This annex provides a comprehensive list of all coded documents from the MAI negotiations proposed for declassification. It is submitted to the Committee for information under Item 7 of the agenda at its meeting on 14-15 December 2000.

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1 Already publicly available.

1 Already publicly available.
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DAFFE/MAI/DG1/A(96)1 Meeting 11 & 12 January 1996

4. Summary Records

DAFFE/MAI/DG1/M(95)1 Summary Record of meetings held on 23-24 and 26-27 October 1995
DAFFE/MAI/DG1/M(95)2 Summary Record of meetings held on 30 November, 1 & 4 December
    1995
III. DRAFTING GROUP N° 2 ON SELECTED TOPICS CONCERNING DEFINITION AND TREATMENT OF INVESTORS (PRE- AND POST-ESTABLISHMENT)

1. Notes by the Chairman

DAFFE/MAI/DG2(95)1 Discussion of Draft Articles on National Treatment, Non-REV1 and REV2 Discrimination/MFN and Transparency
DAFFE/MAI/DG2(95)2 Draft Article on General Exceptions
DAFFE/MAI/DG2(95)3 Mechanisms for Standstill, Rollback and Listing of
and REV1 Country Specific Reservations
DAFFE/MAI/DG2(96)1 Definition of Investor and Investment
and REV1

2. Reports to the Negotiating Group

DAFFE/MAI/DG2(96)2 Report on the Treatment of Investors
DAFFE/MAI/DG2(96)3 Report on the Definition of “Investor” and “Investment”

3. Agendas

DAFFE/MAI/DG2/A(95)1 Meeting 5-6 December 1995
DAFFE/MAI/DG2/A(95)2 Meeting 22-24 January 1996
DAFFE/MAI/DG2/A(96)1 Meeting 11-13 March 1996
DAFFE/MAI/DG2/A(96)2 Meeting 16-17 April 1996

4. Summary Records

DAFFE/MAI/DG2/M(95)1 Summary Record of the meeting held on 5-6 December 1995
DAFFE/MAI/DG2/M(95)2 Summary Record of the meeting held on 22-24 January 1996
DAFFE/MAI/DG2/M(96)1 Summary Record of the meeting held on 11-13 March 1996
DAFFE/MAI/DG2/M(96)2 Summary Record of the meeting held on 16-17 April 1996
IV. DRAFTING GROUP N° 3 ON DEFINITION, TREATMENT AND PROTECTION OF INVESTORS AND INVESTMENTS

1. Notes by the Chairman

DAFFE/MAI/DG3(96)4 Definition of Investor and Investment
DAFFE/MAI/DG3(97)5 Preamble to the MAI and provisions on not lowering standards
DAFFE/MAI/DG3(97)6 Draft Article on the lodging of country specific reservations
DAFFE/MAI/DG3(97)9 Selected Issues
DAFFE/MAI/DG3(97)11 Three further issues: cross border services, transfers and transparency
DAFFE/MAI/DG3(97)12 Draft Article on lodging of country specific reservations
DAFFE/MAI/DG3(97)13 Draft Article on modification of country reservation schedules
DAFFE/MAI/DG3(97)16 Draft Article on lodging of country specific exceptions
(Selected Outstanding Issues)
DAFFE/MAI/DG3(97)17 Generalisation of Financial Services Provisions: Selected Outstanding Issues

2. Country contributions

DAFFE/MAI/DG3/RD(98)2 Provision on not lowering standards
(Contribution by Mexico)
DAFFE/MAI/DG3/RD(98)3 Contribution by France on “not lowering standards”
DAFFE/MAI/DG3/RD(98)8 Non-paper: case examples to be considered in connection with article on
“not lowering standards”
(Contribution by the United States)

3. Miscellaneous

DAFFE/MAI/DG3/RD(97)9 Selected Extracts from the Energy Charter Treaty
DAFFE/MAI/DG3/RD(97)10 Selected Articles from Existing Investment Agreements
Lodging of Country Specific Reservations
DAFFE/MAI/DG3/RD(97)12 Extracts from NAFTA, GATS and WTO Agreements
DAFFE/MAI/DG3/RD(97)14 Preamble; relationship between the MAI and the WTO

1 Already publicly available.
4. Reports to the Negotiating Group

DAFFE/MAI/DG3(96)3  Report to the Negotiating Group (December 1996)
DAFFE/MAI/DG3(97)3  Definition of Investor and Investment
                        Status of Negotiations
DAFFE/MAI/DG3(97)4  Report to the Negotiating Group (February 1997)
DAFFE/MAI/DG3(97)7  Report to the Negotiating Group (March 1997)
DAFFE/MAI/DG3(97)10 Report to the Negotiating Group (June 1997)
DAFFE/MAI/DG3(97)10/FINAL  Report to the Negotiating Group (June 1997)
DAFFE/MAI/DG3(97)19\(^1\) Report to the Negotiating Group (December 1997)
DAFFE/MAI/DG3(98)1  Report to the Negotiating Group (January 1998)
DAFFE/MAI/DG3(98)2  Report to the Negotiating Group on Labour and Environment (January 1998)

5. Agendas

DAFFE/MAI/DG3/A(96)2  Meeting 16-18 September 1996
DAFFE/MAI/DG3/A(96)3  Meeting 11(p.m)-12 December 1996
DAFFE/MAI/DG3/A(97)1  Meeting 28-29 January 1997
DAFFE/MAI/DG3/A(97)2  Meeting 24-25 February 1997
DAFFE/MAI/DG3/A(97)3  Meeting 26 March 1997
DAFFE/MAI/DG3/A(97)4  Meeting 24 April 1997
DAFFE/MAI/DG3/A(97)5  Meeting 12 May 1997
DAFFE/MAI/DG3/A(97)6  Meeting 30 June 1997
DAFFE/MAI/DG3/A(97)7  Meeting 15-16 September 1997
DAFFE/MAI/DG3/A(97)8  Meeting 3-4 November 1997
DAFFE/MAI/DG3/A(97)9  Meeting 4 December 1997
DAFFE/MAI/DG3/A(98)1  Meeting 15 and 19-20 January 1998
DAFFE/MAI/DG3/A(98)2  Meeting 20 February 1998
DAFFE/MAI/DG3/A(98)3  Meeting 19 March 1998

6. Summary Records

DAFFE/MAI/DG3/M(96)1  Summary Record of the meeting held on 24-26 June 1996
DAFFE/MAI/DG3/M(96)2  Summary Record of the meeting held on 16-18 September 1996
DAFFE/MAI/DG3/M(96)3  Summary Record of the meeting held on 11(p.m.)-12 December 1996
DAFFE/MAI/DG3/M(97)1  Summary Record of the meeting held on 28-29 January 1997
DAFFE/MAI/DG3/M(97)2  Summary Record of the meeting held on 24-25 February 1997
DAFFE/MAI/DG3/M(97)3  Summary Record of the meeting held on 26 March 1997
DAFFE/MAI/DG3/M(97)4  Summary Record of the meeting held on 24 April 1997
DAFFE/MAI/DG3/M(97)5  Summary Record of the meeting held on 12 May 1997
DAFFE/MAI/DG3/M(97)6  Summary Record of the meeting held on 30 June 1997
DAFFE/MAI/DG3/M(97)8  Summary Record of the meeting held on 3-4 November 1997
DAFFE/MAI/DG3/M(97)9  Summary Record of the meeting held on 4 December 1997
DAFFE/MAI/DG3/M(98)1  Summary Record of the meeting held on 15 and 19-20 March 1998
DAFFE/MAI/DG3/M(98)2  Summary Record of the meeting held on 20 February 1998

\(^1\) Already publicly available.
INFORMAL CONSULTATIONS ON INTELLECTUAL PROPERTY

Reports to the Negotiating Group

V. EXPERT GROUP N° 1 ON SELECTED ISSUES CONCERNING DISPUTE SETTLEMENT AND GEOGRAPHICAL SCOPE

1. Notes by the Chairman

DAFFE/MAI/EG1(96)1 Selected Issues concerning Dispute Settlement
DAFFE/MAI/EG1(96)3 Elements Of An MAI Dispute Settlement Mechanism
DAFFE/MAI/EG1(96)4 Geographical Scope of Coverage of the MAI
DAFFE/MAI/EG1(96)6 Geographical Scope of Coverage of the MAI
Exclusive Economic Zone and Continental Shelf
DAFFE/MAI/EG1(96)7 Conceptual Framework for an MAI Dispute Settlement Mechanism
DAFFE/MAI/EG1(96)8 Application of the MAI to Overseas Territories
DAFFE/MAI/EG1(96)9 Dispute Settlement: Consultation/Conciliation and State-State
and REV1
DAFFE/MAI/EG1(96)10 Conflicting Requirements
DAFFE/MAI/EG1(96)12 Settlement of Disputes between an Investor and a Contracting Party

2. Reports to the Negotiating Group

DAFFE/MAI/EG1(96)5 Report on Selected Issues concerning Dispute Settlement and Geographical Scope
DAFFE/MAI/EG1(96)11 Conflicting Requirements: Draft Report to the Negotiating Group
DAFFE/MAI/EG1(96)11/REV1 Conflicting Requirements: Report to the Negotiating Group
DAFFE/MAI/EG1(96)15 Report to the Negotiating Group

3. Agendas

DAFFE/MAI/EG1/A(96)1 Meeting 29-31 January 1996
DAFFE/MAI/EG1/A(96)2 Meeting 6-8 March 1996
DAFFE/MAI/EG1/A(96)3 Meeting 15-16 April 1996
DAFFE/MAI/EG1/A(96)4 Meeting 18-20 September 1996
DAFFE/MAI/EG1/A(96)5 Meeting 21-23 October 1996
DAFFE/MAI/EG1/A(96)6 Meeting 13, 16-17 December 1996

4. Summary Records

DAFFE/MAI/EG1/M(96)1 Summary Record of meeting held on 29-31 January 1996
DAFFE/MAI/EG1/M(96)2 Summary Record of the meeting held on 6-8 March 1996
DAFFE/MAI/EG1/M(96)3 Summary Record of the meeting held on 15-16 April 1996
DAFFE/MAI/EG1/M(96)4 Summary Record of the meeting held on 18-20 September 1996
DAFFE/MAI/EG1/M(96)5 Summary Record of the meeting held on 21-23 October 1996
INFORMAL CONSULTATIONS ON DISPUTE SETTLEMENT

Miscellaneous

DAFFE/MAI/DS(97)2  Dispute Settlement - text
DAFFE/MAI/DS(97)3  Dispute Settlement - Response to non-compliance
DAFFE/MAI/DS(97)9  Dispute Settlement
                    Report to the Negotiating Group
VI. EXPERT GROUP N° 2 ON TREATMENT OF TAX ISSUES IN THE MAI

1. Notes by the Chairman

DAFFE/MAI/EG2(96)1 Issues raised by MAI Drafting Group N° 1 (Selected Topics and ADD1 concerning Investment Protection)
DAFFE/MAI/EG2(96)3 Definition of Taxes
DAFFE/MAI/EG2/RD(96)1 Non-discrimination in Bilateral Tax Conventions

2. Reports to the Negotiating Group

DAFFE/MAI/EG2(96)9 Report to the Negotiating Group
DAFFE/MAI/EG2(97)1 Report to the Negotiating Group (March 1997)

3. Agendas

DAFFE/MAI/EG2/A(96)1 Meeting 22-24 April 1996
DAFFE/MAI/EG2/A(96)2 Meeting 22-24 May 1996
DAFFE/MAI/EG2/A(96)3 Meeting 9-11(am) December 1996
DAFFE/MAI/EG2/A(97)1 Meeting 19-21 March 1997

4. Summary Records

DAFFE/MAI/EG2/M(96)1 Summary Record of the meeting held on 22-24 April 1996
DAFFE/MAI/EG2/M(96)2 Summary Record of the meeting held on 22-24 May 1996
DAFFE/MAI/EG2/M(96)3 Summary Record of the meeting held on 9-11(am) December 1996
DAFFE/MAI/EG2/M(97)1 Summary Record of the meeting held on 19-21 March 1997
INFORMAL CONSULTATIONS ON THE TREATMENT OF TAX MEASURES IN THE MAI

Agenda
DAFFE/MAI/TAX(97)1 Agenda - meeting 15-16 September 1997
VII. EXPERT GROUP N° 3 ON SPECIAL TOPICS

1. Notes by the Chairman
   
a. Key Personnel
   
   DAFFE/MAI/EG3(96)1 Key Personnel
   DAFFE/MAI/EG3(96)8 Key Personnel
   DAFFE/MAI/EG3(96)16 Draft text by the Chairman

b. Performance Requirements

   DAFFE/MAI/EG3(96)2 Performance Requirements
   DAFFE/MAI/EG3(96)9 Performance Requirements
   DAFFE/MAI/EG3(96)17 Draft text by the Chairman

c. Investment Incentives

   DAFFE/MAI/EG3(96)3 Investment Incentives
   DAFFE/MAI/EG3(96)10 Investment Incentives

d. Privatisation

   DAFFE/MAI/EG3(96)4 Privatisation
   DAFFE/MAI/EG3(96)11 Privatisation

e. Monopolies/State Enterprises

   DAFFE/MAI/EG3(96)12 Monopolies/State Enterprises
   DAFFE/MAI/EG3(96)19 Draft text by the Chairman

f. Corporate Practices

   DAFFE/MAI/EG3(96)6 Corporate Practices
   DAFFE/MAI/EG3(96)13 Corporate Practices
2. Notes by the Secretariat

DAFFE/MAI/EG3(96)14 Investment Incentives in Existing Agreements

3. Report to the Negotiating Group

DAFFE/MAI/EG3(96)7 Report by the Expert Group on Special Topics to the Negotiating Group
DAFFE/MAI/EG3(96)22 Report to the Negotiating Group
DAFFE/MAI/EG3(97)3 Report to the Negotiating Group (January 1997)
DAFFE/MAI/EG3(97)3/ADD Additional Note to the Negotiating Group (February 1997)

4. Agendas

DAFFE/MAI/EG3/A(96)1 Meeting 28-30 May 1996
DAFFE/MAI/EG3/A(96)2 Meeting 17-19 June 1996
DAFFE/MAI/EG3/A(96)3 Meeting 9-11 September 1996
DAFFE/MAI/EG3/A(96)4 Meeting 14-15 October 1996
DAFFE/MAI/EG3/A(96)5 Meeting 16-18 November 1996

5. Summary Records

DAFFE/MAI/EG3/M(96)1 Summary Record of the meeting held on 28-30 May 1996
DAFFE/MAI/EG3/M(96)2 Summary Record of the meeting held on 17-19 June 1996
DAFFE/MAI/EG3/M(96)3 Summary Record of the meeting held on 9-11 September 1996
DAFFE/MAI/EG3/M(96)4 Summary Record of the meeting held on 14-15 October 1996
DAFFE/MAI/EG3/M(96)5 Summary Record of the meeting held on 16-18 November 1996
INFORMAL CONSULTATIONS ON SPECIAL TOPICS

1. Reports to the Negotiating Group

DAFFE/MAI/ST(97)2
- Report to the Negotiating Group
- Draft Provisions on Privatisation

DAFFE/MAI/ST(97)4
- Report to the Negotiating Group
- Draft Article on temporary stay and work of investors and key personnel

DAFFE/MAI/ST(97)9
- Results of the Informal Discussion on Special Topics
- Report to the Negotiating Group

DAFFE/MAI/ST(97)11
- Results of the Informal Discussion on Special Topics and REV1
- Performance Requirements and Monopolies/State Enterprises/Concessions
- Report to the Negotiating Group

DAFFE/MAI/ST(97)12/REV1
- Results of the Informal Consultations on Special Topics (Report to the Negotiating Group)

DAFFE/MAI/ST(97)13\(^1\)
- Results of the Informal Consultations on Special Topics (Report to the Negotiating Group)

DAFFE/MAI/ST(97)14\(^1\)
- Results of the Informal Consultations on Special Topics (Report to the Negotiating Group)

2. Country Contributions

DAFFE/MAI/ST/RD(97)2\(^1\)
- Working Paper on Natural Resources and Concessions in the context of the MAI (Contribution by Norway)

3. Miscellaneous

DAFFE/MAI/ST(97)5
- Informal Consultations on Monopolies
- 24-25 February 1997

\(^1\) Already publicly available.

\(^1\) Already publicly available.
VIII. EXPERT GROUP Nº 4 ON INSTITUTIONAL MATTERS

1. Notes by the Chairman

DAFFE/MAI/EG4(96)1 Implementation and operation of the MAI
DAFFE/MAI/EG4(96)2 Accession of Non-Members
DAFFE/MAI/EG4(96)3 Implementation and operation of the MAI
DAFFE/MAI/EG4(96)4 Accession
DAFFE/MAI/EG4(96)5 The MAI and bilateral, regional and sectoral agreements
DAFFE/MAI/EG4(96)6 The MAI and the WTO agreements
DAFFE/MAI/EG4(97)1 Associating the OECD Guidelines for Multinational Enterprises with the MAI

2. Reports to the Negotiating Group

DAFFE/MAI/EG4(96)7 Report to the Negotiating Group
DAFFE/MAI/EG4(97)2 Report to the Negotiating Group

3. Miscellaneous

DAFFE/MAI/RD(96)40 Part I: Selected Texts on Implementation and Operation of Multilateral Agreements
Part II: Selected Texts on Accession to Multilateral Agreements

4. Agendas

DAFFE/MAI/EG4/A(96)1 Meeting 18 October 1996
DAFFE/MAI/EG4/A(96)2 Meeting 21-22 November 1996
DAFFE/MAI/EG4/A(97)1 Meeting 24 January 1997

5. Summary Records

DAFFE/MAI/EG4/M(96)1 Summary Record of the meeting held on 18 October 1996
DAFFE/MAI/EG4/M(96)2 Summary Record of the meeting held on 21-22 November 1996
DAFFE/MAI/EG4/M(97)1 Summary Record of the meeting held on 24 January 1997
INFORMAL CONSULTATIONS ON INSTITUTIONAL MATTERS

1. Notes by the Chairman

DAFFE/MAI/IN(97)5 Decision Making

2. Reports to the Negotiating Group

DAFFE/MAI/IN(97)4 Report to the Negotiating Group (April 1997)
DAFFE/MAI/IN(97)6 Report to the Negotiating Group (June 1997)
IX. EXPERT GROUP N° 5 ON FINANCIAL SERVICES MATTERS

1. Notes by the Chair

DAFFE/MAI/EG5(96)1 Treatment of prudential measures in the MAI
DAFFE/MAI/EG5(96)4 Selected issues important for, or specific to, financial services
DAFFE/MAI/EG5(97)1 Definition of “Investment” and General Safeguard Provisions
DAFFE/MAI/EG5(97)3 Balance-of-payments clause

2. Notes by the Secretariat

DAFFE/MAI/EG5(96)3 Issues relating to the definition of investors

3. Report to the Negotiating Group

DAFFE/MAI/EG5(96)5 Report to the Negotiating Group
DAFFE/MAI/EG5(97)2 Report to the Negotiating Group
DAFFE/MAI/EG5(97)4/REV1 Report to the Negotiating Group

4. Agendas

DAFFE/MAI/EG5/A(96)1 Meeting 16-17 October 1996
DAFFE/MAI/EG5/A(96)2 Meeting 5-6 December 1996
DAFFE/MAI/EG5/A(97)1 Meeting 27-29 January 1997
DAFFE/MAI/EG5/A(97)2 Meeting 13-14 March 1997

5. Summary Records

DAFFE/MAI/EG5/M(96)1 Summary Record of the meeting held on 16-17 October 1996
DAFFE/MAI/EG5/M(96)2 Summary Record of the meeting held on 5-6 December 1996
INFORMAL CONSULTATIONS ON FINANCIAL SERVICES MATTERS

Reports to the Negotiating Group

DAFFE/MAI/FS(97)1  Report to the Negotiating Group
DAFFE/MAI/FS(97)2  Report to the Negotiating Group
X. TECHNICAL GROUP ON SELECTED ISSUES RAISED
BY COUNTRY SPECIFIC EXCEPTIONS

DAFFE/MAI/EX(98)18  Results of the meeting of the Technical Group on Country Specific
Exceptions
(Report to the Negotiating Group, January 1998)

DAFFE/MAI/EX(98)26  Results of the meeting of the Technical Group on Country Specific
Exceptions
(Report to the Negotiating Group, March 1998)