DAC Network on Development Evaluation

SUMMARY RECORD OF THE THIRD MEETING

2 - 3 JUNE 2005

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SUMMARY RECORD OF THE THIRD MEETING OF THE DAC NETWORK ON DEVELOPMENT EVALUATION

I. OPENING

i) Chair’s introduction

The Chair, Eva Lithman (Sweden) made a brief introductory statement in which she outlined some of the key challenges and the current core issues in the Network. She stressed the need to rise to the challenges posed by the recently adopted Paris Declaration on Aid Effectiveness and the importance for the Network to work in partnerships with other actors and noted the presence of ECG, UNEG and ALNAP. The challenges in methodological development, impact evaluations, quality and joint evaluations continued to be core business of the Network.

ii) Elections

Eva Lithman (Sweden) was re-elected as Chair of the DAC Evaluation Network. Finbar O’Brien (Ireland) and Satoko Miwa (Japan) were elected Vice Chairs.

The Chair thanked the outgoing Vice-Chairs, Niels Dabelstein (Denmark) and Daniel Kamelgarn (France), for their important contributions to the work of the Network as members of the Bureau.

iii) Self-introduction of new members

A tour de table was made to give first-time participants an opportunity to introduce themselves; Bruce Murray (AsDB), Henri Jorritsma (the Netherlands), Gary Vaughan (United States), Manuela Alfonso (Portugal), Niels Fostvedt (World Bank), Donatella Magliani (UNEG), and Kees Tuinenburg (UNEG).

iv) The agenda was adopted with a change in the order of the discussion of items, with item III moved to after items IV and V.

v) The Summary Record of the 2nd meeting of the DAC Evaluation Network was approved.

II. EVALUATING THE EFFECTIVENESS OF AID

i) Follow up to the Paris Declaration on Aid Effectiveness

The Secretariat presented a discussion note [DCD/DAC/EFF(2005)1/REV3] outlining the challenges, and some potential ways forward, put to the evaluation community by the Paris Declaration. The DAC Chair also commented, stressing the level of international resources being channelled into the Paris agenda and the consequent importance of undertaking evaluative work in this area. A number of members indicated support for the development of a common evaluation framework, to be applied within different country contexts. Country-level results could be used to contribute towards an independent formative evaluation of aid
effectiveness both at the local level and internationally. Members also noted the importance of partner country participation in such a process and of agreeing close coordination and cooperation arrangements with the Working Party on Aid Effectiveness (WP-EFF) to ensure full complementarity of monitoring and evaluation activities. Members asked the Secretariat to further explore and define possible ways forward, including the development of an inventory of relevant ongoing Network initiatives, before any formal task force is constituted (the UK indicated willingness to lead such a task force in the future). The ongoing work in WP-EFF on defining indicators and targets was noted and the possibility for members to make inputs into that discussion. Several members stressed the linkages between evaluation and the monitoring arrangements under discussion in the WP-EFF.

**Action:** The Secretariat, in collaboration with the Bureau, will explore ways forward and develop potential modalities for a framework for evaluating aid effectiveness at country-level. The first step will be developing an inventory of relevant ongoing Network initiatives.

**ii) Assessing the development effectiveness of total ODA at the country level**

Stefan Molund (Sweden) presented an update [Room Document 2] on possible methodologies for the proposed evaluation of the development effectiveness of total ODA at country level. He outlined the methodological and ownership challenges and invited all members to a seminar in Stockholm in September 2005 to address these issues. The DAC Chair noted that as ODA continues to increase it will be essential to evaluate and demonstrate its country-level contribution towards achieving nationally defined development goals and the MDGs. While members welcomed the continued progress, a number cautioned against relying solely on econometric methodology. Members also stressed the importance of partner country participation from the outset, preferably working with a country that has sound and appropriate statistical data.

**Action:** Members are invited to participate in the Seminar to be held in the autumn (dates to be confirmed by invitation from Sweden). Sweden to sound out interest from partner country experts and/or officials in participating in the seminar and potentially taking forward an evaluation.

**iii) Progress report on the GBS evaluation**

Kate Tench (UK) presented a progress report [Room Document 13] on the evaluation of General Budget Support (GBS). GBS Country Reports are scheduled for completion by the end of September and the final report by January 2006. Steering Committee meetings will be held in October and December and a dissemination workshop tentatively on 14-15 March 2006.

Mike Hammond (UK) updated members on the discussions held at the Cape Town Budget Support Forum, May 5-6 2005. Participants at the Forum had called for a shift in accountability away from donor requirements towards country requirements. Forum papers are available on the World Bank website.

**iv) Workshop on evaluating conflict prevention and peace building (CPPB) programmes**

Agnete Eriksen (Norway) presented a brief note [Room Document 3] outlining the main objectives of the initiative and the process leading to the workshop to be held in Oslo beginning of November 2005, including the short listing of institutions to conduct the CPPB review. The Secretariat informed members of the positive response given to this initiative by the DAC Network on Conflict, Peace and Development Cooperation (CPDC) in its recent
meeting, and solicited members to share relevant evaluation material to broaden the dataset for the study. A number of members welcomed progress so far and expressed interest in the workshop.

**Action:** Secretariat to keep members informed of the process ahead, in particular on the outcome of the selection process and the organisation of the November workshop. In due course, Norway to invite members to the workshop. Members to send any additional evaluation material to the Secretariat by end June (see Room Document 3bis for material received so far).

**Briefing by the Secretariat on the Joint Venture on Managing for Development Results**

1. Herman Specker (Secretariat) briefed members on the work of the Joint Venture on Managing for Development Results (JV-MDR), and in particular the Results Framework and the Sourcebook on Emerging Good Practice. Members noted the linkages between the results management agenda and evaluation work.

2. **Action:** The Secretariat will make the Sourcebook available on the Network Website. Interested members are invited to consider attending the next meeting of the JV-MDR, in September 2005.

**III. IMPACT EVALUATION**

3. Howard White (World Bank) and Inder Ruprah (Inter-American Development Bank) presented the work of their respective agencies on impact evaluations [Room Document 8]. The World Bank presentation highlighted the potential value of impact evaluations in demonstrating results but also noted the high costs of rigorous impact evaluations, the length of time needed to undertake them, and the potential non-transferability of findings based on randomized approaches. The IADB presentation also stressed the benefits of impact evaluations in providing information for future project designs. Lower-cost treatment effect methodologies, making use of retrofitted data, were highlighted as a potential way forward. The presentation also stressed that robust performance monitoring systems would further reduce the evaluation data collection costs. In the discussion, it was agreed that impact evaluations can bring significant added-value in assessing the effects of development programmes but that randomized methodologies are not the only way forward and that mixed-method approaches should be explored. It was also agreed that, because impact evaluations are often high-cost, time-consuming and require significant technical capacity, there are strong incentives for undertaking this mode of work jointly; potentially through partnerships brokered within the Network. It was suggested that those development banks with experience in impact evaluations coordinate on synthesising lessons learnt.

4. **Action:** Members are encouraged to coordinate and join efforts on impact evaluations, and the World Bank offered to dialogue with any member requiring methodological guidance or technical advice.

**IV. IMPROVING INFORMATION EXCHANGE AND KNOWLEDGE SHARING**

5. The Secretariat presented the Evaluation Network’s public website which was launched in May 2005 [Room Document 9]. Members expressed their strong appreciation for this further development and were encouraged to regularly contribute to the site (publication updates, event announcements, etc) through their appointed communications person or directly. Members also stressed the importance of the search engine and tasked the Secretariat to research and implement the proposed "library" style search function. Jean Louis Chomel (EC) informed Network members that the EC is currently developing an online evaluation database for EU members.

6. **Action:** The Secretariat to implement the "library" style database to serve as a search function. The Secretariat and EC to meet to discuss opportunities for mutual learning and working together on
information sharing. Members to supply the Secretariat with names of contact persons to join the informal web communications group.

V. JOINT EVALUATIONS, QUALITY STANDARDS AND SYSTEMS

i) Joint evaluations – Presentation of report

Horst Breier (consultant) presented the draft report: *Joint Evaluations: Lessons Learnt, Ways Forward and Options for the Future* [Room Document 4]. Members welcomed the draft report, commenting on its depth and thoroughness and noting that it explores systemic and far-reaching issues about how members work together. It was agreed that members need to build towards joint evaluations based on trust and delegated responsibility, including with strong and early partner country participation, rather than based on complex and overly formal systems, rules and joint processes.

**Action:** Members to send comments on the draft report, including additions to Annex 1, to Horst Breier (copied to the Secretariat) by 21 June. The Secretariat to condense the findings and recommendations into a summary note for dissemination within the DAC and into a short good practice booklet targeted at the wider evaluation community.

ii) Evaluation Quality Standards

Irene Davies (Australia) and Niels Dabelstein (Denmark) presented the results of the two workshops held in Delhi on 14 and 15 April which confirmed the need and usefulness of developing DAC Quality Standards for Evaluation [Room Document 5]. The Task Force meeting held on 1 June in Paris defined the process to finalise the draft Standards [Room Document 5bis]. Several members highlighted the importance of having common DAC standards which would facilitate joint work, and expressed appreciation for the progress achieved.

**Action:** Australia and Denmark to coordinate the work of the task force on revising the draft DAC Standards, with Secretariat’s support. Network members to provide input to the revised draft standards. The final draft will be presented to the next meeting of the Network [Room Document 5bis].

iii) Evaluation of Multilateral Organisations

Niels Dabelstein (Denmark) updated members on the development of the methodology and the institutional framework for assessing multilaterals [Room Document 6]. The piloting phase will start with an assessment of UNDP by Denmark, UK and the Netherlands, and UNICEF by a group of donors led by Canada. WFP and some MDBs also expressed an interest in working with this initiative. The Chair stressed that relevant constituencies and stakeholders need to be informed of this exercise, including the multilaterals’ boards and bilaterals’ multilateral departments.

**Action:** Engaged members to initiate UNDP and UNICEF pilots. Other interested members and multilateral agencies to contact Denmark and the Secretariat.
iv) Evaluation systems and use – developing a tool for peer reviews

Hunter McGill (Secretariat) briefed members on the ongoing process aimed at structuring Peer Reviews around assessment frameworks. He highlighted that the tool developed by the Evaluation Network was the first of its kind and a possible model for other subsidiary bodies of the DAC. The Secretariat clarified the main criteria used to develop the tool, including the DAC Principles as the main reference framework, and the specific focus on evaluation use. A number of members welcomed the tool, stressing its value for management purposes and their willingness to provide comments on the draft.

**Action:** Members to provide the Secretariat with comments on the areas covered by the assessment tool, and if desired on the formulation of the specific assessment questions by end of June. Secretariat to revise the assessment tool accordingly, and consult the DAC on its use in future Peer Reviews.

VI. PARTNERS IN EVALUATION

i) Tsunami relief and reconstruction evaluations

Network members were briefed on the Tsunami Evaluation Coalition (TEC) and its work programme by Rachel Houghton (ALNAP). The evaluation coalition includes an online forum, an evaluation map of ongoing and planned Tsunami related evaluations, a synthesis report (based on five key themes and individual agency evaluations), and a longer-term study on relief and rehabilitation. It is planned to issue the synthesis report by the end of the year; on the first anniversary of the disaster. The report will focus on the emergency and initial recovery phase.

ii) Briefing by Secretariat on ongoing work

--- Mutual review of development effectiveness

Richard Carey (Secretariat) presented the assessment framework for the Mutual Review of Development Effectiveness developed by the OECD and the Economic Commission for Africa. The potential contribution of the Network and individual members was noted, particularly in the context of the need to have appropriate benchmarks and indicators. Members noted the linkages with the total ODA evaluation, and the need to develop evaluation capacity to ensure mutual accountability on the ground.

iii) Evaluation capacity development (ECD) – recent initiatives

Kanji Kitazawa (Japan, MoFA) presented the results of the seminar on ODA evaluation held in Bangkok from 17-21 January 2005 [Room Document 10a]. The event followed the three Tokyo workshops on ODA evaluation and was specifically aimed at partner countries. The seminar highlighted the need for strengthening evaluation capacities in Asian countries. A fourth Tokyo workshop following up the Bangkok seminar is planned for end 2005/beginning 2006. Satoko Miwa (Japan, JICA) presented JICA’s initiatives to expand the support to ECD through various training opportunities and through a forum where practitioners from partner countries can exchange experiences [Room Document 10b]. The coordination of Japan’s ECD initiatives with other Network members’ efforts in the area was suggested, both at regional and global level.
Getinet Wolde Giorgis (AfDB) highlighted the results of an evaluation seminar for African partner country participants held in Tunis in May. Due to its success, it was decided to institutionalise the seminar as an annual programme. Aude de Amorim (France, MFA) presented France’s ECD initiatives including joint evaluations with partner countries, capacity building, and support to African evaluation associations.

The Chair noted many members’ comments on the importance of evaluation capacity development and commended the support provided by France, Japan, the AfDB and others and suggested further work, possibly jointly. The Secretariat highlighted the need to develop some coordination mechanisms for ECD among members in order to scale up and achieve better impact, and exploit the linkages between partners’ evaluation capacities and other Networks’ activity areas, such as joint evaluations and harmonisation and alignment.

**Action:** Bureau to explore opportunities for setting up a task team on ECD.

iv) **Possible DAC Evaluation Workshop in connection with the 2006 AFREA Conference**

Daniel Kamelgarn (France) reported that the leadership of the African Evaluation Association (Afrea) had indicated continued interested in a joint event in connection with the next Africa-wide conference to be held in Niamey, Niger, towards end of 2006. He suggested that this could be conceived as a workshop or as an integrated session within the conference, and on a key theme that the network is working on at that time and which would benefit from partner country consultations.

v) **Reports by ECG And UNEG on their Recent Meetings**

Fredrik Korfker (EBRD and former ECG chair) gave a brief presentation on ECG’s recent meeting which discussed a number of areas where the Network is also engaged. The meeting highlighted the progress made on the independence of MDBs’ evaluation functions. The meeting also highlighted how harmonising ‘upstream’ evaluation practices, for instance through the development of common standards, allows ‘downstream’ harmonisation, such as joint evaluations. Concerning collaboration with the Network, the ECG expressed the possibility of contributing to any evaluation initiative stemming out of the Paris Declaration.

Donatella Magliani (UNIDO) and Saraswathi Menon (UNDP) presented the results of the recent UNEG meeting held in Rome on 27-29 April which led to the endorsement of Evaluation Norms and Standards for UN agencies. The challenges ahead lie in operationalising the norms and standards, starting from the development of an evaluation policy at agency level. UNEG will dedicate future efforts to examining the relationship between RBM systems and evaluation, evaluation capacity development and country level evaluation. To establish better links with the various initiatives that the Network is running in these areas, UNEG suggested holding a joint meeting between UNEG and the DAC Evaluation Network.

**Action:** Secretariat to investigate the feasibility of holding a one-day joint meeting with UNEG in connection with the next formal meeting of the Network towards the end of March 2006.

**VII. OTHER BUSINESS**

7. In closing the meeting, the Chair encouraged members to actively engage in ongoing joint work and she also invited members to provide suggestions for thematic issues for the next meeting.
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2 June 2005 - 3 June 2005

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