tr>
<tr>
<td></td>
</tr>
</tbody>
</table>
### MECKLENBURG-VORPOMMERN

| DE 033 | Staatliches Amt für Umwelt und Natur Lübz | Telefon: (03 8731) 35 - 210 - 215 |
|        | Postfach 36 19381 Lübz | Telefax: (03 8731) 35102 |
|        | für die Landkreise Müritz, Parchim |

| DE 034 | Staatliches Amt für Umwelt und Natur Neubrandenburg Abt. Abfallwirtschaft Helmut-Just-Str. 8 17036 Neubrandenburg | Telefon: (03 95) 7787 - 124 |
|        | für die Landkreise Demmin, Mecklenburg-Strelitz, Stadt Neubrandenburg |

| DE 035 | Staatliches Amt für Umwelt und Natur Rostock Abt. Abfallwirtschaft Postfach 16 12 51 18025 Rostock | Telefon: (03 81) 1222000 |
|        | für die Landkreise Bad Doberan, Güstrow, Hansestadt Rostock |

| DE 036 | Staatliches Amt für Umwelt und Natur Schwerin Pampower Str. 66/68 19061 Schwerin | Telefon: (03 85) 6433 - 640 - 423 |
|        | Telefax: (03 85) 6433 - 603 |
|        | für die Landkreise Ludwigslust, Nordwest-Mecklenburg, Schwerin, Hansestadt Wismar |

| DE 037 | Staatliches Amt für Umwelt und Natur Stralsund Abt. Abfallwirtschaft und Altlasten Badenstraße 18 18439 Stralsund | Telefon: (03 83 1) 696 - 0 |
|        | Telefax: (03 83 1) 696 - 369 |
|        | für die Landkreise Nordvorpommern, Rügen, Hansestadt Stralsund |
| DE 038 | Staatliches Amt für Umwelt und Natur Ueckermünde  
|        | Kastanienallee 13  
|        | 17373 Ueckermünde  
|        | für die Landkreise Ostvorpommern, Uecker-Randow, Hansestadt Greifswald |

**NIEDERSACHSEN**

| DE 014 | NGS  
|        | Niedersächsische Gesellschaft zur Endablagerung von Sonderabfall mbH  
|        | Alexanderstraße 4-5  
|        | 30159 Hannover  
|        | Postfach 44 47  
|        | 30044 Hannover  
|        | Telefon: (05 11) 3608 - 207  
|        | - 208  
|        | - 206  
|        | Telefax: (05 11) 3608 - 211  
|        | (Import von AC 260, AC 270 liegt in der Zuständigkeit der Bezirksregierungen Hannover, Braunschweig, Lüneburg, Weser-Ems) |

**NORDRHEIN-WESTFALEN**

| DE 016 | Bezirksregierung Arnsberg  
|        | Postfach  
|        | 59817 Arnsberg  
|        | Seibertzstraße 1  
|        | 59821 Arnsberg  
|        | Telefon: (02 93 1) 82 - 2635  
|        | - 2616  
|        | - 2617  
|        | - 2595  
|        | - 2615  
|        | Telefax: (02 93 1) 82 - 3306 |

| DE 017 | Bezirksregierung Detmold  
|        | 32754 Detmold  
|        | Leopoldstraße 15  
|        | 32756 Detmold  
|        | Telefon: (05 23 1) 71 - 5254  
|        | - 5250  
|        | Telefax: (05 23 1) 71 - 5252 |
DE 018 Bezirksregierung Düsseldorf
Postfach 300865
40408 Düsseldorf
Cecilienallee 2
40474 Düsseldorf
Telefon: (02 11) 475 - 2471
- 2474
- 2421
- 2473
- 2428
Telefax: (02 11) 475 - 2988

DE 019 Bezirksregierung Köln
50606 Köln
Zeughausstr. 2-10
50667 Köln
Telefon: (02 21) 147 - 3476
- 2733
- 2858
Telefax: (02 21) 147 - 2895

DE 020 Bezirksregierung Münster
Domplatz 1 - 3
48128 Münster
Telefon: (02 51) 411 - 1651
- 1650
Telefax: (02 51) 411 - 2525

RHEINLAND-PFALZ

DE 021 SAM GmbH
Sonderabfall-Management-Gesellschaft mbH
Wilhelm-Theodor-Römhled-Str. 34
55130 Mainz
Telefon: (06 13 1) 982 98 - 52
- 50
- 60
Telefax: (06 13 1) 982 98 - 22

SAARLAND

DE 024 Landesamt für Umweltschutz (LfU)
Postfach 10 24 61
66024 Saarbrücken
Don-Bosco-Str. 1
66119 Saarbrücken
Telefon: (06 81) 85 00 - 209
- 262
Telefax: (06 81) 85 00 - 384
### SACHSEN

**DE 022**  
Regierungspräsidium Dresden  
Postfach 100653  
01076 Dresden  
Stauffenbergallee 02  
01099 Dresden  
Telefon: (03 51) 825 - 6300  
- 6320  
- 6325  
- 6321  
Telefax: (03 51) 825 9999/ 9600

### SACHSEN-ANHALT

**DE 039**  
Regierungspräsidium **Dessau**  
Postfach 1205  
06839 Dessau  
Kühnauer Str. 161  
06842 Dessau  
Telefon: (03 40) 65 06 - 113  
Telefax: (03 40) 65 06 - 102

**DE 040**  
Regierungspräsidium **Halle**  
Postfach 20 02 56  
06003 Halle  
Willy-Lohmann-Str. 7  
06114 Halle  
Telefon: (03 45) 514 - 1519  
- 1518  
Telefax: (03 45) 514 - 1444

**DE 041**  
Regierungspräsidium **Magdeburg**  
Postschließfach 19 60  
39108 Magdeburg  
Telefon: (03 91) 567 - 02  
- 2572  
Telefax: (03 91) 567 - 2695

**DE 042**  
Bergamt Halle  
Richard-Wagner-Str. 56  
06114 Halle/Saale  
für die Bergwerke Bernburg und Teutschenthal (Import)  
Telefon: (0345) 52084 - 0  
- 16  
Telefax: (0345) 2021394
<table>
<thead>
<tr>
<th>Code</th>
<th>Location</th>
<th>Address Information</th>
<th>Contact Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>DE 043</td>
<td>Bergamt Staßfurt</td>
<td>Staßfurter Str. 6d/l 39418 Staßfurt</td>
<td>Telefon: (039265) 53 - 147 Telefax: (039265) 53 - 111 für die Untertagedeponie Zielitz (Import)</td>
</tr>
<tr>
<td>DE 025</td>
<td>Landesamt für Natur und Umwelt</td>
<td>Hamburger Chaussee 25 24220 Flintbek</td>
<td>Telefon: (04347) 704 - 662 - 663 - 600 Telefax: (04347) 704 - 602</td>
</tr>
<tr>
<td>DE 023</td>
<td>Thüringer Landesverwaltungsamt (TLVwA)</td>
<td>Carl-August-Allee 2a 99423 Weimar</td>
<td>Telefon: (03 64 3) 58 78 67 58 78 49 58 78 66 Telefax: (03 64 3) 58 78 51</td>
</tr>
</tbody>
</table>
GREECE

COMPETENT AUTHORITY For *Import, Export and Transit:*
Ministry of the Environment
Patission 147
11251 Athens
GREECE
Competent Authority Code:

TELEPHONE 30(1)8644 263
FACSIMILE 30(1)8647 420

CONTACT POINT

TELEPHONE
FACSIMILE

ACCEPTABLE LANGUAGE(s) English, Greek

REQUIRED POINTS OF ENTRY/EXIT

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES

PROHIBITIONS

CONTRACTUAL REQUIREMENTS Yes, as laid down in EU Regulation 259/93.

FINANCIAL REQUIREMENTS EU Regulation 259/93 requires that a financial guarantee must be in place for every shipment of hazardous wastes covered by the Regulation.

PERTINENT NATIONAL LAWS/REGS OTHER EU Regulation 259/93 came into force 6 May 1994.
HUNGARY

COMPETENT AUTHORITY For Export and Transit:
Chief Environmental Inspectorate
Fo u. 44-55
H-1011 Budapest
HUNGARY
Competent Authority Code:

TELEPHONE 36(1)201 1734
FACSIMILE 36(1)201 4282

COMPETENT AUTHORITY For Import: Separate competent authorities are represented in the twelve regional environment inspectorates.

CONTACT POINT

TELEPHONE
FACSIMILE

ACCEPTABLE LANGUAGE(s) Hungarian, English.

REQUIRED POINTS OF ENTRY/EXIT None, currently.

PRE-CONSENT FACILITIES None, currently.

CLASSIFICATION DIFFERENCES The existing legislation does not yet apply the Green, Amber and Red Lists.

Harmonisation with those lists will be achieved in a gradual manner with the envisaged approval of a new Decree on Hazardous Wastes by the end of 1996.

The current legislation involves the following principles:
(1) the import of all kinds of secondary raw materials for the purpose of further utilisation are allowed with the proper authorisation;
(2) at present, government authority approval is required for all kinds of waste export. Current legislation does not ban export for final disposal. In case of hazardous waste exports, the rules of the Basel Convention apply;
(3) the transit of all types of wastes requires proper authorisation.
PROHIBITIONS
CONTRACTUAL

REQUIREMENTS None, currently.

FINANCIAL
REQUIREMENTS None, currently.

PERTINENT NATIONAL
LAWS/REGS
Decree [186/1994.(XII.30.)Korm.]: “The Export and Import of Goods and Services”.

OTHER
ICELAND

COMPETENT AUTHORITY
For Import, Export, and Transit:
Environment and Food Agency
P.O. Box 8080
128 Reykjavik
ICELAND
Competent Authority Code: none

TELEPHONE 354 1 688 848 or 354 568 8848
FACSIMILE 354 1 681 896 or 354 568 1896

CONTACT POINT

ACCEPTABLE LANGUAGE(s) English, Danish, Norwegian, Swedish, German

REQUIRED POINTS OF ENTRY/EXIT None

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES Each specific shipment of waste to Iceland must have a consent from the competent authority prior to commencement of the transfrontier movement.

PROHIBITIONS

CONTRACTUAL REQUIREMENTS Yes, as laid down in EU Regulation 259/93.

FINANCIAL REQUIREMENTS None, currently

PERTINENT NATIONAL LAWS/REGS

OTHER Exports are to be notified by the notifier.
Regulation 259/95 came into force on 1 January 1995.
IRELAND

COMPETENT AUTHORITY For Import and Transit
Environmental Protection Agency
Ardcavan, Wexford
IRELAND
Competent Authority Code: none, currently

TELEPHONE 353 53 47120
FACSIMILE 353 53 47119

COMPETENT AUTHORITY For Export:
Separate list of local competent authorities exists.

CONTACT POINT

TELEPHONE
FACSIMILE

ACCEPTABLE LANGUAGE(s) English

REQUIRED POINTS OF ENTRY/EXIT None, currently

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES Wastes are classified and controlled in accordance with EU Regulation 259/93.

PROHIBITIONS

CONTRACTUAL REQUIREMENTS For export, requirements vary according to relevant local authority. Import requirements not relevant as there are no imports to Ireland.

FINANCIAL REQUIREMENTS For export, requirements vary according to relevant local authority. Import requirements not relevant as there are no imports to Ireland.


EU Regulation 259/93 came into force 6 May 1994
ITALY

COMPETENT AUTHORITY For Transit:
Ministero dell'Ambiente
Dr. Raimondo Santacroce
Servizio ARS
Via Della Ferratella In Laterano 33
00184 Roma
ITALY
Competent Authority Code:

TELEPHONE 39(6)77.25.70.13
FACSIMILE 39(6)77.25.70.12

COMPETENT AUTHORITY For Import and Export:
Competent authorities exist according to regions. Contact the transit competent authority for the listing.

CONTACT POINT

TELEPHONE
FACSIMILE

ACCEPTABLE LANGUAGE(s) French, English, Italian

REQUIRED POINTS OF ENTRY/EXIT

PRE-CONSENT FACILITIES Yes:

<table>
<thead>
<tr>
<th>Company and Location</th>
<th>Expiry of pre-consent</th>
<th>Waste types (codes)*</th>
<th>Total quantity pre-consented</th>
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</thead>
<tbody>
<tr>
<td>CHIMET</td>
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<tr>
<td>via dei Laghi 31-33</td>
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<tr>
<td>Civitella Val di</td>
<td></td>
<td></td>
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<tr>
<td>Chiana (Tuscany Region)</td>
<td>27/06/95</td>
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<tr>
<td>TCA Capolano (AR)</td>
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</table>

* as per OECD Council Decision C(92)39/FINAL, as amended.
CLASSIFICATION DIFFERENCES

PROHIBITIONS

CONTRACTUAL REQUIREMENTS
A copy of the contract between the notifier and the recovery facility must be submitted to the competent authorities, except for movements of ferrous and non-ferrous metal residues.
Contracts must explicitly exclude resorting to any subcontractors.

FINANCIAL REQUIREMENTS
A financial guarantee subscribed by the notifier for alternative management of the waste has to be provided to the competent authority for exports and imports of wastes, except for those on the green list.
This guarantee shall cover all possible costs connected with the transport, the disposal of the waste and the clean-up of the environment as well as any responsibility of the Italian State towards other States.
In case alternative management of wastes exported from or imported to Italy is necessary, ultimate responsibility and costs incurred lie with the generator, irrespective of any relevant contractual provisions. The notifier must obtain a certification of disposal from the consignee in order to recover the guarantee.

PERTINENT NATIONAL LAWS/REGS
• Decreto Del Presidente della Repubblica n. 915 (DPR 915/82).
  10 September 1982.
• Disposizione per la prima applicazione dell'articolo 4 del decreto del Presidente della Repubblica 10 settembre 1982, n. 915, concernente lo smaltimento dei rifiuti.
  Deliberazioni del Comitato Interministeriale. 27 July 1984.
  22 October 1988.

OTHER
Transit of wastes originating from an OECD but non-EEC state must be notified 60 days prior to the anticipated date of entering the Italian territory.
EU Regulation 259/93 came into force 6 May 1994.
JAPAN

COMPETENT AUTHORITY For Import, Export and Transit:
Environment Agency
Director
Office of Marine Pollution Control & Waste Management
Water Quality Bureau
1-2-2, Kasumigaseki
Chiyoda-ku, Tokyo
JAPAN
Competent Authority Code:

TELEPHONE 81(3)3581-4498
FACSIMILE 81(3)3593-1438

ACCEPTABLE LANGUAGE(s) English, Japanese

REQUIRED POINTS OF ENTRY/EXIT None

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES None

PROHIBITIONS

CONTRACTUAL REQUIREMENTS The competent authority is required to review the consignment contract.

FINANCIAL REQUIREMENTS None

- The Law on the Control of Export, Import or Other Operations of Specified Hazardous Wastes and other Wastes. 16 December 1992.

OTHER
LUXEMBOURG

COMPETENT AUTHORITY For Import, Export and Transit:
Administration of the Environment
Division of Waste
1 Bender Street
1229 Luxembourg
Competent Authority Code: LU001

TELEPHONE 352 40 56 561
FACSIMILE 352 49 64 38

CONTACT POINT

TELEPHONE
FACSIMILE

ACCEPTABLE LANGUAGE(s) French, German, English

REQUIRED POINTS OF ENTRY/EXIT Multiple hazardous waste shipments notified by a general notification must be dispatched through the same customs office. There are three possible offices: Steinfort, Bettembourg, and Wasserbillig

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES None

PROHIBITIONS

CONTRACTUAL REQUIREMENTS A copy of the contract between the notifier and the recovery facility must be submitted with the notification; it must include the obligation of the generator to take back the wastes when shipments are not completed as planned; it must also include a statement that the recovery facility will issue a certificate of recovery no later than 180 days following receipt of the waste.

FINANCIAL REQUIREMENTS An original (for exports), or copy (for transit) of the financial guarantee must be submitted to the competent authority.

26 June 1980.
Currently the notifier is responsible for notification of exports; there is a possibility that new national regulations under discussion will require the competent authority to transmit the notification. EU Regulation 259/93 came into force 6 May 1994.
MEXICO

COMPETENT AUTHORITY

For Import and Export:
INSTITUTO NACIONAL DE ECOLOGIA
Rio Elba N° 20, Piso 14,
Col. Cuauhtémoc
06500, Mexico, D.F.
MEXICO
Competent Authority Code: None

TELEPHONE 52(5)553 95 38 / 52(5)553 96 47
FACSIMILE 52(5)286 66 25

COMPETENT AUTHORITY

For Transit:
PROFEP A
(Procuraduría Federal de Protección al Ambiente)
Periférico Sur 5000
Col. Insurgentes Cuicuilco
Coyoacán 04530 Mexico D.F.
Competent Authority Code:

TELEPHONE 52(5)528.5409 & 5417
FACSIMILE Not given

CONTACT POINT

TELEPHONE
FACSIMILE

ACCEPTABLE LANGUAGES(s) Spanish, English

REQUIRED POINTS OF ENTRY/EXIT None

PRE-CONSENT FACILITIES None, currently

CLASSIFICATION DIFFERENCES There are some substances from the Green List that are considered as hazardous wastes by Mexican Legislation, and are subject to import/export requirements through the Guia Ecologica (Ecological Waybill).

PROHIBITIONS

CONTRACTUAL REQUIREMENTS In order to obtain an export permit, one requires a letter of agreement from the consignee receiving the wastes.
The petitioner of the import/export permit should place a bond that guarantees the fulfilment of the terms of the license and of applicable laws and regulations. The amount of the bond depends on the toxicity and quantity of the wastes.

The corresponding authority should send notice via diplomatic means.
NETHERLANDS

COMPETENT AUTHORITY For Import, Export and Transit:
Minister of Housing, Spatial Planning and the Environment (IMA)
P.O. Box 418
3440 AK Woerden
THE NETHERLANDS
Competent Authority Code: NL001

TELEPHONE 31(348)48.74.40
FACSIMILE 31(348)48.74.04

ACCEPTABLE LANGUAGE(s) English, German, Dutch

REQUIRED POINTS OF ENTRY/EXIT Multiple hazardous waste shipments notified by a general notification must be dispatched through the same customs office (ROTTERDAM)

CONTRACTUAL REQUIREMENTS For waste exports a copy of the contract between the notifier and the consignee must be provided with the notification to the Dutch competent authority. Irrespective of contract provisions, waste generators are ultimately responsible for waste processing and disposal.

FINANCIAL REQUIREMENTS In 1994 a Ministerial Order concerning a financial guarantee for transfrontier movement of wastes was adopted. The guarantee is required by the EU Regulation 259/93 Shipment. No transfrontier movement of Amber and Red List (OECD) wastes can take place if a guarantee is not set in favour of the Netherlands. The amount of the guarantee is 1000 NGL per tonne. This amount should be sufficient for the costs of re-export and disposal of the waste in case the transfrontier movement is not completed as intended. However, in case the envisaged costs of re-export and disposal differ considerably from the calculated amount, the Minister...
may require a higher financial guarantee or accept a lower financial guarantee. In case of import or transit from another EU Member-State and the competent authority of that State declares that a sufficient guarantee has been set, an additional financial guarantee is not required.


OTHER Under the general notification procedure, the exporter/importer may be requested to provide the Dutch competent authority with further information regarding the previous or future shipments. Also under the general notification procedure, an original notification form, with block 20 (and block 21 where necessary) duly completed by the competent authorities, must accompany each shipment.

For waste exports to a non-EEC Member state, the importer may be requested to provide the Dutch competent authority with information regarding the recovery processes to ensure that these are environmentally sound.

If specific conditions are laid down by one competent authority with respect to a shipment, they have to be joined to the tracking form.

EU Regulation 259/93 come into force 6 May 1994.
NEW ZEALAND

COMPETENT AUTHORITY For Import, Export, and Transit:
Ministry of Commerce (MCM)
Business Policy & Programmes Division
Tariff Policy and Industry Issues Group
P.O. Box 1473
Wellington
NEW ZEALAND
Competent Authority Code: none

TELEPHONE 64(4)4720030
FACSIMILE 64(4)4738949

CONTACT POINT

TELEPHONE
FACSIMILE

ACCEPTABLE LANGUAGE(s) English

REQUIRED POINTS OF ENTRY/EXIT None

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES None

PROHIBITIONS

CONTRACTUAL REQUIREMENTS Yes. The notifier/exporter should provide MCM with a copy of the contract between the exporter and disposer.

FINANCIAL REQUIREMENTS None

PERTINENT NATIONAL LAWS/REGS
- Export Prohibition Regulations 1993 - Regulation 3.

OTHER The pertinent national regulations came into force 28 February 1995.
NORWAY

COMPETENT AUTHORITY
For Import, Export and Transit:
Norwegian Pollution Control Authority
Hazardous Waste Division
Box 8100 Dep.
Oslo 0032
NORWAY
Competent Authority Code:

TELEPHONE 47(22)57 34 00
FACSIMILE 47(22)67 67 06

CONTACT POINT

ACCEPTABLE LANGUAGE(s) English, Norwegian

REQUIRED POINTS OF ENTRY/EXIT
Multiple hazardous waste shipments notified by a general notification must be dispatched through the same customs offices.

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES Tacit consent as drawn up in EEC Regulation 259/93 is, at national level, practised through written confirmations from the competent authority within the same limits as required in the EU Regulation.

PROHIBITIONS

CONTRACTUAL REQUIREMENTS No specific provisions.

FINANCIAL REQUIREMENTS The licensing authority may request a financial guarantee be posted (by operators of waste treatment facilities) to cover future expenses and liability compensation.

PERTINENT NATIONAL LAWS/REGS Regulations Concerning Export and Import of Hazardous Wastes. 23 May 1990.

OTHER EU Regulation 259/93 came into force on 1 January 1995.
POLAND

COMPETENT AUTHORITY For Import, Export and Transit:
Chief Inspectorate for Environmental Protection (Waste Section)
ul. Wawelska 52/54
00-922 Warszawa
POLAND
Competent Authority Code:

TELEPHONE 48(22)251 104
FACSIMILE 48(22)251 104

CONTACT POINT As above.
TELEPHONE As above.
FACSIMILE As above.

ACCEPTABLE LANGUAGE(s) English, German, Russian, Polish.

REQUIRED POINTS OF ENTRY/EXIT None.

PRE-CONSENT FACILITIES None.

CLASSIFICATION DIFFERENCES The following wastes of the Green List are classified as hazardous wastes (see Prohibitions): GA 240, GA 270, GA 290, GA 320, GA 400, GA 410, GC 050, GG 080, GO 040 and GB 010, GB 021, GB 022, GB 023, GB 024 (if contains lead or cadmium).

All other wastes of the Green List are submitted to the same level of control in import as wastes of the Red List.

The following wastes of the Amber List are controlled as wastes of the Red List: AB 070, AC 020, AC 190.
All other wastes of the Amber List are classified as hazardous wastes (see Prohibitions).

PROHIBITIONS Import of hazardous wastes (see Classification Differences) is prohibited.

Import of other wastes destined for the R1 and R10 operations (according to OECD Decision C(88)90(Final) as amended) is prohibited.

Export of hazardous wastes destined for final disposal (D operations) is prohibited.
<table>
<thead>
<tr>
<th>CONTRACTUAL REQUIREMENTS</th>
<th>A copy of the contract between the importer and exporter (and if Polish importer is only trade company, then between importer and recovery facility) shall be presented to the Polish authority.</th>
</tr>
</thead>
<tbody>
<tr>
<td>FINANCIAL REQUIREMENTS</td>
<td>Any export of hazardous wastes must be covered by an insurance.</td>
</tr>
<tr>
<td>PERTINENT NATIONAL LAWS/REGS</td>
<td>1980 Statute on the Protection and Management of the Environment (as amended in 1993) — articles 53a - 53f. 1993 Regulation of the Minister of Environmental Protection, Natural Resources and Forestry establishing the list of hazardous wastes.</td>
</tr>
<tr>
<td>OTHER</td>
<td>An amendment of existing law (introducing the partial Green List) is currently under discussion in Parliament.</td>
</tr>
</tbody>
</table>
COMPETENT AUTHORITIES

For Import, Export and Transit:
Direcção Geral do Ambiente
Mr. A. Ascenso Pires
Av. Almirante Gago Coutinho, nº 30
1000 Lisboa
PORTUGAL
Competent Authority Code: none

TELEPHONE 351(1)847 10 22
FACSIMILE 351(1)847 30 01

For Exports by Sea, the following competent authority must also be notified:
Direcção Geral De Portos Navegacao e Transportes Maritimos
Alcantara Mar Edificio Vasco Da Gamma
1000 Lisboa
PORTUGAL

TELEPHONE 31 95 78 66
FACSIMILE 39 79 79 4

CONTACT POINT

ACCEPTABLE LANGUAGE(s) English, Portuguese, French, Spanish

REQUIRED POINTS OF ENTRY/EXIT Multiple hazardous waste shipments notified by a general notification must all be dispatched through the customs office indicated on the notification.

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES None

PROHIBITIONS

CONTRACTUAL REQUIREMENTS A copy of the contract is to be provided to the competent authority by any one of the contracting parties.

FINANCIAL REQUIREMENTS The required notification for import, export or transit of hazardous waste should refer to financial guarantees covering third party liability.
- Decree Law 210-C/84. National Regulations for the Transport of
  Hazardous Goods by Road. 29 June 1984.
- Decree Law 121/90. Regulation on the Transfrontier Movement of Dangerous
  Substances. 9 April 1990.

For exports, notifications are to be transmitted by the notifier.
The primary competent authority may be changing address soon.
EU Regulation 259/93 came into force 6 May 1994.
SPAIN

COMPETENT AUTHORITY
For Import, Export and Transit:
Dirección General de Política Ambiental
Subdirección General de Residuos
Ministerio de Obras Públicas, Transportes y Medio Ambiente
Paseo de la Castellana 67
28071. Madrid.
SPAIN
Competent Authority Code: None

TELEPHONE 34(1)597 80 30
FACSIMILE 34(1)597 85 15

CONTACT POINT

ACCEPTABLE LANGUAGE(s) Spanish, French, English

REQUIRED POINTS OF ENTRY/EXIT
Multiple hazardous waste shipments notified by a general notification must be dispatched through the same customs office. EU custom points in Spain at the following harbours: Bilbao, Gijón, Santander, Barcelona, Cádiz, Huelva.

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES None

PROHIBITIONS

CONTRACTUAL REQUIREMENTS The competent authority in the authorisation procedure may require that a copy of the contract be provided by the consignee in the case of imports and by the notifier in the case of exports.

FINANCIAL REQUIREMENTS For imports, a copy of the financial guarantee agreement must be joined with the consignee's licence to the notification.

PERTINENT NATIONAL LAWS/REGS
- Ley 42/1975 on urban solid waste
- Ley 20/1986 on toxic and hazardous wastes
- R.D. 833/1988 which includes the regulation on toxic and hazardous wastes

EU Regulation 259/93 came into force 6 May 1994.
SWEDEN

COMPETENT AUTHORITY For Import, Export and Transit: National Environmental Protection Agency S-10648 Stockholm SWEDEN Competent Authority Code:

TELEPHONE 46(8)698 10 00
FACSIMILE 46(8)698 12 22

CONTACT POINT

TELEPHONE
FACSIMILE

ACCEPTABLE LANGUAGE(s) English, Swedish

REQUIRED POINTS OF ENTRY/EXIT

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES The following wastes of the Green List are controlled as Amber List wastes:
GA150 ex 780200 Lead waste and scrap
GA240 ex 810710 Cadmium waste and scrap
GA270 ex 811000 Antimony waste and scrap
GA290 ex 811211 Beryllium waste and scrap
GA400 ex 280490 Selenium waste and scrap
GA410 ex 280450 Tellurium waste and scrap
GA380 ex 811281 Thallium waste and scrap

PROHIBITIONS

CONTRACTUAL REQUIREMENTS There shall be a contract between the exporter and importer saying that if the transboundary movement of hazardous waste cannot be completed in accordance with the contract, the exporter shall ensure that the wastes in question are returned to the state of export.

FINANCIAL REQUIREMENTS Such requirements currently apply according to EU Regulation 259/93.

OTHER In 1995, the Swedish Ordinance on Export and Import of Hazardous was replaced with the Ordinance on Transboundary Movements of Waste (SFS 1995:701). EU Regulation 259/93 came into force on 1 January 1995.
SWITZERLAND

COMPETENT AUTHORITY For Import, Export and Transit:
Bundesamt für Umwelt, Wald und Landschaft (BUWAL)
Dr Michel Monteil
Abteilung Abfall/VVS
CH-3003 Bern
SWITZERLAND
Competent Authority Code:

TELEPHONE 41(31)322 93 27 / 41 (31)323 03 57
FACSIMILE 41(31)322 59 32

CONTACT POINT Same as competent authority

ACCEPTABLE LANGUAGE(s) French, German, Italian, English

REQUIRED POINTS OF ENTRY/EXIT None

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES Some of the green listed wastes may be controlled as if they were assigned to the amber or red list (see Swiss ordinance on the movements of special wastes; OMSW 1986)

PROHIBITIONS All exports for incineration are principally prohibited (including recovery operation R1)

CONTRACTUAL REQUIREMENTS The notifier must provide a contract with the following information:
- Declaration of acceptance by the consignee.
- Description of the waste, chemical composition.
- Amount of wastes.
- Description of the treatment of the waste, including treatment of residues.
- Determination of responsibilities, if the waste can not be treated as foreseen.
- Declaration of the consignee, that he will send not later than 6 months after acceptance of the wastes a certification, that the wastes have been disposed of, in an environmentally sound manner.

FINANCIAL REQUIREMENTS For exports, the proof of an existing financial guarantee, according to the laws of the importing country is necessary.
• Ordinance on the movement of special wastes (OMSW, 1986).

The notifier must provide the Swiss competent authority with information and documents regarding the recovery processes (including treatment of residues) to ensure that these are environmentally sound. Further information may also be required.
**TURKEY**

COMPETENT AUTHORITY For *Import, Export and Transit*:
Ministry of Environment  
Head of Waste & Chemicals Management Department  
Eskisehir Yolu 8.km C Blok  
Ankara  
TURKEY  
Competent Authority Code: none

<table>
<thead>
<tr>
<th>TELEPHONE</th>
<th>90(312)285 10 40 - 90(312)287 99 63</th>
</tr>
</thead>
<tbody>
<tr>
<td>FACSIMILE</td>
<td>90(312)285 58 78</td>
</tr>
</tbody>
</table>

CONTACT POINT

<table>
<thead>
<tr>
<th>TELEPHONE</th>
<th>FACSIMILE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

ACCEPTABLE LANGUAGE(s) English, Turkish

REQUIRED POINTS

OF ENTRY/EXIT None

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES Written consent is required for import, export and transit of wastes, including those on the Green List.

PROHIBITIONS

CONTRACTUAL REQUIREMENTS

FINANCIAL REQUIREMENTS

<table>
<thead>
<tr>
<th>PERTINENT NATIONAL LAWS/REGS</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Draft law of Hazardous Waste Management (pending) pursuant to amendments to the Environment Law No. 2872.</td>
</tr>
<tr>
<td>• Draft law on Control of Dangerous Chemicals.</td>
</tr>
</tbody>
</table>

OTHER The Basel Convention was ratified and came into force on 20th September 1994 in Turkey. In addition to the "classification differences" noted above, the import of all kinds of wastes is subject to the permission of the Ministry of the Environment, in accordance with the National Environment Law coded 2872.
UNITED KINGDOM

COMPETENT AUTHORITY For Transit (for England, Scotland and Wales):
Environment Agency
Waste Regulation Policy Group
Wastes Information Division
PO Box 43
Manchester
M60 3EB
UNITED KINGDOM
Competent Authority Code: GB00

TELEPHONE 44(161)829-7920
FACSIMILE 44(161)834-3392
E-MAIL GB00@GMWRA.demon.co.uk

COMPETENT AUTHORITY For Import and Export (for England and Wales):
The Environment Agency for England and Wales
Waste Regulation Policy Group
Wastes Information Division
PO Box 43
Manchester
M60 3EB
UNITED KINGDOM
Competent Authority Code: GB01

TELEPHONE 44(161)829-7920
FACSIMILE 44(161)834-3392
E-MAIL GB01@GMWRA.demon.co.uk

COMPETENT AUTHORITY For Import and Export (for Scotland):
The Scottish Environment Protection Agency
Erskine Court
Castle Business Park
Stirling
FK9 4TR
UNITED KINGDOM
Competent Authority Code: GB02

TELEPHONE 44(1786)457700
FACSIMILE 44(1786)446885

Separate local competent authorities exist for Northern Ireland.

CONTACT POINT

TELEPHONE
FACSIMILE
PRE-CONSENT FACILITIES: Yes:

<table>
<thead>
<tr>
<th>Company and Location</th>
<th>Expiry of pre-consent</th>
<th>Waste types (codes)#</th>
<th>Quantity pre-consented</th>
</tr>
</thead>
<tbody>
<tr>
<td>Johnson Matthey Plc</td>
<td>17 March 2002</td>
<td>GA010 GA020 GA030 GA120 GA130 GA150 GB040 GC010 GC020 GC050 GC051 GC052 GG060 AA020* AA030* AA040* AA070* AA120* AA150* AA160* AA161* AA162* AA180* AB010* AB080 AB120 AD090 AD110 AD120 AD140</td>
<td></td>
</tr>
<tr>
<td>Chemicals Precious Metals Division</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Jeffreys Road</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Enfield, Middlesex</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>EN3 7PW</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lokas Limited Scot Lane Industrial Estate Blackrod, Bolton Lancashire BL6 5SL</td>
<td>indefinite period</td>
<td>AD090**</td>
<td></td>
</tr>
<tr>
<td>JBR Recovery Ltd Argentor House Oldbury Road West Bromwich B70 9BS</td>
<td></td>
<td>AA160 AA162 AD090 AD120</td>
<td></td>
</tr>
<tr>
<td>Chemical Manufacture &amp; Refining (CMR) Ltd, Lime Kiln Works, Rye Harbour East Sussex TN31 7TE</td>
<td></td>
<td>AC030 AC050 AC060 AC070 AC080 AC090 AC110 AC130 AC140 AC210+ AC220 AC240 AC250 AD010 AD020 AD030 AD060 AD070 AD090 AD100 AD110++</td>
<td></td>
</tr>
</tbody>
</table>
# In accordance with codes in OECD Council Decision C(92)39/FINAL, as Amended by Council Decision C(93)74/FINAL (May 1993).
* Includes wastes in the form of ash, residue, slag, dross, skimmings, scaling, dust, powder, sludge and cake, unless a material is expressly listed elsewhere.
** Entry described as:
  (i) "silver bearing photographic films and papers", and
  (ii) "silver bearing photographic emulsions"
+ except pyridine
++ organic acids only

CLASSIFICATION
DIFFERENCES EEC Regulation 259/93 applies in the UK. There are no classification differences which affect wastes for recovery to or from the U.K.

PROHIBITIONS

CONTRACTUAL REQUIREMENTS The importer/exporter must have a written contract in order to ship waste.

FINANCIAL REQUIREMENTS EU Regulation 259/93 requires that a financial guarantee must be in place for every shipment of hazardous wastes covered by the Regulation.

PERTINENT NATIONAL • The Transfrontier Shipment of Waste Regulations 1994 (SI 1137).

OTHER EU Regulation 259/93 came into force 6 May 1994.
UNITED STATES

COMPETENT AUTHORITY: For Import, Export and Transit:
U.S. Environmental Protection Agency
Office of Enforcement and Compliance Assurance
Enforcement Planning, Targeting and Data Division
Import, Export Programme (2222A)
401 M Street, SW
Washington, D.C. 20460
UNITED STATES

TELEPHONE 1(202)564-4108
FACSIMILE 1(202)564-0025

CONTACT POINT

TELEPHONE
FACSIMILE

ACCEPTABLE LANGUAGE(s) English

REQUIRED POINTS OF ENTRY/EXIT None

PRE-CONSENT FACILITIES None

CLASSIFICATION DIFFERENCES No regulatory controls apply to transboundary movements of municipal incinerator ash, household waste, used oil, or any other waste defined as non-hazardous in the U.S. Regulations implementing OECD Council Decision C(92)39/FINAL became final on July 17, 1996.

PROHIBITIONS Exports of PCBs and PCB wastes at red tier levels, regardless of whether they are pure or mixed with other hazardous wastes, are banned.

CONTRACTUAL REQUIREMENTS Yes, for those wastes that the U.S. classifies as hazardous; only applies to shipments subject to C(92)39/FINAL.

FINANCIAL REQUIREMENTS None.
• Toxic Substances Control Act (Public Law 94-469) (15 US Code 2601)
• 40 CFR 761.91-97.

Hazardous waste exporters must provide to the U.S. EPA, which then transmits to the importing and transit countries, advance notice of the shipment's composition and quantity, including a description of how the waste is to be treated or disposed.
PART IV

UPDATED OECD GREEN, AMBER AND RED LISTS

Incorporating amendments
adopted after 1st January 1995

Refering to the lists of waste set out in the Guidance Manual - Environment Monograph N° 96, the third amendment:
- adds one additional item to the Green List (i.e. GC 080 Mill scale),
- groups the three entries dealing with iron and steel slag (i.e. GC 060, GC 070, and GG 070) into one single, and rephrased category under entry GC 070.

This amendment was adopted 21 September 1995 and was originally set forth in C(95)155/FINAL.

The fourth amendment:
- modifies the Green List entry GG060 and includes a new Amber List entry AD 170 for Spent activated carbon,
- modifies the Green List entry GA 210 and includes a new Amber List entry AA190 for Magnesium waste and scrap,
- deletes the Green List entry GA 380 and modifies the Amber List entry AA 080 for Thallium waste and scrap,
- deletes the Green List entry GA 390 for Thorium waste and scrap,
- and modifies Green List entries GC 050 to GC 053, includes a new Green List entry GC060 and a new Amber List entry AB 080 for spent catalysts.

This amendment was adopted by Council 10 December 1996 and was originally set forth in C(96)231/FINAL.
Appendix 3

GREEN LIST OF WASTES*  
(revised March 1996)

Regardless of whether or not wastes are included on this list, they may not be moved as Green Tier wastes if they are contaminated by other materials to an extent which (a) increases the risks associated with the waste sufficiently to render it appropriate for inclusion in the amber or red lists, when taking into account the criteria in Annex 2, or (b) prevents the recovery of the waste in an environmentally sound manner.

GA. METAL AND METAL-ALLOY WASTES IN METALLIC, NON DISPERSIBLE FORM

The following waste and scrap of precious metals and their alloys:

- **GA010**  
  711210 - of gold

- **GA020**  
  711220 - of platinum (the expression "platinum" includes platinum, iridium, osmium, palladium, rhodium and ruthenium)

- **GA030**  
  711290 - of other precious metal, e.g., silver

  N.B. Mercury is specifically excluded as a contaminant of these metals or their alloys or amalgams.

The following ferrous waste and scrap of iron or steel:

- **GA040**  
  720410 - Waste and scrap of cast iron

- **GA050**  
  720421 - Waste and scrap of stainless steel

- **GA060**  
  720429 - Waste and scrap of other alloy steels

- **GA070**  
  720430 - Waste and scrap of tinned iron or steel

- **GA080**  
  720441 - Turnings, shavings, chips, milling waste, filings, trimmings and stampings, whether or not in bundles

- **GA090**  
  720449 - Other ferrous waste and scrap

- **GA100**  
  720450 - Remelting scrap ingots

- **GA110**  
  730210 - Used iron and steel rails

---

1. Whenever possible, the code number of the Harmonized Commodity Description and Coding System, established by the Brussels Convention of 14th June 1983 under the auspices of the Customs Co-operation Council (Harmonized System Code) is listed opposite an entry. This code may apply to both wastes and products. This Decision does not include items which are not wastes. Therefore, the code - used by customs officials in order to facilitate their procedures as well as by others - is only provided here to help in identifying wastes that are listed and subject to this Decision. However, corresponding official Explanatory Notes as issued by the Customs Co-operation Council should be used as interpretative guidance to identify wastes covered by generic headings. The indicative "ex" identifies a specific item contained within a heading of the Harmonized System Code.

The code in bold in the first column is the OECD code: it consists of two letters (one for the list: Green, Amber or Red and one for the category of waste: A,B,C,...) followed by a number.

2. "Non-dispersible" does not include any wastes in the form of powder, sludge, dust or solid items containing encased hazardous waste liquids.
The following waste and scrap of non-ferrous metals and their alloys:

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>GA120</td>
<td>740400 Copper waste and scrap</td>
</tr>
<tr>
<td>GA130</td>
<td>750300 Nickel waste and scrap</td>
</tr>
<tr>
<td>GA140</td>
<td>760200 Aluminium waste and scrap</td>
</tr>
<tr>
<td>GA150</td>
<td>780200 Lead waste and scrap</td>
</tr>
<tr>
<td>GA160</td>
<td>790200 Zinc waste and scrap</td>
</tr>
<tr>
<td>GA170</td>
<td>800200 Tin waste and scrap</td>
</tr>
<tr>
<td>GA180</td>
<td>ex 810191 Tungsten waste and scrap</td>
</tr>
<tr>
<td>GA190</td>
<td>ex 810291 Molybdenum waste and scrap</td>
</tr>
<tr>
<td>GA200</td>
<td>ex 810310 Tantalum waste and scrap</td>
</tr>
<tr>
<td>GA210</td>
<td>810420 Magnesium waste and scrap (excluding those listed in AA190)</td>
</tr>
<tr>
<td>GA220</td>
<td>ex 810510 Cobalt waste and scrap</td>
</tr>
<tr>
<td>GA230</td>
<td>ex 810600 Bismuth waste and scrap</td>
</tr>
<tr>
<td>GA240</td>
<td>ex 810710 Cadmium waste and scrap</td>
</tr>
<tr>
<td>GA250</td>
<td>ex 810810 Titanium waste and scrap</td>
</tr>
<tr>
<td>GA260</td>
<td>ex 810910 Zirconium waste and scrap</td>
</tr>
<tr>
<td>GA270</td>
<td>ex 811000 Antimony waste and scrap</td>
</tr>
<tr>
<td>GA280</td>
<td>ex 811100 Manganese waste and scrap</td>
</tr>
<tr>
<td>GA290</td>
<td>ex 811211 Beryllium waste and scrap</td>
</tr>
<tr>
<td>GA300</td>
<td>ex 811220 Chromium waste and scrap</td>
</tr>
<tr>
<td>GA310</td>
<td>ex 811230 Germanium waste and scrap</td>
</tr>
<tr>
<td>GA320</td>
<td>ex 811240 Vanadium waste and scrap</td>
</tr>
</tbody>
</table>
ex 811291 Wastes and scrap of

GA330 - Hafnium
GA340 - Indium
GA350 - Niobium
GA360 - Rhenium
GA370 - Gallium

GA400 ex 280490 Selenium waste and scrap
GA410 ex 280450 Tellurium waste and scrap
GA420 ex 280530 Rare earths waste and scrap

GB. METAL BEARING WASTES ARISING FROM MELTING, SMELTING AND REFINING OF METALS

GB010 262011 Hard zinc spelter

GB020 Zinc containing drosses:
GB021 - Galvanizing slab zinc top dross (> 90% Zn)
GB022 - Galvanizing slab zinc bottom dross (> 92% Zn)
GB023 - Zinc die casting dross (> 85% Zn)
GB024 - Hot dip galvanizers slab zinc dross (batch) (> 92% Zn)
GB025 - Zinc skimmings

GB030 Aluminium skimmings

GB040 ex 262090 Slags from precious metals and copper processing for further refining
GB050 ex 262090 Tantalum bearing tin slags with less than 0.5 % tin

GC. OTHER WASTES CONTAINING METALS

GC010 Electrical assemblies consisting only of metals or alloys

GC020 Electronic scrap (e.g. printed circuit boards, electronic components, wire, etc.) and reclaimed electronic components suitable for base and precious metal recovery

GC030 ex 890800 Vessels and other floating structures for breaking up, properly emptied of any cargo and other materials arising from the operation of the vessel which may have been classified as a dangerous substance or waste

GC040 Motor vehicle wrecks, drained of liquids
Spent catalysts excluding liquids used as catalysts:

**GC050**  Spent Fluid Catalytic Cracking (FCC) Catalysts (eg: aluminium oxide, zeolites)

**GC060**  Spent metal bearing catalysts containing any of:

- Precious metals:
  - Gold
  - Silver

- Platinum-group metals:
  - Ruthenium
  - Palladium
  - Iridium
  - Rhodium
  - Osmium
  - Platinum

- Transition metals:
  - Scandium
  - Vanadium
  - Manganese
  - Cobalt
  - Copper
  - Yttrium
  - Niobium
  - Hafnium
  - Tungsten
  - Titanium
  - Chromium
  - Iron
  - Nickel
  - Zinc
  - Zirconium
  - Molybdenum
  - Tantalum
  - Rhenium

- Lanthanides (rare earth metals):
  - Lanthanum
  - Prasodinium
  - Samarium
  - Gadolinium
  - Dysprosium
  - Erbium
  - Ytterbium
  - Cerium
  - Neodymium
  - Europium
  - Terbium
  - Holmium
  - Thulium
  - Lutetium

**GC070**  ex 261900  Slags arising from the manufacture of iron and carbon steel (including low alloy steel) excluding those slags which have been specifically produced to meet both national and relevant international requirements and standards.

**GC080**  ex 261900  Mill scale (ferrous metal)

3. This entry covers the use of such slags as a source of titanium dioxide and vanadium.
**GD. WASTES FROM MINING OPERATIONS: THESE WASTES TO BE IN NON-DISPERSIBLE FORM**

GD010 ex 250490 Natural graphite waste

GD020 ex 251400 Slate waste, whether or not roughly trimmed or merely cut, by sawing or otherwise

GD030 252530 Mica waste

GD040 ex 252930 Leucite, nepheline and nepheline syenite waste

GD050 ex 252910 Felspar waste

GD060 ex 252921 Fluospar waste

ex 252922

GD070 ex 281122 Silica wastes in solid form excluding those used in foundry operations

**GE. GLASS WASTE IN NON-DISPERSIBLE FORM**

GE010 ex 700100 Cullet and other waste and scrap of glass except for glass from cathode-ray tubes and other activated glasses

GE020 Glass fibre wastes

**GF. CERAMIC WASTES IN NON-DISPERSIBLE FORM**

GF010 Ceramic wastes which have been fired after shaping, including ceramic vessels (before and/or after use)

GF020 ex 811300 Cermet wastes and scrap (metal ceramic composites)

GF030 Ceramic based fibres not elsewhere specified or included

**GG. OTHER WASTES CONTAINING PRINCIPALLY INORGANIC CONSTITUENTS, WHICH MAY CONTAIN METALS AND ORGANIC MATERIALS**

GG010 Partially refined calcium sulphate produced from flue gas desulphurisation (FGD)

GG020 Waste gypsum wallboard or plasterboard arising from the demolition of buildings

GG030 ex 2621 Bottom ash and slag tap from coal fired power plants
GG040  ex  2621  Coal fired power plants fly ash

GG050  Anode butts of petroleum coke and/or bitumen

GG060  ex  2803  Spent activated carbon, resulting from the treatment of potable water and processes of the food industry and vitamin production

GG080  ex  262100  Slag from copper production, chemically stabilized, having a high iron content (above 20%) and processed according to industrial specifications (e.g. DIN 4301 and DIN 8201) mainly for construction and abrasive applications

GG090  Sulphur in solid form

GG100  Limestone from the production of calcium cyanamide (having a pH less than 9)

GG110  ex  262100  Neutralized red mud from alumina production

GG120  Sodium, potassium, calcium chlorides

GG130  Carborundum (silicon carbide)

GG140  Broken concrete

GG150  ex  262090  Lithium-Tantalum and Lithium-Niobium containing glass scraps

GH.  SOLID PLASTIC WASTES:

Including, but not limited to:

GH010  3915  Waste, parings and scrap of plastics of :

GH011  ex  391510  - polymers of ethylene

GH012  ex  391520  - polymers of styrene

GH013  ex  391530  - polymers of vinyl chloride

GH014  ex  391590  - polymers or co-polymers e.g.:
  - polypropylene
  - polyethylene terephthalate
  - acrylonitrile copolymer
  - butadiene copolymer
  - styrene copolymer
  - polyamides
  - polybutylene terephthalate
  - polycarbonates
  - polyphenylene sulphides
  - acrylic polymers
  - paraffins (C10-C13)

4.  These cannot be polymerised and are used as plasticisers.

93
. polyurethane (not containing chlorofluorocarbons)
. polysiloxalanes (silicones)
. polymethyl methacrylate
. polyvinyl alcohol
. polyvinyl butyral
. polyvinyl acetate
. polymers of fluorinated ethylene (Teflon, PTFE)

GH015  ex  391590  -  resins or condensation products e.g.:
. urea formaldehyde resins
. phenol formaldehyde resins
. melamine formaldehyde resins
. epoxy resins
. alkyd resins
. polyamides

GI.  PAPER, PAPERBOARD AND PAPER PRODUCT WASTES:

GI010  4707  Waste and scrap of paper or paperboard:
GI011  470710  -  of unbleached kraft paper or paperboard or of corrugated paper or paperboard
GI012  470720  -  of other paper or paperboard, made mainly of bleached chemical pulp, not colored in the mass
GI013  470730  -  of paper or paperboard made mainly of mechanical pulp (for example, newspapers, journals and similar printed matter)
GI014  470790  -  other, including but not limited to:
   1) laminated paperboard
   2) unsorted waste and scrap

GJ.  TEXTILE WASTES:

GJ010  5003  Silk waste (including cocoons unsuitable for reeling, yarn waste and garnetted stock)
GJ011  500310  -  not carded or combed
GJ012  500390  -  other
GJ020  5103  Waste of wool or of fine or coarse animal hair, including yarn waste but excluding garnetted stock
GJ021  510310  -  noils of wool or of fine animal hair
GJ022  510320  -  other waste of wool or of fine animal hair
GJ023  510330  -  waste of coarse animal hair
GJ030  5202  Cotton waste (including yarn waste and garnetted stock)
GJ031  520210  -  yarn waste (including thread waste)
GJ032  520291  -  garnetted stock
GJ033  520299  -  other
GJ040  530130  Flax tow and waste
<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>GJ050</td>
<td>ex 530290  Tow and waste (including yarn waste and garnetted stock) of true hemp (Cannabis sativa L.)</td>
</tr>
<tr>
<td>GJ060</td>
<td>ex 530390  Tow and waste (including yarn waste and garnetted stock) of jute and other textile bast fibres (excluding flax, true hemp and ramie)</td>
</tr>
<tr>
<td>GJ070</td>
<td>ex 530490  Tow and waste (including yarn waste and garnetted stock) of sisal and other textile fibres of the genus Agave</td>
</tr>
<tr>
<td>GJ080</td>
<td>ex 530519  Tow, noils and waste (including yarn waste and garnetted stock) of coconut</td>
</tr>
<tr>
<td>GJ090</td>
<td>ex 530529  Tow, noils and waste (including yarn waste and garnetted stock) of abaca (Manila hemp or Musa textilis Nee)</td>
</tr>
<tr>
<td>GJ100</td>
<td>ex 530599  Tow, noils and waste (including yarn waste and garnetted stock) of ramie and other vegetable textile fibres, not elsewhere specified or included</td>
</tr>
<tr>
<td>GJ110</td>
<td>5505      Waste (including noils, yarn waste and garnetted stock) of man-made fibres</td>
</tr>
<tr>
<td>GJ111</td>
<td>550510    - of synthetic fibres</td>
</tr>
<tr>
<td>GJ112</td>
<td>550520    - of artificial fibres</td>
</tr>
<tr>
<td>GJ120</td>
<td>630900    Worn clothing and other worn textile articles</td>
</tr>
<tr>
<td>GJ130</td>
<td>ex 6310   Used rags, scrap twine, cordage, rope and cables and worn out articles of twine, cordage, rope or cables of textile materials</td>
</tr>
<tr>
<td>GJ131</td>
<td>ex 631010 - sorted</td>
</tr>
<tr>
<td>GJ132</td>
<td>ex 631090 - other</td>
</tr>
</tbody>
</table>

**GK. RUBBER WASTES:**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>GK010</td>
<td>400400  Waste, parings and scrap of rubber (other than hard rubber) and granules obtained therefrom</td>
</tr>
<tr>
<td>GK020</td>
<td>401220  Used pneumatic tyres</td>
</tr>
<tr>
<td>GK030</td>
<td>ex 401700 Waste and scrap of hard rubber (for example, ebonite)</td>
</tr>
</tbody>
</table>

**GL. UNTREATED CORK AND WOOD WASTES:**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>GL010</td>
<td>ex 440130 Wood waste and scrap, whether or not agglomerated in logs, briquettes, pellets or similar forms</td>
</tr>
<tr>
<td>GL020</td>
<td>450190  Cork waste; crushed, granulated or ground cork</td>
</tr>
</tbody>
</table>
GM. WASTES ARISING FROM AGRO-FOOD INDUSTRIES

GM070 ex 2307 Wine lees

GM080 ex 2308 Dried and sterilized vegetable waste, residues and byproducts, whether or not in the form of pellets, of a kind used in animal feeding, not elsewhere specified or included

GM090 152200 Degras; residues resulting from the treatment of fatty substances or animal or vegetable waxes

GM100 050690 Waste of bones and horn-cores, unworked, defatted, simply prepared (but not cut to shape), treated with acid or degelatinised

GM110 ex 051191 Fish waste

GM120 180200 Cocoa shells, husks, skins and other cocoa waste

GM130 Wastes from the agro-food industry excluding by-products which meet national and international requirements and standards for human or animal consumption

GN. WASTES ARISING FROM TANNING AND FELLMONGERY OPERATIONS AND LEATHER USE

GN010 ex 050200 Waste of pigs', hogs' or boars' bristles and hair or of badger hair and other brush making hair

GN020 ex 050300 Horsehair waste, whether or not put up as a layer with or without supporting material

GN030 ex 050590 Waste of skins and other parts of birds, with their feathers or down, of feathers and parts of feathers (whether or not with trimmed edges) and down, not further worked than cleaned, disinfected or treated for preservation

GN040 ex 411000 Parings and other waste of leather or of composition leather, not suitable for the manufacture of leather articles, excluding leather sludges

GO. OTHER WASTES CONTAINING PRINCIPALLY ORGANIC CONSTITUENTS, WHICH MAY CONTAIN METALS AND INORGANIC MATERIALS

GO010 ex 050100 Waste of human hair

GO020 Waste straw
<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>GO030</td>
<td>Deactivated fungus mycelium from penicillin production to be used as animal feed</td>
</tr>
<tr>
<td>GO040</td>
<td>Waste photographic film base and waste photographic film not containing silver</td>
</tr>
<tr>
<td>GO050</td>
<td>Single use cameras without batteries</td>
</tr>
</tbody>
</table>
Appendix 4

AMBER LIST OF WASTES\(^1\)
(revised March 1996)

Regardless of whether or not wastes are included on this list, they may not be moved as Amber Tier wastes if they are contaminated by other materials to an extent which (a) increases the risks associated with the waste sufficiently to render it appropriate for inclusion in the red list, when taking into account the criteria in Annex 2, or (b) prevents the recovery of the waste in an environmentally sound manner.

**AA. METAL BEARING WASTES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>AA010</td>
<td>ex 261900 Dross, scalings and other wastes from the manufacture of iron and steel(^2)</td>
</tr>
<tr>
<td>AA020</td>
<td>ex 262019 Zinc ashes and residues(^2)</td>
</tr>
<tr>
<td>AA030</td>
<td>262020 Lead ashes and residues(^2)</td>
</tr>
<tr>
<td>AA040</td>
<td>ex 262030 Copper ashes and residues(^2)</td>
</tr>
<tr>
<td>AA050</td>
<td>ex 262040 Aluminium ashes and residues(^2)</td>
</tr>
<tr>
<td>AA060</td>
<td>ex 262050 Vanadium ashes and residues(^2)</td>
</tr>
<tr>
<td>AA070</td>
<td>262090 Ashes and residues(^2) containing metals or metal compounds not elsewhere specified or included</td>
</tr>
<tr>
<td>AA080</td>
<td>ex 811291 Thallium waste, scrap and residues</td>
</tr>
<tr>
<td>AA090</td>
<td>ex 280480 Arsenic waste and residues(^2)</td>
</tr>
<tr>
<td>AA100</td>
<td>ex 280540 Mercury waste and residues(^2)</td>
</tr>
</tbody>
</table>

1. Whenever possible, the code number of the Harmonized Commodity Description and Coding System, established by the Brussels Convention of 14th June 1983 under the auspices of the Customs Co-operation Council (Harmonized System Code) is listed opposite an entry. This code may apply to both wastes and products. This Decision does not include items which are not wastes. Therefore, the code - used by customs officials in order to facilitate their procedures as well as by others - is only provided here to help in identifying wastes that are listed and subject to this Decision. However, corresponding official Explanatory Notes as issued by the Customs Co-operation Council should be used as interpretative guidance to identify wastes covered by generic headings. The indicative "ex" identifies a specific item contained within a heading of the Harmonized System Code.

The code in bold in the first column is the OECD code: it consists of two letters (one for the list: Green, Amber or Red and one for the category of waste: A,B,C...) followed by a number.

2. This listing includes wastes in the form of ash, residue, slag, dross, skimming, scaling, dust, powder, sludge and cake, unless a material is expressly listed elsewhere.

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98
AA110  Residues from alumina production not elsewhere specified or included
AA120  Galvanic sludges
AA130  Liquors from the pickling of metals
AA140  Leaching residues from zinc processing, dusts and sludges such as jarosite, hematite, goethite, etc.
AA150  Precious metal bearing residues in solid form which contain traces of inorganic cyanides
AA160  Precious metal ash, sludge, dust and other residues such as:
AA161  - ash from incineration of printed circuit boards
AA162  - photographic film ash
AA170  Lead-acid batteries, whole or crushed
AA180  Used batteries or accumulators, whole or crushed, other than lead-acid batteries, and waste and scrap arising from the production of batteries and accumulators, not elsewhere specified or included
AA190  810420 Magnesium waste and scrap that is flammable, pyrophoric or emits, upon contact with water, flammable gases in dangerous quantities.

AB. WASTES CONTAINING PRINCIPALLY INORGANIC CONSTITUENTS, WHICH MAY CONTAIN METALS AND ORGANIC MATERIALS

AB010  262100 Slag, ash and residues\(^2\), not elsewhere specified or included
AB020  Residues arising from the combustion of municipal/household wastes
AB030  Wastes from non-cyanide based systems which arise from surface treatment of metals
AB040  ex 700100 Glass waste from cathode-ray tubes and other activated glasses
AB050  ex 252921 Calcium fluoride sludge
AB060  Other inorganic fluorine compounds in the form of liquids or sludges
AB070  Sands used in foundry operations
AB080  Spent catalysts not on the Green List

\(^2\) This listing includes wastes in the form of ash, residue, slag, dross, skimming, scaling, dust, powder, sludge and cake, unless a material is expressly listed elsewhere.
AB090 Waste hydrates of aluminium
AB100 Waste alumina
AB110 Basic solutions
AB120 Inorganic halide compounds, not elsewhere specified or included
AB130 Used blasting grit
AB140 Gypsum arising from chemical industry processes
AB150 Unrefined calcium sulphite and calcium sulphate from flue gas desulphurisation (FGD)

AC. WASTES CONTAINING PRINCIPALLY ORGANIC CONSTITUENTS, WHICH MAY CONTAIN METALS AND INORGANIC MATERIALS

AC010 ex 271390 Waste from the production/processing of petroleum coke and bitumen, excluding anode butts
AC020 Asphalt cement wastes
AC030 Waste oils unfit for their originally intended use
AC040 Leaded petrol (gasoline) sludges
AC050 Thermal (heat transfer) fluids
AC060 Hydraulic fluids
AC070 Brake fluids
AC080 Antifreeze fluids
AC090 Wastes from production, formulation and use of resins, latex, plasticisers, glues and adhesives
AC100 ex 391590 Nitrocellulose
AC110 Phenols, phenol compounds including chlorophenol in the form of liquids or sludges
AC120 Polychlorinated naphthalenes
AC130 Ethers
AC140 Triethylamine catalysts for setting foundry sands
AC150 Chlorofluorocarbons
AC160 Halons
AC170 Treated cork and wood wastes
AC180 ex 411000 Leather dust, ash, sludges and flours
AC190 Fluff - light fraction from automobile shredding
AC200 Organic phosphorous compounds
AC210 Non-halogenated solvents
AC220 Halogenated solvents
AC230 Halogenated or unhalogenated non-aqueous distillation residues arising from organic solvent recovery operations
AC240 Wastes arising from the production of aliphatic halogenated hydrocarbons (such as chloromethanes, dichloro-ethane, vinyl chloride, vinlylidene chloride, allyl chloride and epichlorhydrin)
AC250 Surface active agents (surfactants)
AC260 Liquid pig manure; faeces
AC270 Sewage sludge

AD. WASTES WHICH MAY CONTAIN EITHER INORGANIC OR ORGANIC CONSTITUENTS

AD010 Wastes from the production and preparation of pharmaceutical products
AD020 Wastes from the production, formulation and use of biocides and phytopharmaceuticals
AD030 Wastes from the manufacture, formulation and use of wood preserving chemicals

Wastes that contain, consist of or are contaminated with any of the following:

AD040
- inorganic cyanides, excepting precious metal-bearing residues in solid form containing traces of inorganic cyanides
AD050
- organic cyanides
AD060 Waste oils/water, hydrocarbons/water mixtures, emulsions
<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>AD070</td>
<td>Wastes from production, formulation and use of inks, dyes, pigments, paints, lacquers, varnish</td>
</tr>
<tr>
<td>AD080</td>
<td>Wastes of an explosive nature, when not subject to specific other legislation</td>
</tr>
<tr>
<td>AD090</td>
<td>Wastes from production, formulation and use of reprographic and photographic chemicals and materials not elsewhere specified or included</td>
</tr>
<tr>
<td>AD100</td>
<td>Wastes from non-cyanide based systems which arise from surface treatment of plastics</td>
</tr>
<tr>
<td>AD110</td>
<td>Acidic solutions</td>
</tr>
<tr>
<td>AD120</td>
<td>Ion exchange resins</td>
</tr>
<tr>
<td>AD130</td>
<td>Single use cameras with batteries</td>
</tr>
<tr>
<td>AD140</td>
<td>Wastes from industrial pollution control devices for cleaning of industrial off-gases, not elsewhere specified or included</td>
</tr>
<tr>
<td>AD150</td>
<td>Naturally occurring organic material used as a filter medium (such as bio-filters)</td>
</tr>
<tr>
<td>AD160</td>
<td>Municipal/household wastes</td>
</tr>
<tr>
<td>AD170 ex 2803</td>
<td>Spent activated carbon having hazardous characteristics and resulting from its use in the inorganic chemical, organic chemical and pharmaceutical industries, waste water treatment, gas/air cleaning processes and similar applications.</td>
</tr>
</tbody>
</table>

---

3 In the Basel Convention household wastes -- defined as an "other waste" -- are controlled when they are subject to transfrontier movements. Therefore under this Decision all household wastes (and not just those which exhibit a hazardous characteristic) will be subject to the procedures in Section IV (Amber Tier). Until exporting countries have the legal authority to control transfrontier movements of household wastes, the provisions in Section II(4) will be applied.
Appendix 5

RED LIST OF WASTES
(revised May 1993)

"Containing" or "contaminated with", when used in this list, mean that the substance referred to is present to an extent which (a) renders the waste hazardous when taking into account the criteria in Annex 2, or (b) renders it not suitable for submission to a recovery operation.

RA. WASTES CONTAINING PRINCIPALLY ORGANIC CONSTITUENTS, WHICH MAY CONTAIN METALS AND INORGANIC MATERIALS

RA010 Wastes, substances and articles containing, consisting of or contaminated with polychlorinated biphenyl (PCB) and/or polychlorinated terphenyl (PCT) and/or polybrominated biphenyl (PBB), including any other polybrominated analogues of these compounds, at a concentration level of 50mg/kg or more

RA020 Waste tarry residues (excluding asphalt cements) arising from refining, distillation and any pyrolytic treatment of organic materials

RB. WASTES CONTAINING PRINCIPALLY INORGANIC CONSTITUENTS, WHICH MAY CONTAIN METALS AND ORGANIC MATERIALS

RB010 Asbestos (dusts and fibres)

RB020 Ceramic based fibres of physico-chemical characteristics similar to those of asbestos

RC. WASTES WHICH MAY CONTAIN EITHER INORGANIC OR ORGANIC CONSTITUENTS

RC010 any congenor of polychlorinated dibenzo-furan

RC020 any congenor of polychlorinated dibenzo-dioxin

RC030 Leaded anti-knock compound sludges

RC040 Peroxides other than hydrogen peroxide