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DAC Working Party on Development Finance Statistics

CRS Reporting Check-List

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This technical document is designed to help CRS reporters verify their data before submission to the DAC Secretariat. It is presented to the DAC Working Party on Development Finance Statistics for INFORMATION.

Contact:
Olivier Bouret, DCD/SDF - Tel: +33(0)1 45 24 90 56 - Email: Olivier.Bouret@oecd.org

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CRS REPORTING CHECK-LIST

This Check-List was developed on the basis of reporting requirements to the DAC/CRS as described in the Converged Directives (see also Annex 3: “Summary of instructions in CRS++ and examples”). It consists of a number of integrity checks within the CRS and is designed to help reporters avoid inconsistencies. It is not exhaustive but is based on errors frequently encountered.

Members are encouraged to implement these integrity checks in their systems. They are invited to review their reports using the Check-List prior to sending them to the Secretariat.

For reference, the most up to date list of codes used for CRS reporting is available at: <http://www.oecd.org/dac/stats/dacandcrscodelists.htm>

1 Reporting year

1 b Commitment date

- *Commitment date* cannot be prior the year indicated in the first 4 digits of the *CRSID* for a first submission (*nature of submission* = 1).
- *Commitment date* is collected for new ODA loan and grant commitments. For loans, the commitment date is mandatory (enters the calculation of the grant element). For grants, when unknown, enter “31-12-20xx”.

2 Reporting country / organisation (Donor code)

3 Extending agency

4 CRS Identification N° (CRSID)

5 Donor project N°

6 Nature of submission

- In the case of an increase to a commitment made previously, and where Amount extended equals Commitments, use code 3 (previously reported activity) rather than code 8 (commitment = disbursement).
- Code 8 is reserved for disbursement-based systems for which commitments are equal to disbursements by default.

7 Recipient country

Bi_Multi = 2 → Recipientcode = 3000 (and *Purpose code* = 99810, *Parent channel* = 40000) and check that the organisation is on the DAC list of ODA-eligible international organisations (Annex 2 in the Converged Directives).

8 Channel of delivery_name

Maximum field length = 100 characters. Consider editing if source data has > 100 characters.

9 Channel code

- When *Channel code* is not necessary (e.g. for OOF export credits: *Main category* = 20 and *Type of finance* = 45x) report null/blank and NOT zero.
- An organisation may have changed of category (*Parent channel*) but kept its original *Channel code* (most are consistent). Refer to the “Single table” for correspondence between *Channel code* and *Parent channel*.

- Only use the *Parent channel* when the implementing organisation has no specific channel code in the “Single table”.
- *Bi_multi* = 3 → *Parent channel* = 20000 or 30000 or 51000.
- *Bi_multi* = 2 → *Parent channel* = 40000 and the organisation is on the DAC list of ODA-eligible international organisations (Annex 2 in the Converged Directives).
- *Type of finance* = 410/411 → *Channel code* < 10000 or 11000 (for loans, channel indicates the beneficiary).

10 **Bi/Multi**

- *Bi_multi* = 1 → Bilateral.
- *Bi_multi* = 2 → Multilateral, reserved for organisations on the DAC list of ODA-eligible international organisations (Annex 2 in the Converged Directives) and *Parent channel* = 40000.
- *Bi_multi* = 3 → Core support to NGOs, PPPs and research institutes of which *Parent channel* = 20000 or 30000 or 51000.
- *Bi_multi* = 6 → Report from private foundations *Main category* = 30.
- *Bi_multi* = 7 → Bilateral, ex-post reporting on NGO activities funded through core support.

11 **Main category**

- Category* = 10 → ODA.
- Category* = 20 → OOF.
- Category* = 30 → Private grants (type of finance 110 mandatory).
- Category* = 35 → Private market (type of finance NOT 110).
- Category* = 40 → Not a flow, e.g. GNI, population.
- Category* = 50 → Other flows.

12 **Type of finance**

- *Types of finance* 600 must be associated with *type of aid* F01 (Debt relief) and *Purpose code* 600xx.

13 **Types of aid** (See note 1 below)

A01: General budget support

- A01 → *Purpose code* = 51010.
- Generally, *Parent channel* = 12000.

A02: Sector budget support

- A02 → *Purpose code* different from 51010 or 99810.
- Generally, *Parent channel* = 12000.

B01: Core support to NGOs, other private bodies, PPPs and research institutes

- B01 ↔ *Bi_multi* = 3.
- *Parent channel* = 20000, 30000 or 51000.

B02: Core contributions to multilateral institutions

- B02 ↔ *Bi_multi* = 2.
- Check that the organisation is on the DAC list of ODA-eligible international organisations (Annex 2 in the Converged Directives)
- *Channel code* is in Annex 9 of the Converged Directives (*Parent channel* = 40000), see also the list of codes available on-line.

B03: Contributions to specific-purpose programmes and funds managed by International Organisations (multilateral, INGO)

- *Parent channel* = 21000 or 40000.

- A choice has to be made between B03 (contributions to programmes and funds) and C01 (earmarked contributions to specific activities).

B04: Basket funds/pooled funding

C01: Project-type interventions

- Generally, if Investment = 1, Type of aid = C01.
- Associated financing = 1 → Type of aid = C01.

D01: Donor experts

- All 'JPO' funding activities ('junior professional officer') should be classified as D01.

D02: Other technical assistance

E01: Scholarships/training in donor country

F01: Debt relief

- Purpose code 600xx ; Type of finance 6xx ; Type of aid F01

G01: Administrative costs

- If Purpose code 91010 → Type of aid G01
- Generally, if Type of aid G01 → Purpose code 91010
- Purpose code 99810 not accepted.
- Channel code=11000.

H01: Development awareness

- If purpose code 99820 → type of aid H01
- Generally, if Type of aid H01 → Purpose code 99820

H02: Refugees in-donor-country

- If purpose code 93010 → type of aid H02
- Generally, if Type of aid H02 → Purpose code 93010

14 Short description

Max = 150 characters in French or English. Consider editing if source data > 150 characters.

15 Purpose code

16 Geographical target area

Maximum field length = 100 characters. Consider editing if source data > 100 characters.

17 Expected starting date

18 Expected completion date

19 Description

Maximum field length = 4000 characters. Consider editing if source data > 4000 characters.

20 Gender equality

Score = 2 by definition if *Purpose code* = 15170

21 Aid to environment

- Score = 2 by definition if Purpose code = 410xx.
- When Rio markers Climate mitigation, Biodiversity or Desertification are set to 1 or 2, Aid to environment should also be 1 or 2.

22 PD/GG

Score = 2 by definition if *Purpose code* = 15111, 15112, 15113, 15130, 15150, 15151, 15152, 15153, 15160, 15210, 15220, 15230, 15240, 15261.

23 Trade Development

The trade development marker is not defined to cover all aspects of “Aid for Trade”. It is restricted to activities recorded under codes 240xx Banking and financial services, 25010 Business support services and institutions (score principal by definition), 311xx Agriculture, 312xx Forestry, 313xx Fishing, 321xx Industry, 322xx Mineral resources and mining and 33210 Tourism. Other components of “Aid for Trade” are captured separately through a number of sector codes.

24 FTC (Yes=1)

- If Type of aid in (D01, D02, E01, E02) → FTC = 1
- If Type of aid = C01 → FTC in (null/blanc, 1)
- Else → FTC = null/blanc

25 PBA**26 Investment (Yes=1)**

Generally, Type of aid = C01

27 Associated Financing (Yes=1)

Zeros must be suppressed in flags of type (Yes=1). These fields only accept “1” and blank/null values.

Type of aid = C01

28 Biodiversity

Score = 2 by definition if *Purpose code* = 41030.

29 Climate change - Mitigation**30 Climate change - Adaptation****31 Desertification****32 Currency**

Numeric currency codes from OECD countries only (corresponding to *donor codes*).

33 Commitments**34 Amounts extended**

DO NOT enter a negative amount: recoveries on grants and repayments on loans should be reported as positive amounts in *Amounts received*.

35 Amounts received (for loans: only principal)

To report repayments on rescheduled loans, refer to the original characteristics of the loan prior to the rescheduling, instead of debt codes (e.g. *purpose code*, *type of aid*...). If this is not possible, report *Type of aid* = Null/blank, *purpose code* = 99810 and *type of finance* = 410. See Note 2 below.

36 Amount untied**37 Amount partially untied****38 Amount tied**

- Tying status must be reported ONLY on commitments, which must be positive amounts.
- The three fields must contain AMOUNTS ONLY and NO percentage.
- The sum of the three cannot be > than the commitment amount.
- To be reported only on bilateral ODA except administrative costs (Type of aid G01) and in-donor refugee costs (H02)

39 Amount of IRTC

- 40 **If Project type Amount of expert commitment**
- 41 **If Project type Amount of expert extended**
- 42 **Amount of export credit**
- 44 **Type (EPP:1,annuity:2,lump sum:3,other:5)**
- 45 **Number of repayment per annum**

- 46 **Interest rate (*interest1*)**
 - Example: Report 01750 for an interest rate of 1.75%.

- 47 **Second interest rate (*interest2*)**
 - This field can only have numeric values. In case of variable interest the written mention should figure in Interest 1 and the reference rate in interest2.

- 48 **First repayment date**
- 49 **Final repayment date**
- 50 **Interest received**
- 51 **Principal disbursed and still outstanding**
- 52 **Arrears of principal**
- 53 **Arrears of interest**

NOTES:

1. Please note special code combinations between fields. A B01 transaction is necessarily coded *Bi_multi* = 3. A B02 transaction is necessarily coded *Bi_multi* = 2. If there is no perfect consistency between those two fields, this will cause a gap in the generation of DAC aggregate tables. Inconsistent CRS transactions are not taken into account in any of the possible DAC rows because one of the conditions is missing.

2. To report repayments on rescheduled loans after the first year of rescheduling, donors have choice: either they are able to attribute the project to its original sector code and type of aid, or several loans have been merged into one for rescheduling purposes, in which case, *type of aid* = blank; *type of finance* = 410; *purpose code* = 99810 and the description field contains the following text 'repayment on rescheduled loan'.