DAC Network on Governance

THINKING AND WORKING POLITICALLY WORKSHOP - RD 4

Room Document 4: Everyday Political Analysis (DLP)

08 December 2015, OECD, Château de la Muette- Roger Ockrent Room

This document is submitted for INFORMATION, on 8 December, under Item IV of the Draft Agenda [DCD/DAC/GOVNET/A(2015)3].

The complete document is only available in PDF format.

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JT03387875

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Everyday Political Analysis

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Summary

In this short note we introduce a framework for thinking about politics and power called Everyday Political Analysis (EPA). EPA is for anyone who is convinced that politics and power matters, but feels less sure of how to work out what they mean for their programs. This note introduces a stripped-back political analysis framework – stripped down to its barest bones – leaving only the essentials needed to help frontline staff make quick but politically-informed decisions.

The political environment can kill a program, or make it thrive. In Zambia a technically sound donor health program was wrecked by a politician who restructured the health system to extend his power rather than to deliver services. In Uganda a donor livelihoods program was closed early because the implementers were more interested in personal enrichment than helping the poor. Making sense of the political context – and being able to use this to make more politically savvy decisions – is essential to improving the effectiveness of development programs.

How can busy frontline staff make the kinds of quick but politically smart decisions that will make their programs succeed? PEA training and/or a formal PEA study helps, but many staff still feel under equipped to interpret fluid political contexts outside of the classroom when making frequent and fast decisions.

Everyday Political Analysis helps address a gap in the work of frontline staff: how to understand the changing political context and make politically-informed decisions on a day-to-day basis. The average program staff member is faced with having to make multiple politically-informed judgments everyday, often quickly. The EPA framework provides a condensed checklist of items to conduct quick political analysis and to make this an accessible part of ordinary business practice.

Everyday Political Analysis

There are two ‘steps’ for everyday political analysis.

• **Step 1: Understanding interests:** What makes people tick?
• **Step 2: Understanding change:** What space and capacity do people have to affect change?

For each step there are a series of yes/no questions that help unpack what is going on.

**Do not think of people as individuals – no person is an island**

There is a well-known effect in psychology called ‘attribution bias’ where the observer tends to describe other’s failings in terms of individual error: ‘they are poor because they made bad decisions’. In contrast we recognise the role of context for ourselves: ‘I am poor because I was unlucky or the situation conspired against me’.

EPA starts from the person we want to understand – whether they are our counterpart, a bureaucrat, activist, politician or traditional leader. Indeed it can also be an organisation or group of individuals or a coalition, though note that any grouping of individuals will contain its own politics of competing objectives and interests. The important thing is to understand what is within their context – the pressures they face from others and the rules within which they have to work.
Step 1: Understanding Interests

How will the Minister of Health react to my program? Why is the President pursuing this course of action? What will the changes in MP’s discretionary funding mean for local service provision? Political analysis forces us to move our focus from our usual focus: the poor, program beneficiaries, and / or their representatives and instead concentrate on the powerful (whatever that means in your particular context). This is key to a political view of the world.

To answer the question of ‘What makes people tick?’ we present a series of questions to help tease out an answer: Each question is accompanied by a series of prompts. Working through these five questions should give a reasonable sense of what they might be trying to achieve and why.

1.1 Is what they want clear? To secure a source of income? Secure power? A repayment of a previous favour? To make the world a better place? Is the person pursuing short or longer-term goals? Are they focused on achieving one thing or lots of things? Are their goals aligned or in tension? Is the objective to block change or a reform / action or actually inaction? And how confident are they in their position?

1.2 Are they acting in line with their core beliefs? Does it seem likely that their apparent objectives are in line with their beliefs? People’s track records/ past behaviour are important clues of this. Is what they say sincerely held or convenient rhetoric? What are the justifications given?

1.3 Do you understand the constraints that they face? Are their decisions inevitable? Is there evidence that suggests that they view their position as constrained? Or could they be presenting the constraints strategically to avoid having to justify their decision? Are these constraints formal, legal rules or policies? And don’t forget the less visible but just as important informal or unwritten rules, such as the suki system in the Philippines – a form of ‘you scratch my back and I’ll scratch yours’ economic alliance system – or the wantok system in Melanesia, based on traditional norms around reciprocity and duty? Are these actually more structural factors – which serve to shape existing institutions – such as the class or caste system, the distribution of assets and land, demographic change or fiscal constraints all of which shape the institutions?

1.4 Is it clear who and what the key influences on them are? Does their behaviour reflect the interests of others? Bearing in mind who they have to work with and report to, who are the other key stakeholders that they currently work with or are trying to work with (Figure 1)? How are these other individuals/ organisations influencing them? Is this through sources of money, access to or security of employment or other resources? Do others wield authority (traditional, political, religious or expertise) over them? Think outside the individual’s organisation/ ministry. Have you considered both local and international actors, including donors? Do you as a player within this network (whether as an NGO, donor or individual) have any influence over outcomes? Are you skewing incentives?

1.5 Is their behaviour being shaped by social norms about what is appropriate? Which norms? Are they customs, cultural, ethnic, gendered, religious? Do the norms valorise or limit behaviour? How powerful and legitimate is the norm? Does the norm align with or cut against 1-4 above? Is it specific to their situation or a general societal norm?

1 For example, in 2010 people in the establishment in Myanmar were quite undecided. There was a sense that reform was coming, but how soon? Until it was clearer which way things would go, it was risky to jump one way or the other.
Step 2: Understanding Change

Given our initial understanding about what an individual or organisation wants, what can they realistically do? Will the minister get what he or she wants? Will the women’s coalition be able to change legislation?

People will always weigh up the costs and benefits of any change to them, but this is almost never a mechanical process. There is almost always room for manoeuvre, and people can be creative making the system ‘work for them’ within existing constraints or by renegotiating them. This space to manoeuvre is often found or created at considerable cost and it will be for the individuals to decide whether they are willing to pay the price.2

**Beyond the usual suspects**

When thinking about potential coalition partners it is useful to consider both ‘bootleggers and Baptists’ (Yandle 1983) – those who are committed to the reforms and those who more opportunistic and non-reformist. As John Sidel’s account of how President Aquino passed the 2012 ‘Sin Tax’ reform through the Philippine Congress – and the role of British American Tobacco in this - makes it clear ‘reforms are not made by reformists alone’ (Sidel 2014: 5).

2.1 *Are they the key decision maker?* Who gets to decide, vote, sign off, fund, chair the process? This is not just about the formal decision making chain but those people/organisations who hold informal power over a decision. Who could veto the decision? Can they influence these people? Do these other people influence them? This is critical to a political view of the world; we need to look beyond our usual focus of the poor and their (claimed) representatives, and ask who or what is key to effective change.

2.2 *Do they have potential coalition partners?* Are they trying to go it alone? Are there like-minded individuals or groups? Can they work beyond the usual suspects, e.g. private sector; the military; faith-leaders? What’s the glue that could hold the coalition together? Do you know if there’s been a deal? Are interests aligned around an objective or values? Are they key brokers/‘kingmakers’ that hold different parts together?

2.3 *Are their key decision points clear?* What is the known timeline? Are there windows of opportunity? How many decision points need to be passed for them to achieve their objectives? Which decision-points present the most risk for them to achieve their objectives, and why?

2.4 *Is their framing of the issue likely to be successful?* Will they convince other powerful stakeholders that the change is in their interest? Does it resonate with local social and political norms? If it doesn’t, is it likely to provoke antagonism and backlash? Are they doing so on purpose?

2.5 *Are they playing on more than one chessboard?* Most people are trying to achieve multiple things at once. How do they relate to your reform? Successful mobilization and influence means that individuals often have to play two or more games at once – pursuing one strategy with constituents and another with their colleagues in their political party or external players such as donors. Do you need to return to Step 1.1 to figure out if you are really clear on their objective(s)?

**Coalitions – not just for political parties!**

Coalitions are not just the formal deals between parliamentary parties to form a government. Coalitions are extended networks of individuals and organisations that organise around an issue. They may or may not include elements of government, the legislature, the private sector and civil society. For example, the coalition that shaped the content of the Sexual Offences Bill in South Africa was made up of women’s rights and legal advocacy organisations that worked with the government’s Justice Committee, the media, and grassroots support. Coalitions are the way in which leaders or ‘reform champions’ actually get things done – coalitions provide the potential to overcome collective action problems. But, in doing so, it is just as likely that they are collusive as developmental, which is why understanding the interests, strategies, ways of managing dissent, and the politics that go on inside a coalition is so critical. See Hodes et al (2011) for a detailed account of the South African case.

**Strategic Framing – what resonates?**

In South Africa, women’s coalitions working for legislation to protect women’s rights successfully invoked a human rights framework that resonated with the country’s political history and national identity. However in Jordan the very same approach was ineffective. As Mariz Tadros (2011) shows, women’s coalitions in Jordan were only successful after reframing the issue in terms of protecting the Jordanian family, addressing religious concerns, and winning the attention of MPs. Getting the framing right requires understanding what will convince key stakeholders to back a change.

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2 For example, John Githongo, as Kenya’s Permanent Secretary for Governance and Ethics, managed to navigate the political establishment to uncover the high level corruption but eventually had to flee the country. Moreover, the change was minimal.
How to use this EPA framework

For each question there are 5 questions that require a Yes / No answer. Each question has a series of prompts to help answer it. The questions become more complicated as you go down the list. If the answer that emerges from a first attempt is unclear or unlikely, more tricky explanations should be addressed. Be clear about the assumptions you are making and aim for the explanation with the fewest assumptions.

Sometimes just Step 1 will be sufficient. For example, upon hearing of a politician’s decision to block a new reform, you may wish to try and assess where they are coming from and whether there may be a way of countering the decision or at least navigating around it to find a ‘win-win’.

On other occasions you will wish to run through both Step 1 and Step 2. For example, upon hearing of a community’s intention to challenge a land grab, you may wish to assess the opportunities and constraints they face and whether and how it is possible to support them.

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As with any form of analysis, triangulation is important. Depending upon the urgency and the sensitivity of the issue you are trying to analyse, this could be done through discussion with other colleagues and particularly local staff; drawing on your local contacts; a quick trawl through local media or academic research, if possible; or informal consultation with an expert. Often the usual route of testing your assumptions with a colleague will be enough, but it is worthwhile considering whether or not you can identify someone with whom you tend to disagree in order to be sure you’re not just confirming your own biases.

The challenge for development programming is two-fold. Programming staff need to better:

1. Understand the political context: taking key stakeholders’ interests and incentives seriously, understanding where power lies and how institutions and ideas provide opportunities or challenges to reforms is key to successful development programming.
2. Work flexibly and adaptively: because the political context is fluid, there is a need to be able to recalibrate and change course, often frequently and almost always quickly. In contrast to a more conventional, pre-planned approach, staff need to be able to be constantly responsive to new information or changing context.

‘Politically informed’ decision-making or assumption testing, as everyone knows, is not the same as pulling a PEA report out of a drawer and looking up the relevant section. It is active, based on personal analysis and assessment, either as individuals or as a team. For politically informed programming to become the norm and improve development outcomes, we need to embed political analysis into everyday, routine practice. As noted elsewhere (Hudson and Marquette 2015):

…there will always be the need for ‘big’ political analysis: when a new Country Director or Manager comes in and needs to understand the lie of the land, when there needs to be a Country Strategy drawn up or when there’s a change of government or outbreak of violence or some other critical juncture. And there’s likely to always be need for some sort of ‘problem-driven’ political analysis, when projects and programs hit a wall, and staff know that there may be a political issue at play that they don’t quite understand.
What’s missing from our ‘thinking politically toolbox’, however, is a way of helping programming staff develop the ‘craft’ of political thinking in a way that fits their everyday working practices; that doesn’t rely on external consultants or technical experts; and that fits the reality of everyday decision-making processes needed quickly and often without a fully functioning ‘crystal ball’.

EPA does not replace more traditional political analysis, for which there will always be need, but instead complements it. It enables staff to commission more formal political analysis when it is needed but not when it is not, and it should, over time, enable staff to truly learn how to work politically. Importantly, EPA should not be seen as a PEA product but rather is a process (Fisher and Marquette 2014). Once people have done it a couple of times, the process should become easier and more intuitive.

EPA is designed to be used flexibly. This could be on your own in your office. It could be used by teams as the basis for discussions. It could be used by you or your team to help shape consultation with trusted experts. It is designed to be used at any and all stages of the aid management cycle, from the traditional pre-analysis and program design, to mid-term review and moments of strategy testing. But its relative strength – its quick and iterative nature – means that it is designed for users to respond rapidly to unexpected change mid-program. By ‘unexpected change’ we do not mean big unexpected changes that can’t be ignored – e.g. the Arab Spring or Cyclone Nargis – but all the small, literally everyday, things that need evaluating, like the announcement that the education minister is stepping down or an invitation to take part in a stakeholder process.

Feedback on EPA

For us, this is a “live” document that we will adapt as it is tested and after feedback. We are keen to hear back from people on their experience of using EPA. Was it helpful (or not)? Do people tend to use just one or both steps? Are there missing statements or prompts that would improve the analysis? Please email us at info@dlprog.org.
The Developmental Leadership Program (DLP) is an international research initiative based at the University of Birmingham, and working in partnership with University College London (UCL) and La Trobe University in Melbourne. DLP’s independent program of research is supported by the Australian aid program.

The views expressed in this publication are those of the author(s) and do not necessarily represent those of the DLP, its partner organisations or the Australian Government.

References


**Step 1: Understanding interests**

1. What do they want clear?
2. Are they acting in line with their core beliefs?
3. What constraints are they under?
4. What do powerful people around them think?
5. Is their behaviour being shaped by social norms about what is appropriate?

**Notes:**

**Step 2: Understanding Change**

1. Are they the key decision maker?
2. Do they have key potential coalition partners?
3. Are their key decision points clear?
4. Is their framing of the issue likely to be successful?
5. Are they playing on more than one chessboard.

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