



**Presidência da República  
Casa Civil**



## **INTERNATIONAL SEMINAR ON REGULATORY IMPACT ANALYSIS**

*12-13 March 2009*

**Complexo Brasil 21 - Setor Hoteleiro Sul, Quadra 6, Conjunto A, Bloco F; Hotel 21, Melia Hotel, Brasilia**

**FOR MORE INFORMATION ABOUT THE MEETING, PLEASE CONTACT:**

**STÉPHANE JACOBZONE  
JADIR PROENCA**

**E mail: [stephane.jacobzone@oecd.org](mailto:stephane.jacobzone@oecd.org), Tel 33 1 45 24 85 56  
Email: [jadir.proenca@planalto.gov.br](mailto:jadir.proenca@planalto.gov.br), Tel: 55 61 - 3411-3857**

# ***INTERNATIONAL SEMINAR ON REGULATORY IMPACT ANALYSIS***

## ***Context***

Brazil is taking steps to implement a RIA system following the OECD peer review conducted in 2007-2008. This seminar will share national experiences related to the implementation of a RIA system. It focuses on the challenges of RIA implementation, improving the quality of RIA and its contribution to better regulatory outcomes.

The audience involves senior officials with responsibility for managing the implementation of RIA and providing oversight of its operation, both in ministries and agencies. The program offers an opportunity to discuss recent work accomplished in Brazil in the context of the PRO-REG project, including the recent case studies. The attached draft agenda aims to focus discussion on the current strategy to embed RIA in the policy process. It includes contributions from relevant officials within the Government as well as discussants drawn from the OECD secretariat and the network of officials in OECD.

The aim is to bring the perspective of the OECD experience of RIA and to draw on the practical experience of member countries. The discussions will address the factors which influence the quality in RIA, the design of RIA systems and its institutional underpinnings. It will also involve the issue of sequencing RIA implementation, and linking it to other reform strategies. Experienced country officials from OECD countries will also provide relevant examples of system design in their home country and solutions to implementation issues. As part of a systems approach, the seminar will also address the issue of consultation, as a tool for public accountability, which is important to strengthen the role of regulatory agencies in Brazil through greater openness and integration of consumer perspective.

<b>12 March</b>	
9 30	<p><b><i>Welcome &amp; Introduction</i></b></p> <ul style="list-style-type: none"> <li>- Mr. Luiz Alberto dos Santos: Head of Analysis and Monitoring of Governmental Policies, Civil House of the Presidency of the Republic</li> <li>- Mr. Marcelo Viana Estevão de Moraes, Secretary for Management, Ministry of Planning</li> <li>- Mr. Antonio Henrique Pinheiro da Silveira, Secretary for Economic Monitoring, Ministry of Economy</li> <li>- Mr. Stephane Jacobzone, OECD Secretariat, Principal Administrator</li> </ul>
9 45- 11.15	<p><b><i>Session 1: The Regulatory Process and the Role of RIA</i></b></p> <p><i>This purpose of this session is to give an overall introduction to RIA systems and processes in the OECD. The session will briefly present the different components of a RIA system – some of which will be dealt with in more detail in subsequent sessions. The session will provide concrete examples on how OECD Countries have established RIA guidelines to support the regulatory process.</i></p> <p><u>Issues and questions to be addressed in this session include:</u></p> <ul style="list-style-type: none"> <li>– What is the role of RIA in the regulatory process?</li> <li>– The variation of RIA systems in OECD Countries</li> <li>– The “products” of the RIA process: RIA Guidelines and RIA Statements</li> <li>– Critical success factors in the design of RIA systems: Political support, understanding and appreciation of the benefits of RIAs, clear guidelines and division of tasks, continuous learning and evaluation</li> </ul> <p><u>Introduction/presentations by:</u></p> <ul style="list-style-type: none"> <li>– Prof. Bernardo Muller, UnB</li> <li>– Mr. Stéphane Jacobzone, OECD Secretariat</li> </ul>
11.15- 11.30	<p><b><i>Coffee Break</i></b></p>
11.30- 13.00	<p><b><i>Session 2: Setting up the Institutional Framework for a successful RIA system</i></b></p> <p><i>Building the RIA infrastructure: institutions, incentives and administrative processes The purpose of this session is to present and discuss how RIA responsibilities can be shared between different ministries and government units, and the incentives and processes that could be put in place to ensure effective and efficient preparation of RIAs. This session will also look at ways to target RIA efforts towards regulations with the highest impact and importance.</i></p> <p><u>Issues and questions to be addressed is this session include:</u></p> <ul style="list-style-type: none"> <li>– Who should be responsible for what in the RIA process?</li> <li>– What kind of regulatory proposals should be subject to RIA?</li> <li>– How detailed and in depth does RIA need to be?</li> </ul> <p><u>Introductions/presentations by</u></p> <ul style="list-style-type: none"> <li>– Prof. Alexandre Aragão, UERJ</li> <li>– Mr. Hiroshi SAKAMAKI, Deputy Director, Administrative Evaluation Bureau, Ministry of Internal Affairs and Communications (MIC) Japan</li> </ul>

<b>12 March</b>	
14.30-18.00	<p><b><i>Session 3: Brazil experiences with RIA and pilot studies</i></b></p> <p><i>This session would discuss results from recent studies in Brazil on RIA. It will discuss the results of the studies, how they fit with policy strategy and design and how they can be integrated with existing rule making procedures.</i></p> <p><u>Introductions/presentations by</u></p> <p><u>14.30-15.25 Part 1.</u></p> <ul style="list-style-type: none"> <li>– Prof. Enrique Saravia, FGV/RJ, Pro-Reg-Consultants Specialist</li> <li>– Prof<sup>a</sup> Alketa Peci, FGV/RJ Pro-Reg-Consultants Specialist</li> <li>– International Commentator</li> </ul> <p><i>15.25-15.35 Coffee Break</i></p> <p><u>15.35-16.45 Part 2.</u></p> <ul style="list-style-type: none"> <li>– Mr. Sebastian Lopez Azumendi; Pro-Reg-Consultants Specialist</li> <li>– Mr. José Paoli; Pro-Reg-Consultants Specialist</li> <li>– Mr. Humberto Falcão Martins; Pro-Reg-Consultants Specialist</li> <li>– International Commentator</li> </ul> <p><i>16.45-16.55 Coffee Break</i></p> <p><u>16.55-18.00 Part 3</u></p> <ul style="list-style-type: none"> <li>– Mr. Wladimir Ribeiro Pro-Reg-Consultants Specialist</li> <li>– Mr. Caio Marini. Pro-Reg-Consultants Specialist</li> <li>– International Commentator</li> </ul>

## 13 MARCH

9.30-11.00	<p><b>Session 4: Analytical techniques to assess regulatory impacts</b></p> <p><i>This session will cover technical aspects of the RIA process – the methods to be applied in assessing regulatory impacts. This will include a theoretical introduction of different qualitative and quantitative methods to assess regulatory impacts, in particular cost-benefit analysis. Methods to be covered are, among others, cost-assessments, benefit assessments, cost-effectiveness-analysis, cost-benefit-analysis and threshold tests. The presentation will include comparisons and discussion of the methods.</i></p> <p><u>Introductions/presentations by</u></p> <ul style="list-style-type: none"> <li>– Ms. Lucia Salgado, from IPEA (TBC)</li> <li>– Dr. Jacopo Torriti, Centre for Environmental Strategy, United Kingdom</li> </ul>
11.00-11.15	Coffee Break
11.15-12.45	<p><b>Session 5: RIA as a tool for consultation and communication</b></p> <p><i>The purpose of this session is to introduce and discuss different consultation mechanisms and how consultation mechanisms can be utilised and integrated in the RIA process to bring transparency and increase democratic accountability in rule making, improve accountability processes and promote compliance.</i></p> <p><u>Issues and questions to be addressed in this session include:</u></p> <ul style="list-style-type: none"> <li>– What are the important features of transparency?</li> <li>– How does consultation contribute to the design and enforcement of better regulation?</li> <li>– What consultation practices are effective?</li> </ul> <p><u>Introductions/presentations by</u></p> <ul style="list-style-type: none"> <li>– Ms. Marilena Lazzarini, Managing Director and Researcher from the Office of Consumer Protection - IDEC</li> <li>– Mr. Benoit Turcotte, Director, Centre of Regulatory Expertise, Regulatory Affairs, Treasury Board of Canada Secretariat</li> </ul>
12.45-13.15	<p><b>Feed back and review of the findings of the International Seminar–</b></p> <p><i>The final session of the seminar should attempt to draw some policy conclusions from the discussion that may be useful to Brazil in developing and refining its strategy for enhancing the performance of RIA and building capacity.</i></p> <ul style="list-style-type: none"> <li>– Mr. Luiz Alberto Dos Santos, Civil House</li> <li>- Mr. Stephane Jacobzone, OECD Secretariat</li> </ul>
13.15-14.00	Lunch and Adjourn

**ANNEX: Presentation of the International Seminar for the Brazilian Audience.**



# Seminário Internacional

## "Análise do Impacto Regulatório - Instrumento para o Fortalecimento da Regulação no Brasil".

12 e 13 de março de 2009  
Brasília-DF

Local: Complexo Brasil 21 - Setor Hoteleiro Sul, Quadra 6

Inscrições: [proreg@planalto.gov.br](mailto:proreg@planalto.gov.br)  
Telefone: (55\*61) 3411.3854/3856

Organização:	Apoio:	Realização:
		Casa Civil da Presidência da República 

Programa de Fortalecimento da Capacidade Institucional para a Gestão em Regulação - PRO-REG