

Guidance Document for the testing and interpretation of data on dissolution rate and dispersion stability of nanomaterials for effects and exposure assessment

1st DRAFT for WNT Comments (JULY2019)

This is the first draft to be circulated for WNT comments. It includes comments provided during the Expert commenting round that took place (April-May 2019).

The revised draft, clean and tracked changes version, together with responses to comments received have been made available in the restricted site.

The WNT is invited to review this draft and provide comments by **19th September 2019.**

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1 Introduction

1. In the OECD Expert Meeting hosted by Germany in Berlin 2013 (OECD 2014a), it was identified that dissolution rate and dispersion stability in the environment are important parameters for nanomaterials and that Test Guidelines (TGs) should be developed for these parameters. As these parameters are often interlinked it was also acknowledged that an overarching guidance document (GD) would be beneficial as well.

2. TG 318 (OECD 2017) on dispersion stability of manufactured nanomaterials in simulated environmental media was published in 2017.

3. The development of a TG on dissolution rate in environmental media was included as OECD WNT project 3.10 in the Test Guideline Programme workplan in 2014 (latest version OECD 2018), but could not be finished so far. In the meantime, also other relevant methods for dissolution rate testing in water and biological fluids and transformation in the environment (WNT project 1.5 and WNT project 3.16) were included to the WNT work plan. Therefore, to make progress it was concluded to build the dissolution relevant content for the overarching GD on the available information, including the current draft documents of WNT project 3.10, scientific literature, and GD 29 (OECD 2001). For the short-term, it provides guidance on experimental steps and procedures of batch and dynamic flow-through methods and decision support when to use them for nanomaterials (Chapter 2). After mentioned WNT processes are finalised and TGs available, an update of this GD might be needed.

4. This GD provides guidance for the methods to address above mentioned endpoints, in particular on the influence of various experimental conditions on the performance and outcomes of those methods. In addition, this GD aims to give support for the interpretation of results.

5. In **Chapter 2** provides guidance for the determination of solubility and dissolution rate based on batch test and flow-through methods as well as on how to evaluate and report the gained test results. .

6. Specific guidance on TG 318 is given in **Chapter 3** including further experimental conditions than described in the TG, guidance to account for heteroagglomeration (section 3.3), and deriving attachment coefficient(s) (section 3.4). In order to address the latter issues, the state of the knowledge was included from available scientific literature. Furthermore, guidance is provided on the interpretation and presentation of data addressing the endpoint.

7. The use of data generated by dissolution testing and testing of dispersion stability using TG 318 for possible further nano-specific fate and effect testing and assessment strategies is presented in **Chapter 4**. A testing strategy is presented in section 4.2. Furthermore, mutual influence of the two endpoints to each other is discussed, i.e. dispersion stability will influence dissolution rate and *vice versa*.

8. In **Chapter 5**, this GD provides recommendations on the use of output data from dissolution rate and dispersion stability tests to derive input parameters for exposure models.

9. **Chapter 6** provides information on the use of this GD in relation to other OECD TGs and GDs, including the foreseen GD on aquatic and sediment toxicity testing (see

44 section 1) and the foreseen GD on the apparent accumulation potential of nanomaterials in
45 fish (see section 6.3). As fate estimations of nanomaterials in soil and sediment are
46 challenging to conduct, the GD also gives advice on screening possibilities for dispersion
47 stability and dissolution rates by varying the environmental conditions to mimic those in
48 soil and sediment (see section 6.2), and this is linked to the foreseen nano-specific GD for
49 OECD TG 312.

50

2 Testing of solubility and dissolution rate

51 10. It is important to clearly distinguish between the terms solubility, dissolution and
52 dissolution rate (see Annex 1 for definitions). Solubility and dissolution rate of
53 nanomaterials are important to predict their fate and behaviour in the environment and for
54 understanding the changes in their bioavailability, reactivity, fate, and toxicity. Dissolution
55 rates from nanomaterials are particularly important in determining risk/hazard since the
56 rate of release of ions/molecules prior to interaction/complexation with ligands may be
57 more important than equilibrium concentrations.

58 11. General methods for the determination of solubility and dissolution rate are
59 available (e.g. OECD TG 105 (OECD1995), Superb et al. 2012, ISO 19057 (ISO 2017)
60 having different advantages and disadvantages. So far, no specific OECD TG is available
61 for determination of solubility and dissolution rate for nanomaterials. However, there are
62 two WNT projects ongoing (WNT project 3.10 “TG on Dissolution Rate of Nanomaterials
63 in Aquatic Environment” and WNT project 1.5 on “Determination of Solubility and
64 Dissolution Rate of Nanomaterials in Water and Relevant Synthetic Biological Media”)
65 aiming to provide harmonised approaches for testing solubility and dissolution rate of
66 nanomaterials via static batch testing and dynamic flow-through methods, respectively.

67 12. In OECD TG 105 (OECD 1995) two methods are described for the determination
68 of solubility of substances, a static batch test and a dynamic test. For determining
69 dissolution (rate) of nanomaterials the same set-up may be used with some modifications.
70 In addition, the existing OECD GD 29 (OECD 2001) for metals and metal compounds may
71 be applicable to some nanomaterials. It describes a similar batch test as the one developed
72 in WNT project 3.10. Scientific basis for the flow-through method currently foreseen in the
73 WNT project 1.5 can be found in literature e.g. in Koltermann-Juelly et al. 2018.

74 2.1. Static Batch Test

75 13. Currently a draft TG on “Determining the Dissolution of Metal Nanomaterials in
76 Aquatic Media” is in preparation (WNT project 3.10) but not yet available. This draft TG
77 is based on OECD GD 29 (OECD 2001, Guidance Document on
78 Transformation/Dissolution of Metals and Metal Compounds in Aqueous Media) while
79 making some nanospecific amendments. Care should be taken regarding the applicability
80 of OECD GD 29 when considering the purpose of testing solubility and dissolution rate of
81 nanomaterials, respectively. OECD GD 29 aims to provide supplemental information for
82 aquatic ecotoxicity testing of metal and metal compounds. As indicated in OECD GD 29
83 “The intent of the screening test, performed at a single loading, is to identify those
84 compounds which undergo either dissolution or rapid transformation such that their
85 ecotoxicity potential is indistinguishable from soluble forms”. As such the original purpose
86 of OECD GD 29 is not to provide a harmonised approach to determine solubility or
87 dissolution rate or to provide information on environmental fate. Some of the pros and cons
88 of a static batch test as described in GD 29 are discussed in this section.

89 14. Furthermore, several considerations in GD 29 differ from the rationale of testing
90 nanomaterials. For example, while GD 29 asks for testing the smallest available particle of
91 a metal or metal compounds, for nanomaterial the question arises about the solubility or
92 dissolution rate of the specific nanomaterial under investigation. As another example, the

93 screening test in GD 29 requires test conditions where a metal/metal compound shows
94 highest solubility, whereas for nanomaterials the specific solubility/dissolution rate under
95 certain conditions is of interest (i.e. not necessarily the highest solubility).

96 15. For testing of solubility and dissolution rate the use of the 24 h screening test of
97 GD 29 can still be of interest and can be in principle be applied, depending on the
98 nanomaterial properties. This seems to work at least for silver nanoparticles (Wasmuth et
99 al 2016). For instance, the screening test can provide solubility limit for all nanomaterials
100 and estimation of dissolution rate for sparingly soluble and slowly dissolving
101 nanomaterials. However, for determining dissolution rate solubility concentration needs to
102 be measured against time with narrow measuring points as long as steady state
103 concentration is not reached.

104 16. GD 29 prescribes a 0.20 µm filtration method for separating dissolved and non-
105 dissolved compounds. This is not appropriate for nanomaterials that have individual
106 particles or aggregates that are usually smaller than this size cut-off, so further separation
107 is needed.

108 17. For the screening test the OECD GD 29 proposes the use of reconstituted standard
109 water with pH range between 6 to 8.5 (i.e. water of known composition, for details on
110 media composition see OECD GD 29). However, in principle and based on the purpose of
111 the testing, the 24 h screening test can be also performed under different media conditions
112 (e.g. ecotoxicity media, natural water, simulated media according to TG 318). In any way
113 it is essential to characterise and report test media characteristics as detailed as possible
114 (e.g. pH, ionic strength should be reported), as media composition considerable influences
115 nanomaterial's dissolution.

116 18. When performing the dissolution test as a batch test, it should be realised that
117 derived dissolution rates according to GD 29 may not reflect the dissolution rate under
118 environmental realistic conditions. The ion concentration in the test media may increase,
119 and the solubility limit of an investigated nanomaterial in the specific test medium may be
120 reached before complete dissolution. Longer observation periods will then reduce the
121 apparent dissolution rate. If not considered in the process of deriving the rate constant and
122 the applied model, the obtained value will be depending on the a) solute concentration
123 already present at the start and b) the solute concentration build-up during the experiment.
124 Derivation of dissolution rate of dissolving nanomaterials is not possible for those
125 nanomaterials that show such a rapid increase of the ion concentration in the test media at
126 the beginning of the testing that results in a too steep slope of the dissolution function for
127 time resolved measurements.

128 19. Three main methods exist in the literature to separate nanomaterials and their
129 aggregates from their dissolved fraction: ultra-centrifugation, dialysis and centrifugal
130 ultrafiltration. Nevertheless, not all of them are recommended for use in the determination
131 of dissolution rates.

132 20. Ultra-centrifugation is not recommended for several reasons. Firstly, it is difficult
133 to calculate the optimal centrifugal settings (speed and time) to guarantee complete
134 centrifugation of nanomaterials, especially for the case of non-spherical particles and when
135 the rotor has no swing-bucket design but is e.g. a fixed angle rotor. Secondly, theoretical
136 optimal centrifugal times are often long relative to the dissolution rate, especially in the
137 case of relatively small nanomaterials. Small nanomaterials need proportionally long
138 centrifugation times to be separated from their dissolved ions and at the same time dissolve
139 faster compared to larger nanomaterials of the same composition. A too slow separation

140 technique thus hampers the determination of relatively fast dissolution rates. Finally, back-
141 diffusion of centrifuged nanomaterials into the centrifuge vial is likely and may cause
142 artefacts of overestimating dissolution. This is in particular relevant in case sampling for
143 dissolved substance measurement takes place too deep in the centrifugal vial. Thus, both
144 dissolved substances and back-diffused nanomaterials are sampled.

145 21. Dialysis is also not recommended for separating nanomaterials and their
146 dissolved substances. In this technique, nanomaterials are suspended in a medium within a
147 dialysis bag. Dissolved substances thus need to diffuse through the dialysis membrane into
148 a second compartment where they can be sampled for quantification of the dissolution rate.
149 This process may again be too slow compared to the dissolution process itself (see e.g.
150 Franklin *et al.*, 2000).

151 22. Centrifugal ultrafiltration is the recommend method for separating nanomaterials
152 and their dissolved substances. Here, a mixture of nanomaterials and their dissolved
153 substances are injected in centrifugal ultrafiltration devices. During centrifugation,
154 nanomaterials and dissolved substances and the test medium are transported towards an
155 ultrafiltration membrane through which nanomaterials cannot pass while their dissolved
156 substances can. The dissolved substances can then be measured in the filtered media.

157 23. The pore diameter of ultrafiltration is expressed in terms of molecular weight cut-
158 off (MWCO), i.e. the molecular weight of different molecules in the filtration process
159 (usually dextran or polyethylene glycol) that are retained for 90 % by the membrane (Ren
160 *et al.*, 2006). There is also a pore size distribution, rather than a single pore size. The
161 maximum pore diameter of 10 kDa membranes, for instance, is 4.57 nm (Ren *et al.*, 2006).
162 To ensure complete separation between ionic and particulate phases, a MWCO of
163 maximum 3 kDa is recommended.

164 24. The centrifugal speed and time required to drive a sufficient amount of aqueous
165 solution containing dissolved species to cross the membrane depends on the MWCO and
166 hydrophobicity of the membrane, as well as the chemistry of the medium. The centrifugal
167 settings should be optimized to achieve a filtrate volume sufficient for subsequent
168 measurement. Prewashing of the filter membrane by centrifuging ultrapure water through
169 the membrane is prerequisite for any filtration step to remove dissolved chemicals that
170 could influence the dissolution process. Modifying or pre-treating the membranes can be
171 used if significant issues are observed (Cornelis *et al.* 2010).

172 25. In principle the batch test procedure may also be applicable for testing non-metal
173 nanomaterials, but the current analytical possibilities are still limiting these options.

174 **2.2. Dynamic testing of dissolution rates**

175 26. The WNT project 1.5 aims to include two different methods: both a static batch
176 test, and dynamic dissolution testing by a flow-through system. The method applied for a
177 flow-through dissolution test is based on the amended Continuous Flow System mentioned
178 in ISO TR 19057 (ISO 2017). Here, simulated media is continuously pumped from a
179 reservoir through a cell containing a nanomaterials sample. After the media has passed the
180 nanomaterial sample the solute concentration in the fluid can be measured. The method
181 was applied in the past to determine the bio-durability for mineral fibre and its applicability
182 to nanomaterials was presented by Koltermann-Juelly (2018) for the dissolution of 24
183 (nano)forms of 6 substances (figure 1 below) for various human lung fluids and by Bove
184 *et al.* (2018) for various gastro fluids.

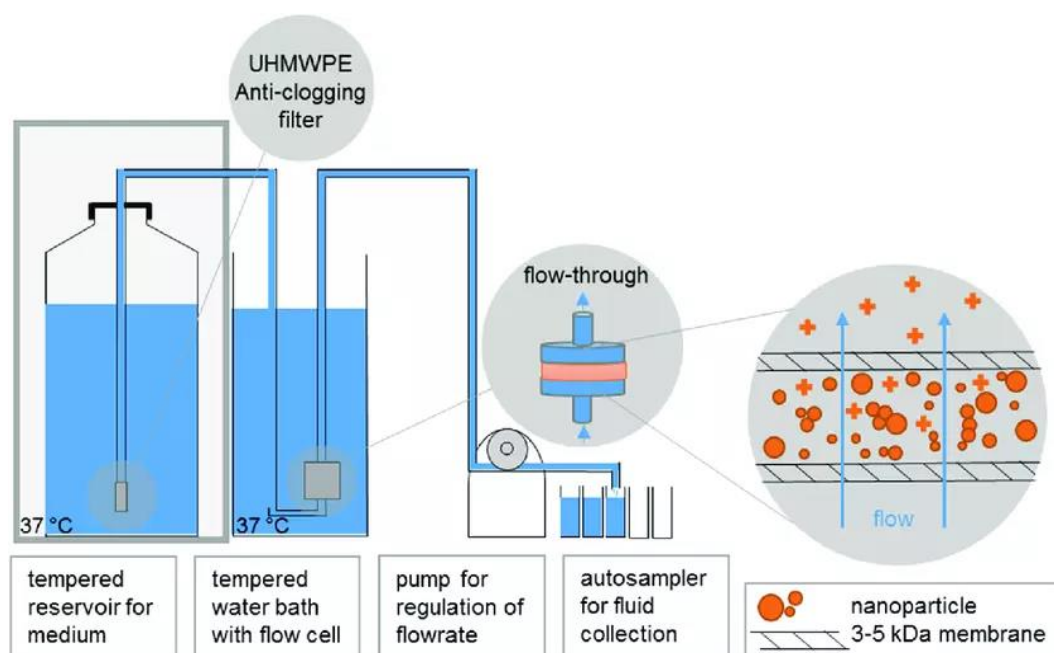


Figure 1. The experimental setup for flow-through testing (from Koltermann-Juelly et al. (2018)). (UHMWPE = Ultra High Molecular Weight Polyethylene)

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188 27. In principle that method can be adapted also to measure dissolution rate in
 189 environmental media. However, depending on the purpose test conditions might need to be
 190 adapted for difference in environmental compared to biological media. Apart from
 191 differences in test media composition, considerations for adaptation include applied test
 192 concentration, flow rates and test duration. For instance, for the determination of
 193 dissolution rate under environmental relevant conditions considerably different
 194 concentrations (e.g. media composition, temperature) and flow rates (first suggestion μg
 195 and 1 ml/min) should be used than those used for the dissolution testing in biological media
 196 (mg and 2 ml/h), thus saturation effects will hardly occur.

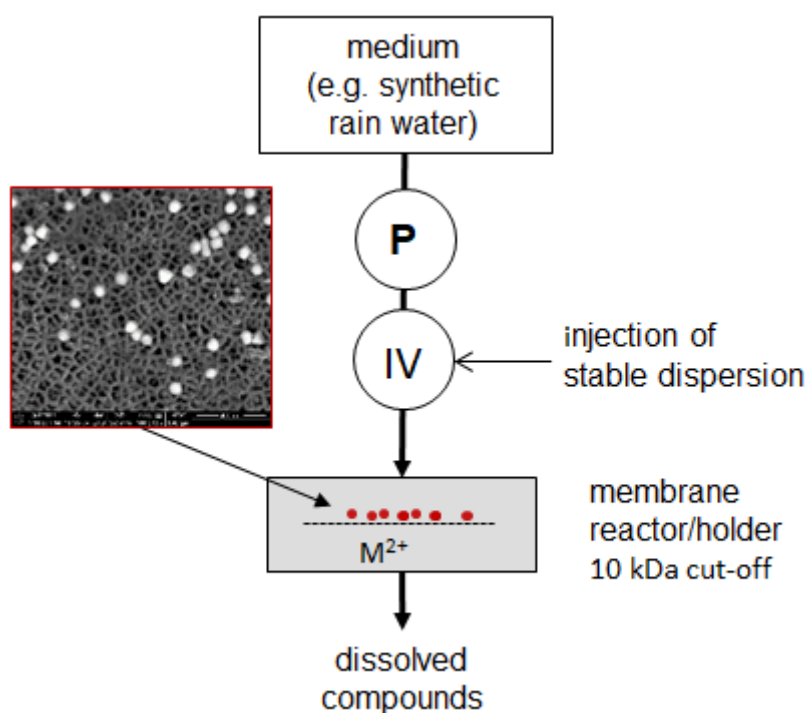
197 28. The dynamic dissolution test should mimic the condition in a natural water, where
 198 the nanomaterial is highly diluted and freely diffusing. The dissolution rate of intermediate
 199 and highly soluble materials is among other parameters controlled by the thickness of the
 200 boundary layer and the concentration gradient of dissolved ions in the boundary layer. For
 201 those nanomaterials the transport of ions away from the particle surface is a limiting factor.
 202 The flow rate in the experiment should ideally mimic those conditions. With 2 mL/h
 203 saturation effects have been observed with BaSO_4 , at 1 mL/min these effects are reduced
 204 but not totally prevented. Flow rate and test conditions can be in principle modify to mimic
 205 other specific environmental relevant conditions.

206 29. With current scientific knowledge and the co-dependence of the dissolution rate
 207 on solubility, thickness of boundary layer and specific surface area/particle size, exact cut
 208 off values for applying dynamic dissolution test cannot be given. From current experiences
 209 the dynamic test would be suggested if the solubility of the nanomaterial is between 0.1
 210 and 10 mg/L. This range can of course be broadened when test conditions as flow rate and
 211 amount of material in the test are adapted to the solubility of the material.

212 30. In addition, the test procedure can be adapted in such a way that the application
 213 of the investigated nanomaterial can be injected directly as a dispersion into the system at

214 a location between pump and a filter membrane. It is recommended to use a filter membrane
 215 of 3 kDa. The schematic figure representing the dynamic test system is presented in figure
 216 2.

217 31. Similar to the batch test, in principle the flow-through test procedure may also be
 218 applicable for testing of non-metal nanomaterials, but the analytical possibilities are still
 219 limiting these options.



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 221 Figure 2. A draft scheme for dynamic testing of dissolution rate (von Kammer 2018, personal
 222 communication, to be published soon). (P = pump, IV = Injection Valve).

223 2.3. Data evaluation and reporting from dissolution testing

224 32. The concentration of the dissolved fraction should be measured during the test
 225 and plotted versus time.

226 33. The result of the solubility test has to be expressed as mg/L of the ions formed as
 227 a consequence of dissolution. As the solubility depends on the starting concentration, also
 228 the starting concentration has to be reported. Expression solubility in % is discouraged as
 229 this is difficult to interpret and to compare with other data.

230 34. For most nanomaterials, dissolution follows a first order kinetics. As a result, the
 231 dissolution rate will not only depend on the dissolution rate constant and specific surface
 232 area, but also on mass. The relationship is:

233
$$\text{Dissolution rate} = \frac{dm}{dt} = -k_{\text{diss}} \cdot m$$

234 where m is mass, t is time, and k_{diss} is dissolution rate constant. Dissolution rate can be also
 235 expressed as:

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$$\text{Dissolution rate} = \frac{dm}{dt} = \left(D \cdot \frac{A}{h} \right) \cdot (c_s - c)$$

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where D is the diffusion coefficient of the dissolved species in the medium, A is the surface area of the nanomaterial, h is the thickness of the diffusion layer, c_s is the saturation concentration, and c is the starting concentration. The thickness of the diffusion layer will be dependent on the test condition e.g. if the nanomaterial is agitated during the test performance.

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35. Based on this dissolution rate of first order kinetics the dissolution halftime (when half of the nanomaterial is left and half is dissolved, respectively) can be estimated (for information on calculation see e.g. ISO 2017).

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36. Results on solubility and dissolution rate have to be reported together with test conditions like media composition, temperature, and test duration.

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3 Testing of dispersion stability with TG 318

249 37. OECD TG 318 (OECD 2017) describes a method for determining the
250 dispersibility and dispersion stability of nanomaterials in aqueous media of different, yet
251 environmentally relevant hydrochemistry. Prerequisite for the experimental approach was
252 to enable the investigation of the dispersion behaviour in a small number of relatively
253 simple tests within a time frame suitable for standard laboratory routine. The
254 hydrochemical conditions in the tests cover those parameters and parameter ranges which
255 are (a) representative for natural waters and (b) are recognized drivers for agglomeration
256 of nanomaterials within concentration range of the TG (Monikh et al. 2018). Hence the
257 composition of the test media resembles only those compounds in surface water that are
258 relevant for the agglomeration process and exist in a concentration range where they can
259 become relevant.

260 38. Dispersion stability as measured using TG 318 actually determines
261 homoagglomeration (attachment of nanomaterial to each other) under consideration of
262 environmental parameters which have a major influence on the dispersion stability of
263 nanomaterials over a fixed time-span of 6 hours. This enables a direct comparison of
264 nanomaterials with each other and how they will behave in test systems. For comparison
265 with media which differ in composition from the test media in TG 318, the agglomeration-
266 relevant compounds in the media should be compared. These are the concentrations of
267 divalent cations and anions, the pH, the concentration of natural organic matter.

268 39. The kinetics of the homoagglomeration processes are depending on the number
269 concentration of the nanomaterials and the progression of agglomeration. To be able to
270 directly compare results among different nanomaterials and also to finish the test over a
271 period of 6 hours, the starting concentration must be set to a fixed particle number
272 concentration. In this way the agglomeration process is almost independent of particle size
273 and density. Comparisons have shown that the starting concentration in particle number
274 should not vary more than one order of magnitude between different nanomaterials (i.e.
275 roughly plus or minus half an order of magnitude). To obtain the required mass
276 concentration of the nanomaterial, the mass concentration of the nanomaterial in the stock
277 dispersion has to be converted into particle number concentration by using the average
278 particle diameter and material density as described in TG 318. It is acknowledged that,
279 especially for broad distributed nanomaterials, the average particle size will not convert
280 correctly into the particle number concentration, however, the influence on the test outcome
281 appears to be small (order of magnitude accuracy required) so that the additional effort for
282 precisely determining the particle size distribution and considering it in the number
283 calculation appears not necessary. However, if precise data on the particle size distribution
284 are available, it is advised to use this information with broad distributed samples. An
285 example is presented in figure 3, where experimental data over the test period of 6 hours
286 for TiO₂ NM105 nanomaterial under stable (0 mM Ca(NO₃)₂) and destabilizing (5 mM
287 Ca(NO₃)₂) conditions and with two different starting particle number concentrations are
288 shown.

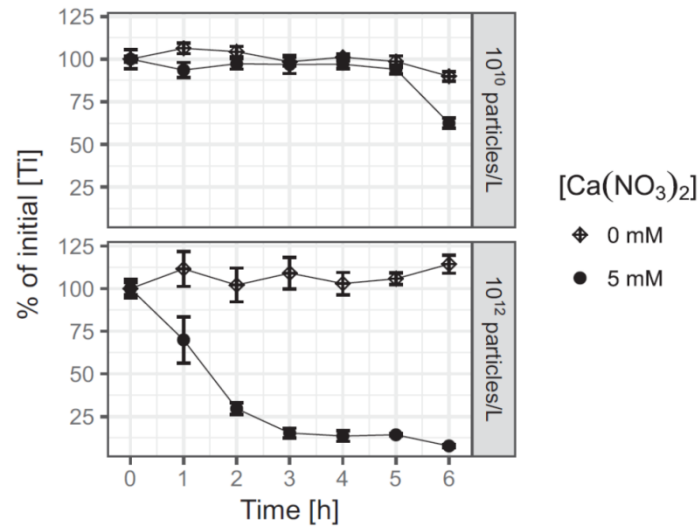


Figure 3. Experimental data over the test period of 6 h for TiO₂ NM105 nanomaterials under stable (0 mM Ca(NO₃)₂) and destabilizing (5 mM Ca(NO₃)₂) conditions and two different starting particle number concentrations (Monikh et al. 2018).

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40. With progressing agglomeration and sedimentation of the agglomerates, the concentration in the supernatant will decrease over time. The sedimentation velocity, and with this also the rate of decrease of nanomaterial, in the supernatant is depending on many factors, e.g. the density of the primary particles, the apparent density of the formed agglomerate, the structure of the agglomerate, the surface chemistry, and how the water flows around or through the agglomerate. To eliminate at least the effect of density, the last step after 6 hours is a centrifugation step where the run conditions of the centrifugation are set to achieve a size cut-off at $> 1 \mu\text{m}$. TG 318 describes how to calculate the centrifugation conditions. After the centrifugation step, the remaining concentration of the nanomaterials in the supernatant of the dispersion is analysed. The centrifugation step after 6 hours is best suited to compare different materials with each other, while the hourly measurements between 1 to 5 h show the behaviour of the material in a water column.

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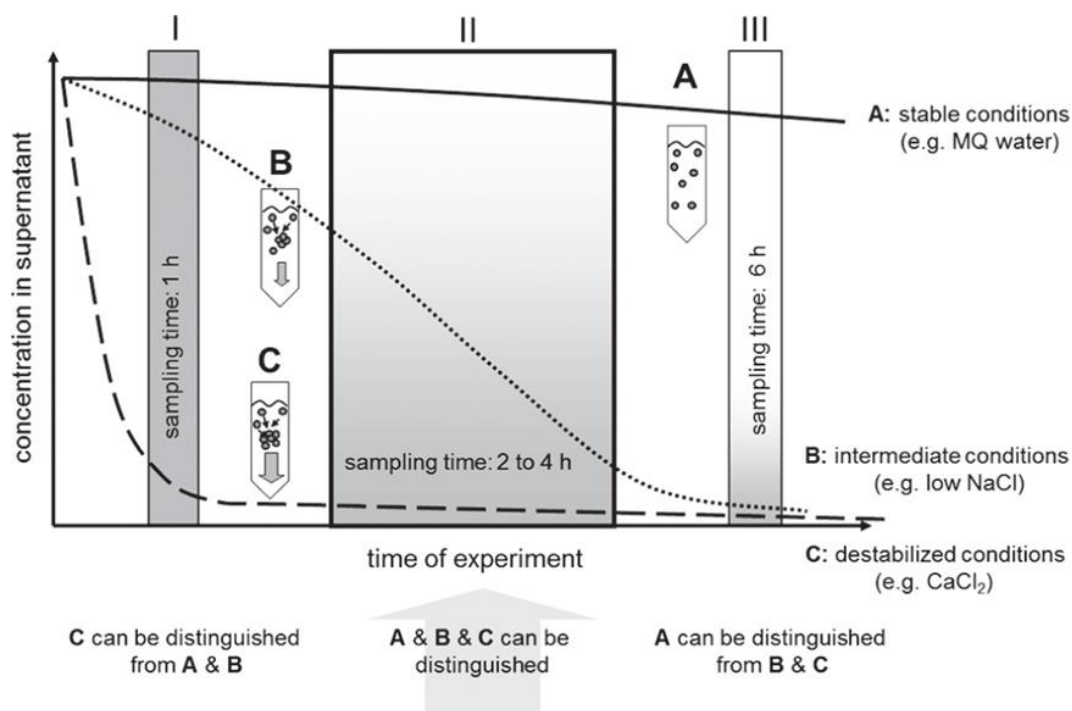
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41. Apart from the intrinsic properties of the nanomaterial, the composition of the medium is the driver for the stabilisation or destabilisation of the dispersions. Therefore, the test considers the concentrations of electrolytes that are dominating this process and sufficiently abundant in natural waters to become relevant in the process, i.e. divalent ions, natural dissolved organic matter and pH. The concentrations of these compounds were set to represent about 95% of the conditions found in natural waters. See figure 4 for visualization of effects of different experimental conditions and various effects of different components on dispersion stability in Table 1.



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 314 Figure 4. A general visualization of effect in different experimental conditions and how this can
 315 be used to differentiate between suspensions of A high, B intermediate and C low
 316 stability. (Monikh et al. 2018)

317 Table 1. Role and effect of the selected components in the synthetic water (Monikh et al. 2018)

compound	represents	effect	effect strength	abundance
Na ⁺	monovalent cations not included	destabilisation	low	medium
Ca ²⁺	divalent cations	destabilisation stabilisation when adsorbing to surfaces of positively charged materials	medium	high
Mg ²⁺	divalent cations less complexed by NOM	destabilisation relevant when NOM is high and complexes Ca ²⁺	low	low
NO ₃ ⁻	monovalent anions	destabilisation non-adsorbing non-complexing	low	low
SO ₄ ²⁻	divalent cations	destabilisation, especially positively charged particles	medium	medium
Al ³⁺	trivalent cations not included	destabilisation	high	very low
SR-NOM	dissolved organic matter	stabilisation through surface adsorption with high electrostatic (negative) and steric effect charge reversal (positive to negative) through complexation of destabilising cations destabilising when adsorption to positive surfaces reduces net charge of surfaces	high	medium

318 42. A decision tree is presented in the TG 318 to determine whether the nanomaterial
 319 of interest requires only a screening procedure or if an in-depth testing has to be performed.
 320 The decision tree allows nanomaterials to be categorised as generally stable dispersible
 321 under all test conditions, non-dispersible or dispersible depending on the hydrochemical

322 conditions. The screening test is performed in the presence of natural organic matter, which
323 will stabilise most nanomaterials against agglomeration. This will put many nanomaterials
324 into the category “dispersible, no detailed testing in TG 318 necessary”.

325 43. The NOM added to the test vial has three roles in the test: (a) it acts as a pH buffer
326 stabilizing the adjusted pH, especially at pH 9, (b) it complexes Ca^{2+} and reduces thereby
327 the activity of the destabilising Ca^{2+} ion, (c) it adsorbs to the surface of the nanomaterial
328 and adds to the negative charge density, thereby reducing the net positive charge that can
329 lead to destabilisation. If present in sufficient amounts, it eventually may reverse the charge
330 to negative and can increase the magnitude of the negative charge density. The amount of
331 natural organic matter to be used is standardized to 10 mg/L DOC in 40 mL (400 μg DOC)
332 and a calculation tool for the required minimum DOC is provided in the TG318.

333 44. Applicability of TG 318 for different nanomaterial types should be considered
334 based on the available data on similar or resembling nanomaterials, e.g. based on their
335 shape and size (spherical, rod, platelets, fibre-like). Three considerations are important to
336 judge a priori if the test can be applied to a nanomaterial: (a) the nanomaterials density
337 must be $> 1 \text{ g/cm}^3$, (b) the mass concentration calculated for the required particle number
338 concentration must be at least 10 times above the detection limit of the analytical method,
339 (c) an analytical method with sufficient sensitivity for the nanomaterial or an equally
340 distributed component is required. The requirement (b) stems from the consideration that
341 even with 90% removal from the water column the nanomaterial should still be quantifiable
342 in the supernatant. Especially for small, low density particles, the mass concentration
343 originating from the recommended number concentration might challenge the ICP-OES
344 based methods and at some point also routinely operated ICP-MS methods. The required
345 detection limit (10% of initial concentration) for a SiO_2 -NP of 15 nm would be $\sim 0.2 \mu\text{g/L}$
346 (Si).

347 45. Regarding the analytical approach to quantify the nanomaterials in the
348 supernatant in principle any method/instrument can be applied which offers the required
349 detection limits for the nanomaterial or a component of it.

350 **3.1. Data presentation and evaluation for TG 318**

351 46. When the dispersion stability of a nanomaterial is tested according to TG 318
352 either the screening test is sufficient or a full testing is required.

353 47. There are various ways to present the retrieved data for the screening test or to
354 visualize the influence of electrolyte concentration, pH, and presence of NOM. Illustrative
355 ways of data presentation from dispersion stability studies using TG 318 can be found in
356 figure 5, 6 and 7. The spreadsheet tool ¹together with this GD can help to visualize the data
357 in a more harmonised format

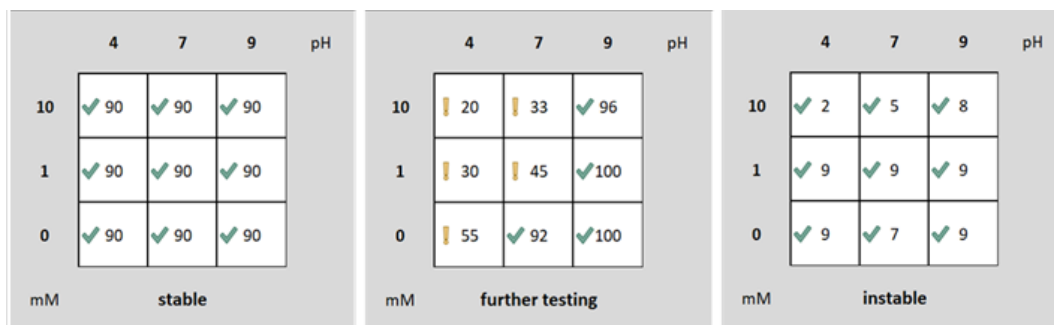
358 48. Figure 5 shows a schematic example on dispersion stability for results of the
359 screening test with the three possible outcomes according to the TG 318 decision tree.

360 49. In figure 6 the output of the tool for illustrating the full tests' results (following
361 Monikh et al. 2018) is presented. Here the results are presented in a three-dimensional
362 matrix considering various hydrochemical conditions and the resulting dispersion stability.
363 Higher colloidal stability (less agglomeration/sedimentation) is reflected by a higher

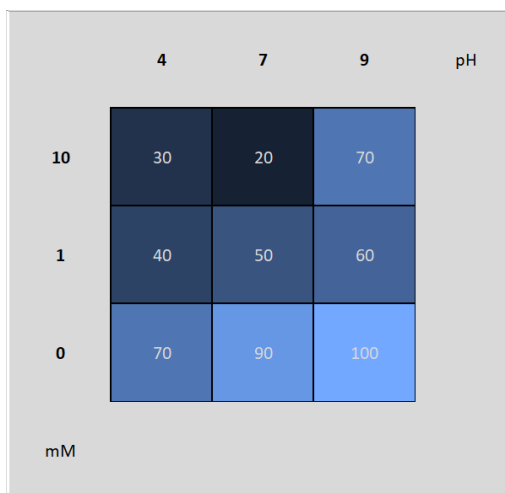
¹ The spreadsheet has been made available as a separate Excel document.

364 remaining concentration (brighter shade in the plots) in the dispersion relative to the
 365 starting concentration (0-100%).

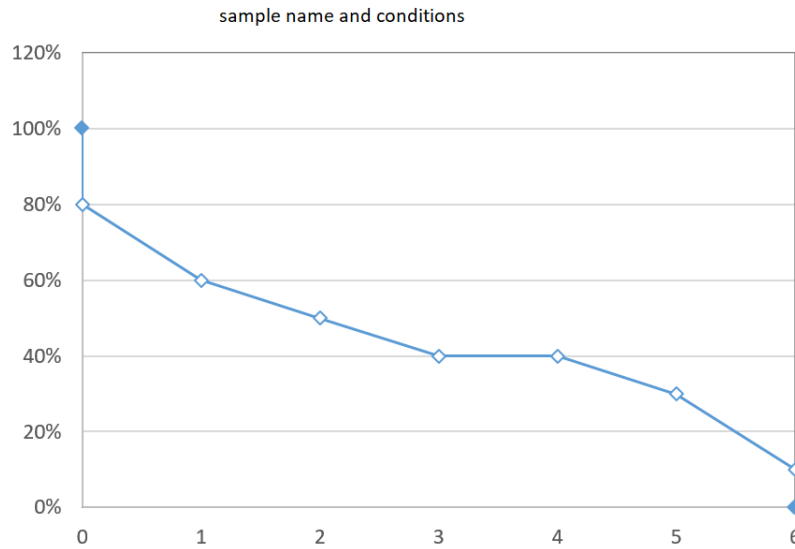
366 50. By means of the full test beside dispersion stability also information on the
 367 underlying processes leading to the removal from the water column can be elucidated.
 368 Figure 7 presents an example of a dispersion stability plot of the full test for one test
 369 condition where the dispersion stability measured at each hour is plotted over the time.
 370 Possible interpretation of removal processes based on the removal function are shown in
 371 Figure 8.



372
 373 Figure 5. Output from the spreadsheet tool for the screening test (The numbers in the boxes
 374 indicate the % of initial concentration left in the supernatant at the end of the test).
 375 Left panel: all tests are completed with ≥ 90 % of the nominal (initial) concentration
 376 left in the supernatant after 6 h (indicating high stability). Middle panel: some
 377 conditions lead to stable (≥ 90 %), some to intermediate stability (≥ 10 % and ≤ 90 %),
 378 further testing is required in a full test. Right panel: all conditions lead to ≥ 90 %
 379 sedimentation from the water column (≤ 10 % stability), indicating an unstable
 380 nanomaterial under tested conditions.

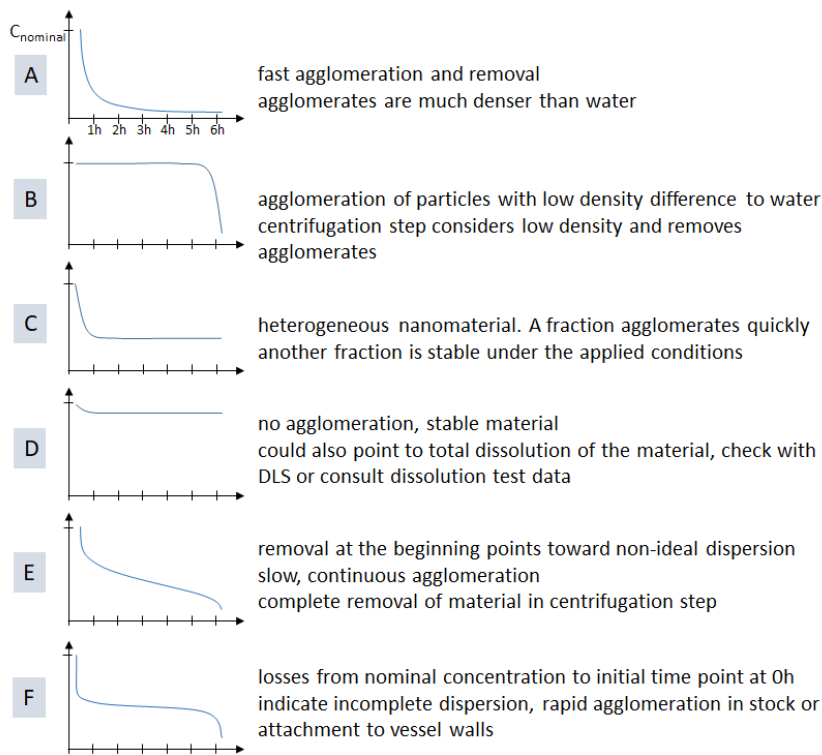


381
 382 Figure 6. Dispersion stability regarding different environmental conditions (electrolytes, pH).
 383 Higher stability (less agglomeration/sedimentation) is reflected by a higher remaining
 384 concentration (brighter shade in the plots) in the dispersion relative to the starting
 385 concentration (0-100%). The numbers in the boxes represent the remaining percentage
 386 of the nanomaterial in the dispersion, thus the dispersion stability in % . (from Monikh
 387 et al. 2018).



388
389
390

Figure 7. An example of dispersion stability plot of the full test for one (here not further specified) test condition.



391
392

Figure 8. Possible shapes of the removal function over time and suggested interpretations.

393

3.2. Alternative test conditions

394 51. TG 318 covers only synthetic waters that resemble the bandwidth of
395 agglomeration-relevant components found in surface waters. In principle TG 318 can also
396 be performed with other media than those used in TG 318, e.g. ecotoxicological test media.
397 When using any other test media it should be assured that the addition of the nanomaterial
398 to the medium does not significantly change media conditions, e.g. the pH. A good estimate
399 can be drawn from results of TG 318 with synthetic waters if the agglomeration-relevant
400 components of the test media (sum of divalent cations, sulphate) are comparable with
401 TG 318 conditions (the full test without NOM). When using alternative test conditions
402 compared to TG 318 it is of utmost importance to characterise and report the test media
403 compositions and conditions and also to compare those with test media compositions and
404 conditions of TG 318 for the data evaluation and interpretation. This will also enable
405 retrospective analysis of studies compared with new data produced in the future.

406 52. TG 318 can be used with natural waters to investigate the dispersion stability and
407 agglomeration behaviour in these waters. To prevent a situation where homo- and
408 heteroagglomeration takes place in an uncontrolled way, the water sample should be
409 filtered over a filter membrane with pore size equal or smaller than 0.1 µm or subjected to
410 ultracentrifugation to remove all sorts of natural particles, microorganisms, µm-sized
411 debris, colloids and nanoparticles from the sample. It should also be taken into account that
412 the obtained result is a (very precise) descriptive value for this one sample only, resembling
413 a unique and constantly changing situation regarding hydrochemical composition and type
414 and concentration of NOM. Whether it is possible to transfer this one result to the sampled
415 surface waterbody in general depends on the spatial and temporal variability of the
416 waterbody. If the unfiltered sample shall be tested with TG 318 the presence of natural
417 suspended particulate matter will make it necessary to apply the variant of TG 318 that
418 deals with heteroagglomeration (see section 3.3).

419 53. The transferability of the data from TG 318 studies to higher tier testing (e.g.
420 ecotoxicological studies) should be carefully evaluated as the used simulated
421 environmental media differs from ecotoxicological test media which aims to promote
422 animal vitality rather than to mimic natural habitats. When possible, it would be beneficial
423 to test the dispersion stability with TG 318 using the test media used in the ecotoxicological
424 test as recommended in the OECD draft GD for Aquatic (and Sediment) Toxicological
425 Testing of Nanomaterials (WNT project 2.51). However, in many ecotoxicological tests,
426 even in an algal test (TG 201), test media composition during the 72 hours test will change
427 by algal exudates and photosynthesis. This will result in pH shift, various ionic
428 compositions and different NOM characteristics that will result in changes in dispersion
429 stability.

430 54. NOM is a natural product with an enormous variability in structure, molecular
431 weight distribution, conformation, composition and purity. The type and quality of the used
432 NOM or even NOM in natural waters or test media with intrinsic NOM will have effects
433 on dispersion stability. This should be taken into account for data evaluation and in
434 estimations for environmental conditions. One has to distinguish between processed
435 commercial products resembling NOM or unprocessed natural NOM as part of a natural
436 water sample. The commercial products are more or less close to reality regarding their
437 properties and are more likely to enable repeatability of the results. In contrast, natural
438 NOM might trigger a behaviour of the nanomaterial that is linked to the composition of the
439 NOM, which might be very unique in time and space and not fully resemble the surface
440 water the NOM was sampled from. In TG 318 2R101N Suwannee River NOM (SRNOM)

441 is recommended as standardised and purified material. Due to differences in the
442 composition of NOM from different sources, it is difficult to compare results obtained by
443 the use of different types of NOM. Therefore, the alternatively used NOM should be
444 characterised as much as possible, at least the minimum DOC content after properly
445 cleaned from ions and ash should be determined. DOC content and treatment should be
446 reported together with the test results. It is advisable to always test the nanomaterial
447 according to the conditions presented in TG 318 in order to obtain comparative
448 “benchmark” data.

449 3.3. Testing of heteroagglomeration

450 55. The heteroagglomeration of nanomaterials (mainly engineered nanoparticles,
451 referred to as ENPs) with suspended particulate matter (SPM), which is ubiquitous in
452 natural surface waters, is a crucial process affecting the environmental transport and fate
453 of nanomaterials (Praetorius et al. 2012, Quik et al. 2014, Therezien 2014, Gao and Lowry
454 2018).

455 56. The need for consideration of heteroagglomeration as an aspect of nanomaterial
456 fate has been discussed already during the development of TG 318 on dispersion stability
457 (Baun et al. 2017). Due to the complexity and diversity of natural SPM and the possible
458 interaction mechanisms of nanomaterials with it, it seems impossible to decide on a
459 representative set of SPMs for standardisation on one hand, while on the other hand a
460 restricted number of SPMs could lead to disproportional uncertainty in the estimation of
461 (hetero)attachment efficiencies (see section 3.4). Furthermore, methods are not yet
462 progressed to develop a fully validated TG for heteroagglomeration testing.

463 57. Many studies report measurements of different endpoints reflecting
464 heteroagglomeration behaviour (e.g. agglomeration rate, sedimentation, attachment
465 efficiency) with diverse nanomaterials under various conditions (e.g. Praetorius et al 2014,
466 Labille et al 2015, Velzeboer et al. 2014, Huynh et al 2012, Quik et al. 2014, Geitner 2017,
467 Barton et al. 2014).

468 58. TG 318 is in principle fit for purpose to also investigate heteroagglomeration and
469 roughly estimate the attachment efficiency (α_{hetero} values). An important question of interest
470 is: What is the time frame (seconds-hours, days-weeks, months or more) we need to
471 consider until the majority of free nanomaterials has turned into SPM-attached
472 nanomaterials and therewith their transport regime (SPM attached nanomaterials are
473 transported like SPM) or bioavailability might be changed? In most cases an orders-of-
474 magnitude based category of α_{hetero} (e.g. “low”, “medium” and “high”) will be sufficient to
475 address this question. α_{hetero} can be used as an indicator for the expected half-life ($t_{1/2}$) of
476 free nanomaterials under certain conditions (e.g. SPM concentrations). An example for
477 CeO_2 is shown in table 2. In multimedia fate modelling a similar regime of sensitivity to
478 α_{hetero} for predicted environment concentrations is observed (Meesters et al. 2019). Thus,
479 based on the available scientific knowledge and methods, guidance for
480 heteroagglomeration testing and presentation of data can be provided. Necessary
481 considerations and modifications of the test setup include the following issues presented
482 below.

483 Table 2: Relationship of attachment efficiency (α_{hetero}) and expected half-life ($t_{1/2}$) of free
484 nanomaterials (5ppb CeO_2 , $d = 25\text{nm}$) in presence of 1-150ppm SPM.

heteroaggregation attachment efficiency	expected free ENP (5 ppb CeO ₂ , d =25 nm) half-life range for 150 ppm – 1 ppm SPM (d _n =1.5 μm, ρ =1.5 g/cm ³)
$\alpha_{\text{hetero}} \approx 0.1 - 1$	$t_{1/2} \approx \text{seconds} - 1 \text{ day}$
$\alpha_{\text{hetero}} \approx 0.01$	$t_{1/2} \approx \text{hours} - \text{days or (few) week(s)}$
$\alpha_{\text{hetero}} \approx 0.001$	$t_{1/2} \approx \text{day(s)} - \text{month(s)}$
$\alpha_{\text{hetero}} \approx 0.0001$	$t_{1/2} \approx \text{week(s)} - \text{(few) year(s)}$

485

486 59. The hydrochemical background conditions suggested in TG 318 with regards to
 487 electrolyte compositions and concentrations can be equally applied to heteroagglomeration,
 488 as the mechanistic principles are the same. It is however suggested to use the “alternative
 489 medium” including SO₄²⁻ to cover the effects of divalent anions on agglomeration,
 490 especially if one of the components (SPM or nanomaterials) is expected to display a
 491 positive surface charge.

492 60. Obviously, the introduction of a heteroagglomeration partner into the test system
 493 is required. Hence, selecting suitable SPM analogues is the first crucial step. Such
 494 analogues need to be stable (for the test duration), reproducible (among test runs)
 495 monodisperse and well characterised in terms of composition, size (size distribution), shape
 496 and density (to allow a good approximation of the number-based SPM concentration
 497 needed for estimation of α_{hetero} , see below). The options may range from very simple
 498 mineral analogues (e.g. quartz particles) up to the use of well-characterised natural samples
 499 (e.g. river waters or sewage sludge) and the choice of a relevant SPM type depends on the
 500 aim of the study.

501 61. For a general comparative assessment of nanomaterials with regards to their
 502 heteroagglomeration behaviour in freshwaters, a simple mineral analogue would be too
 503 simplistic, whereas natural water samples do not allow for generalisations. Therefore, in an
 504 EU project (NanoFASE) researchers are on the way to create a “model SPM” (complex
 505 flock-like SPM analogues that represent process-relevant characteristics of natural SPM)
 506 and a standard procedure for its production. These SPM flocks are composed of naturally
 507 occurring minerals, selected based on a trade-off between representing the dominant
 508 mineral mass fractions and covering a broad range of physicochemical surface properties
 509 (e.g. surface charge) in realistic mixing ratios, which are typically encountered in natural
 510 freshwater SPM. The mix includes quartz, illite, hematite and organic macromolecules
 511 associated with microbial activity. This could be one “standard SPM” to be used in the test,
 512 with regards to the requirements for suitable SPM analogues mentioned above.

513 62. In order to assess the fraction of “free”, not heteroagglomerated nanomaterials
 514 after a certain or several (necessary to estimate alpha values) specified interaction time(s),
 515 a separation step needs to be introduced. Separation should be fast and non-intrusive, to
 516 allow removal of the SPM-attached nanomaterials from suspension while minimising
 517 artefacts on the “free” nanomaterial fraction. That can be achieved by centrifugation at each
 518 time interval. Gravitational separability of nanomaterials and SPM hence becomes a
 519 prerequisite, meaning that the size and/or density of the SPM needs to exceed that of the
 520 tested nanomaterials, to an extent at which (at a selected centrifugation speed and time) the
 521 SPM will be removed from suspension, while free nanomaterials will not. This can be
 522 ensured by employing centrifugation cut-off calculations and verified by preliminary
 523 testing. If significant sedimentation of the SPM over the test duration is likely, agitation by
 524 shaking or stirring during the reaction time might be necessary. However, it cannot be ruled
 525 out that shaking and stirring affects the apparent rates of heteroagglomeration.

526 63. The selection of the nanomaterial mass concentration needs to be based on the
527 analytical limits in the matrix (as a rule of thumb, the quantification of remaining “free”
528 nanomaterials should be possible down to ~10 % of the initially added nanomaterials). One
529 can either quantify the elemental mass concentration or the particle number concentration
530 in the supernatant by ICP-MS (after digestion) or single particle ICP-MS, respectively.

531 64. The analytical limits define the minimum initial nanomaterial mass concentration
532 at the start of the experiment. However, homo- and heteroagglomeration kinetics are driven
533 by number concentrations or more precisely the number ratio of nanomaterials and
534 SPM(Labille et al. 2015); as well as the collision rate constant (which depends on the
535 ENP/SPM size/density and the shear rate (G) in the system, see equations 1 in chapter 3.4).
536 Taking the size²/density of nanomaterials and SPM as given, the mass-corresponding
537 number concentrations can be calculated assuming spherical shape. Since
538 heteroagglomeration is the process of interest, the selection of the SPM number
539 concentration and shear forces needs to ensure that simultaneously occurring
540 homoagglomeration³ remains negligible or is at least significantly dominated by
541 heteroagglomeration. Regarding shear forces, which are not allowed in the original TG318,
542 particles in the nm-size range are not affected by shear forces and diffusion is the dominant
543 transport mechanism (Elimelech 1995); meaning that homoagglomeration is independent
544 of G. Hence, optimisation of the test conditions to favour heteroagglomeration over
545 homoagglomeration can be based on model calculations (see equations 1 in chapter 3.4).
546 With the necessary nanomaterial number concentration given (based on analytical
547 limitations), modifying the SPM number concentration and G-value at “worst case”
548 conditions of α_{homo} (attachment efficiency based on homoagglomeration) and α_{hetero}
549 being 1, allows defining suitable conditions. An example is shown in figure 9, where
550 homoagglomeration of 5 ppb 30 nm CeO₂ is certainly dominated by heteroagglomeration
551 when 45 ppm SPM (d = 6 μm , $\rho = 1.5\text{g/cm}^3$) is used and stirring which effected an G =
552 100/s. Additionally, the model gives a first indication of the necessary temporal resolution.

553

² Note that e.g. light-scattering-based size measurements are biased towards larger sizes and applying such particle diameters may lead to a significant underestimation of the particle number.

³ Even if homoagglomeration takes place, homoagglomerates may be too small to be removed from suspension by centrifugation.

554

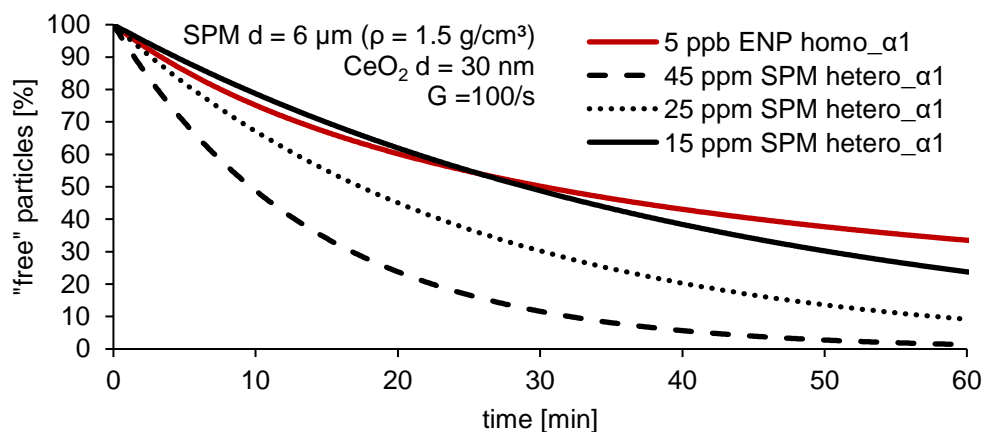


Figure 9. Model calculations to find suitable nanomaterial (ENP) to SPM number concentrations.

555

556 65. In order to support the model calculation and the assumption of negligible loss of
 557 nanomaterials other than by heteroagglomeration, an additional control test should be
 558 conducted. This is done using the same concentration of nanomaterials in the same
 559 background hydrochemistry (pH, electrolytes, NOM) but without any SPM. Sampling and
 560 sample treatment should be performed in the same way as for the heteroagglomeration test
 561 to measure free nanomaterial. Digesting the remaining samples (after centrifugation and
 562 sampling supernatant aliquots for free nanomaterial quantification) can serve to close the
 563 mass balance and account for losses to the tubes.

564 66. The test duration window needs to capture the nanomaterial removal over time,
 565 which depends on the expected α_{hetero} value, the nanomaterial and SPM number
 566 concentrations and collision frequency (equations 1 in chapter 3.4). Hence, the selected
 567 particle number concentrations, test-duration and the decision if agitation is necessary or
 568 not, can be optimised. . Model calculations may also serve to get an idea about the relevant
 569 reaction-time window to be investigated. The more complex and heterogeneous the chosen
 570 SPM, the more likely it seems that heteroagglomeration is a very fast process. Thus, it is
 571 recommended to aim for a high time-resolution of the initial agglomeration phase (e.g.
 572 every few minutes during the first 30 minutes of the test). Intervals can be steadily increased
 573 up to e.g. 6 hours and a final “stable” nanomaterial fraction can be determined after e.g. 24
 574 hours.

575 3.4. Data evaluation and derivation of attachment efficiency

576 67. Attachment efficiencies, beside the dissolution rate constant, are considered an
 577 important parameter for exposure assessment of nanomaterials. During the last years
 578 scientists developed fate models for nanomaterials that use attachment efficiencies as input
 579 parameters in environmental fate models to predict nanomaterial concentrations in
 580 environmental compartments. Such predicted environmental concentrations (PECs) will
 581 contribute to nanomaterials risk assessment.

582 68. Based on Smoluchowski's agglomeration theory (Elimelech 1995), the
 583 heteroagglomeration attachment efficiency (depicted as α_{hetero}) can be defined as the
 584 fraction of nanomaterial-SPM collisions resulting in nanomaterial attachment to SPM.
 585 α_{hetero} can take values from 0 to 1 to modulate the collision rate constant between
 586 nanomaterials and SPM reflecting their physicochemical surface affinity for each other
 587 (under the respective hydrochemical conditions). Assuming irreversible attachment, both
 588 can be calculated according to equation 1. With a constant number of SPM,
 589 heteroagglomeration turns out to be a pseudo first-order reaction (n_{SPM} can be included into
 590 k_{het}^*) (Praetorius et al. 2012). The collision rate constant (k_{coll}) combines the three modes
 591 of transport of nanomaterials and SPM towards each other (diffusion, differential
 592 sedimentation and advection), assuming spherical ENP/SPM shape and rectilinear
 593 collisions (Praetorius et al. 2012). Transport by advection is incorporated employing the
 594 shear rate or the velocity gradient (G) introduced by Camp and Stein (1943) for stirred
 595 vessels. It is defined as the square root of the energy (e.g. added by stirring) dissipated by
 596 turbulence per unit time, accounting for the volume and viscosity of the considered fluid.
 597 The sensitivity of the system to changes in shear is relatively low compared to other
 598 parameters⁴. To avoid sedimentation, we suggest a value of G around 40-100 s^{-1} for a stirred
 599 batch system. Shear rates in rivers are typically $> 10 \text{ s}^{-1}$ (Arvidsson et al. 2011).

600

601

602

Equations 1

$$\frac{dn_{\text{ENP}}}{dt} = -k_{\text{het}} n_{\text{ENP}} n_{\text{SPM}}$$

$k_{\text{het}} = -\alpha_{\text{het}} k_{\text{coll}}$

$$k_{\text{coll}} = \frac{2kT}{3\mu} \frac{(r_{\text{SPM}} + r_{\text{ENP}})^2}{r_{\text{SPM}} r_{\text{ENP}}} + \frac{4}{3} G (r_{\text{SPM}} + r_{\text{ENP}})^3 + \pi (r_{\text{SPM}} + r_{\text{ENP}})^2 |u_{\text{SPM}}$$

$$u_{\text{SPM}; \text{ENP}} = \frac{2 \rho_{\text{SPM}; \text{ENP}} - \rho_{\text{L}}}{9 \mu} g r_{\text{SPM}; \text{ENP}}^2$$

$$\bar{G} = \sqrt{\frac{\bar{\epsilon}}{\nu}} = \sqrt{\frac{P}{\mu V}}$$

k : Boltzmann's constant [$\text{m}^2\text{kg/s}^2\text{K}$]
 T : absolute temperature [K]
 μ : dynamic viscosity of dispersion medium [Ns/m^2]
 r : particle/aggregate radius [m]
 G : shear rate (velocity gradient) [s^{-1}]
 g : gravity acceleration [m/s^2]
 ρ : density of particles [kg/m^3]

ρ_{L} : density of liquid [kg/m^3]
 $u_{\text{SPM}; \text{ENP}}$: settling velocity of SPM or nanomaterials [m/s]
 ϵ : power input per unit mass [Nm/skg]
 ν : kinematic viscosity (μ/ρ_{L}) [m^2/s]
 P : power dissipated by liquid turbulence [W]
 V : volume of liquid [m^3]

603

⁴ For the model calculation above, a quite wide range of G (shear rate) from 50/s to 150/s would change alpha-hetero by a factor of max. ~2.4 (e.g. from alpha 1 to 0.42).

604 69. These equations may on the one hand be used to design the tests (SPM and
 605 nanomaterial number concentrations, test duration and time resolution, see above) and on
 606 the other hand to approximate α_{hetero} . With heteroagglomeration being a pseudo first-order
 607 reaction (in the early aggregation phase), the natural logarithm of the particle concentration
 608 plotted over time will give a linear relation (see example in Figure 10). The slope equals
 609 the pseudo first-order reaction rate ($k_{\text{het}}^* = -\alpha_{\text{het}} n_{\text{SPM}} k_{\text{coll}}$) and α_{hetero} can be
 610 calculated.

611

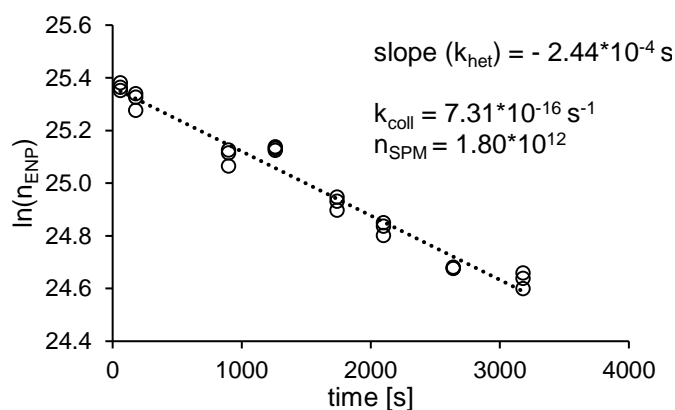


Figure 10. Pseudo first-order kinetics of heteroagglomeration

612

613

614 70. As there will be many collisions between nanomaterials and SPM, only
 615 nanomaterials with very low attachment efficiencies are likely to remain “free”. Many
 616 results (e.g. Praetorius et al. 2012) have shown alphas that result in almost immediate
 617 nanomaterial-SPM associations. The exception is, if the SPM number concentration is very
 618 low. In the latter case homoagglomeration will be dominant (see above). Assuming pseudo
 619 first-order kinetics, the half-life of free nanomaterials can be calculated ($t_{1/2} =$
 620 $\ln(2) / k_{\text{het}}^*$). Plotting the expected half-lives at given SPM/nanomaterial concentrations
 621 and shear rate, over a range of alpha-values employing three different SPM concentrations
 622 (Figure 11) shows that only starting from small alpha < 0.01 - 0.001 significant numbers of
 623 free nanomaterials will remain after just one day, except for very low SPM concentrations
 624 (5 ppm and lower).

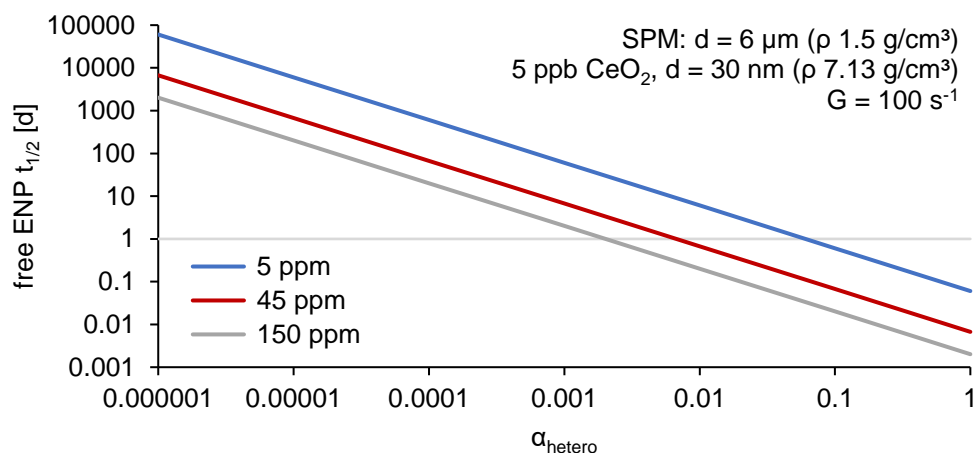


Figure 11. Relation of the pseudo first-order half-life of free nanomaterials (irreversible attachment) and α_{hetero} . The number of SPM (n_{SPM}) and k_{coll} were calculated with the given parameters assuming spherical shape.

625

626 71. Based on the considerations above, the test duration of 6 hours defined in TG 318
 627 will likely also work for heteroagglomeration. For conditions where heteroagglomeration
 628 is the dominant process, transport-relevant α_{hetero} values of 0.01 and lower are only to be
 629 expected if there is a significant fraction e.g. 10-20 % of nanomaterials remaining after 3
 630 (conservative) to 6 hours. With further experimental data providing proof of principle, this
 631 relationship between the order of magnitude of α_{hetero} and the test duration can be further
 632 refined.

633 72. A suggestion for a first tier assessment could be a two-point measurement at t_0
 634 and e.g. $t = 2$ h, to assess if there is a fraction of >10% of the nanomaterials left (as
 635 compared to t_0^5). If that is the case, there is a chance that α_{hetero} is < 0.01-0.001 and a kinetic
 636 study should be conducted. Based on such an approach a comparison of different
 637 nanomaterials tested under the same conditions, analogously to the homoagglomeration
 638 test (TG 318), can be established e.g. less than 10% left after 2 h (= fast
 639 heteroagglomeration: $\alpha > 0.1$ and $t_{1/2} < 1$ d expected), more than 10% left after 2 h
 640 (intermediate heteroagglomeration: kinetic study and α determination). A next useful
 641 step could be a determination of a “final” stable fraction (e.g. after 24 h). Studies found that
 642 removal of nanomaterials can reach a plateau (Quik et al. 2012 and 2014), which is likely
 643 a sub-fraction of the added nanomaterials that either had different intrinsic surface

⁵ A comparison to t_0 might be substituted by a comparison to a “homoagglomeration” control (containing the same amount of nanomaterials with the same background hydrochemistry and DOM concentration, but without SPM). However, using the t_0 -heteroagglomeration concentration as reference for comparison ensures that, at both time points, the same fraction of free nanomaterials was removed by screening-effects (SPM physically screening and thus removing nanomaterials during centrifugation). This helps to avoid a misinterpretation of a screening-induced removal of nanomaterials from suspension as a heteroaggregation-induced removal. However, if heteroagglomeration is very fast, a homoagglomeration control might provide a more reliable initial concentration (but only if the screening effect can be considered negligible, e.g. when very low SPM concentrations are used).

644 properties, or was permanently stabilized by the testing-matrix (e.g. NOM). This would be
645 the very stable fraction that is unlikely to undergo heteroagglomeration on the long run and
646 shall to be considered “free” nanomaterials when it comes to transport regimes.

647 4 Use of data generated on dissolution testing and dispersion stability for 648 further testing and assessment strategies

649 73. Both dispersion stability and dissolution (rate) are considered to be endpoints of
650 major importance for describing environmental behaviour and fate of nanomaterials. Thus,
651 for fate assessment as well as for exposure assessment both endpoints need to be taken into
652 account, and they will also be key information for ecotoxicity testing.

653 74. While TG 318 for dispersion stability has been published (OECD, 2017),
654 currently no OECD TG on solubility and dissolution rate of nanomaterials is available.
655 However, existing guidance documents like the GD 29 (OECD 2001) may provide a basic
656 orientation (see Chapter 2). Other TG and GDs as well as ongoing WNT projects are also
657 dealing with areas of dissolution (see Chapter 2 and Chapter 6).

658 75. There is not only one single optimal strategy on how to determine solubility,
659 dissolution, and dispersion stability. The starting nanomaterial concentrations, the media
660 composition, and timeframe that is relevant to use will always depend on the purpose and
661 objective of testing (see Section 4.1). Likewise, the testing strategy employed depends on
662 whether the data is needed to inform consideration of what further ecotoxicity testing is
663 needed, as input data for modelling of environmental fate, or as basic data on environmental
664 behaviour (see Section 4.2).

665 76. Validity and correct interpretation of dispersion stability, and dissolution rate or
666 solubility measurements are interdependent. For instance, the measurement of dissolution
667 in an unstable suspension where agglomeration and sedimentation has occurred will
668 underestimate dissolution (as agglomerated/precipitated material will have less surface
669 available for dissolution). Measurement of dispersion stability based on remaining
670 particulate fraction where dissolution has occurred will underestimate dispersion stability
671 as any nanomaterial missing through dissolution will be interpreted as precipitated. Further
672 situations where such caution must be shown when interpreting the data and where both
673 types of data must be viewed in concert are highlighted with some outline application
674 examples in Section 4.3.

675 4.1. Purpose

676 77. Dissolution (rate) and dispersion stability are relevant triggers needed for the
677 safety assessment of nanomaterials. Regulatory information requirements for solubility,
678 dissolution rate and dispersion stability of nanomaterials exist in some chemical legislation
679 such as REACH (EU 2018). These parameters are also relevant as triggers for further
680 assessment strategies and decisions. Giving the current worldwide development in
681 chemicals regulations towards specific provisions for nanomaterials (e.g. US EPA, 2016),
682 it is anticipated that other chemicals legislations meet the same challenges.

683 78. The understanding of environmental fate and behaviour of nanomaterials is one
684 of the key aspects for environmental exposure assessment. Furthermore, it supports the
685 knowledge about uptake by, interaction with, and effects on environmental organisms.
686 Therefore, dissolution, (hetero-) agglomeration and sedimentation are important drivers for
687 environmental fate, behaviour and effects of nanomaterials.

688 79. Furthermore, given the numerous manufactured nanomaterials already on the
689 market and many more expected in future, the effort for the individual investigation of
690 hazard and risk would be enormous and virtually impossible. To overcome this challenge,
691 grouping- and analogy approaches of nanomaterials has been identified as one critical issue
692 by OECD (OECD 2016). Grouping and read-across approaches are already established for
693 chemical substances to meet regulatory data requirements by deviation from the
694 requirements of performing standard test for years (OECD 2007, 2014c). The aim of these
695 approaches is to predict the physico-chemical, toxicological, and fate-related properties of
696 chemical substances based on structural similarities. If sufficient evidence for similarity is
697 available between two chemical forms, then it should be possible to transfer available data
698 on the fate or hazard of one chemical substance to another one. These approaches are
699 intended to reduce the amount of testing necessary to determine the behaviour and effects
700 of all individual members of a group.

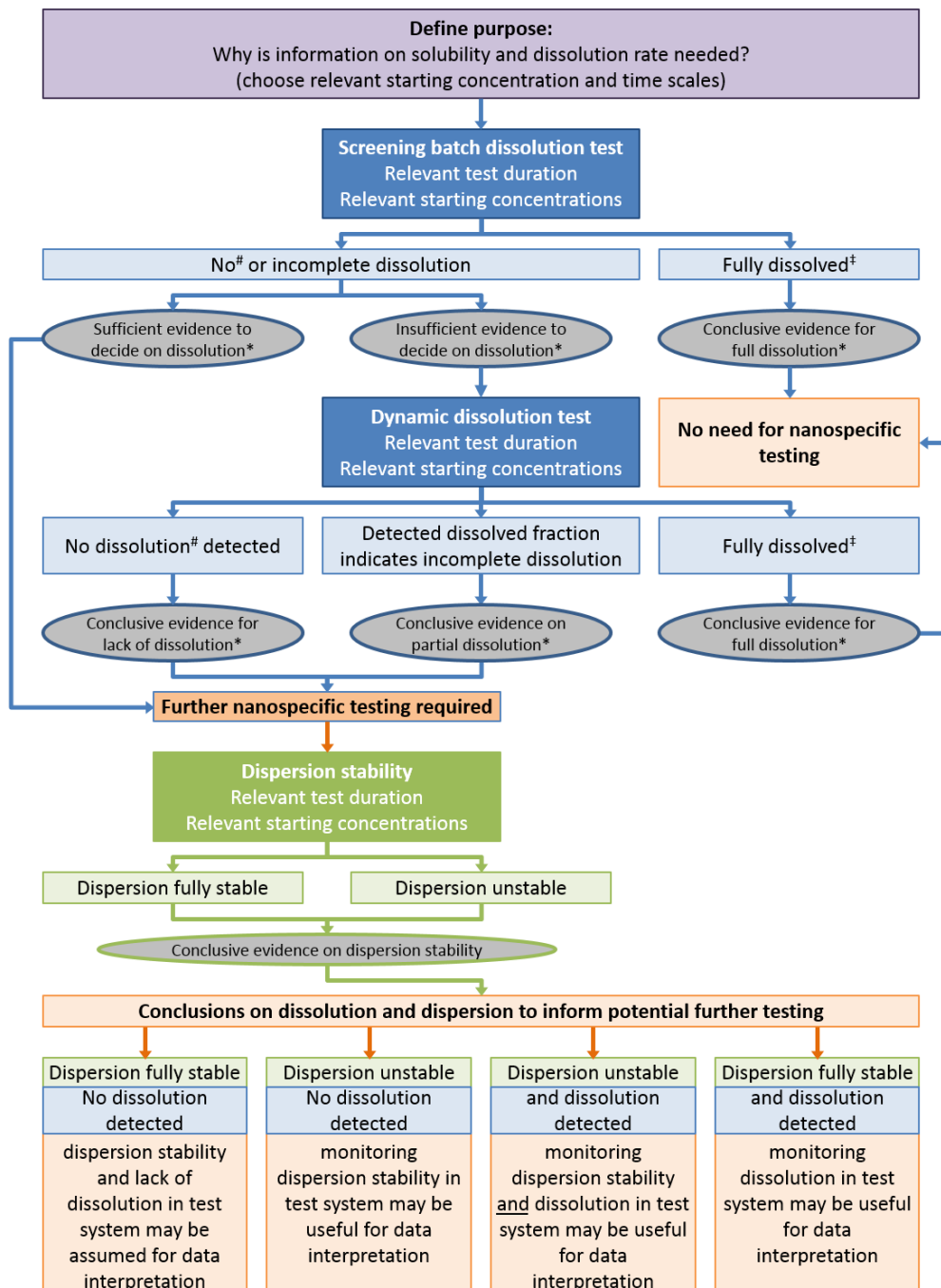
701 80. Grouping and read across based on molecular structural similarity alone is not
702 sufficient for nanomaterials, as nanomaterials of the same chemical composition but
703 deviating in physical-chemical parameters can show differences in effects and behaviour.
704 To allow an adequate assessment of nanomaterials using grouping and read-across further
705 parameters are necessary to justify such an approach. In that context, beside chemical
706 composition, parameters like morphology, surface properties, and shape as well as
707 reactivity, and fate descriptors like dissolution rate or dispersion stability in relevant media
708 are discussed for consideration (e.g. ECHA Guidance R6.1 (ECHA 2017)).

709 81. TG 318 for dispersion stability and the available methods for solubility and
710 dissolution rate can address these very endpoints and might be used to compare similarity
711 or differences of nanomaterials regarding these endpoints at given conditions and thus
712 support the development of grouping and analogue approaches for nanomaterials as part of
713 integrated testing and assessment approaches (IATAs).

714 82. For further (hazard) testing knowledge on dispersion stability and dissolution
715 behaviour can inform on the test set-up and necessary measurements for exposure
716 characterisation during the testing. Furthermore, knowledge on dispersion stability and
717 dissolution behaviour in a test system can facilitate better interpretation of test results (e.g.
718 whether effects seen can be attributed to nanomaterials or free ions only).

719 4.2. Testing strategy

720 83. Based on the purpose of testing information from solubility, dissolution rate and
721 dispersion stability testing can inform a further testing strategy. The suggested approach to
722 do so is outlined in Figure 12. The approach starts with a screening batch test on dissolution
723 followed by a dynamic dissolution test if needed. The approach furthermore includes the
724 testing of dispersion stability for nanomaterials that do not fully dissolve within a relevant
725 time scale. This approach also provides further information to guide on the effort needed
726 for appropriate analytics in further testing.



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Figure 12. Testing strategy building on dissolution and dispersion stability data. (Legend: # below detection limit; ‡ all relevant mass in dissolved fraction; * in relevant time scale)

84. Depending on the nanomaterial under investigation and the objective of the testing, appropriate starting concentrations and test duration need to be chosen for the initial screening dissolution batch test. When addressing triggers for safety assessment and decision making for further testing, there might be the need to test concentrations which are beyond environmental realism. Therefore, it is advisable to test the nanomaterials with

736 test conditions of TG 318 and the upcoming TG for dissolution, respectively, to gain
737 comparable and reliable data. Furthermore, it might be challenging to analytically verify
738 test concentrations under (mimicked) realistic environmental conditions.

739 85. In the case in which the batch test results in conclusive evidence for full
740 dissolution (all relevant mass in dissolved fraction) of the investigated nanomaterial in a
741 relevant time scale, further dissolution testing by dynamic methods might not be needed.
742 In this case also refrainment from nanospecific testing can be considered. For
743 nanomaterials that show incomplete dissolution or dissolution below detection limit, it
744 should be decided if the information from the batch test is sufficiently evident to decide on
745 dissolution in respect of the purpose of testing. For example, information on dissolution
746 based on the batch test could provide sufficient supplementary information for a subsequent
747 ecotoxicity test. In contrast, information from batch test might be insufficient to e.g. inform
748 exposure relevant testing regimes in which dissolution against an infinitive sink is of
749 interest.

750 86. In case information from the batch test is sufficient to conclude on the dissolution
751 of the investigated nanomaterial one could directly proceed with further nanospecific
752 testing. If the information from the batch test is insufficient, testing of dissolution with a
753 dynamic method should be considered. For nanomaterials that do not fully dissolve by a
754 dynamic dissolution test within a relevant time scale, again further nanospecific testing is
755 needed. For further nanospecific testing a first suggested step is testing of dispersion
756 stability.

757 87. For testing dispersion stability, it is recommended to use OECD TG 318 as it is
758 described. Deviation from test conditions might be possible in order to inform higher tier
759 testing. In the latter case it is suggested to choose appropriate test duration and
760 concentration based on the objective. Information from dispersion stability testing can
761 provide an indication about the analytic needs (monitoring of dissolved fraction,
762 monitoring of dispersion stability) to be performed for or during potential further
763 nanospecific testing.

764 88. In addition, results from solubility, dissolution rate and dispersion stability testing
765 can provide information to facilitate the choice of appropriate test performance of potential
766 further testing (e.g. frequency of water renewal rate, (semi)static vs. flow through test
767 conditions) to maintain stable conditions.

768 **4.3. Points to consider when applying information on dispersion stability** 769 **and dissolution for potential further testing**

770 89. The methods presented in this guidance document will provide relevant data on
771 solubility, dissolution and dispersion stability. Moreover, in principle these methods can
772 also be performed with test media (e.g. natural water, ecotoxicological test media)
773 differently compared to the ones presented in the respective test guideline or recommended
774 here. These endpoints are influenced by extrinsic and intrinsic properties of the
775 nanomaterial in question and therewith results can differ depending on nanomaterial
776 modification and the test media used.

777 90. Hence, at the same time the repeatability, reliability, and robustness should be
778 taken into account as much as possible. When using alternative test conditions, it is
779 prerequisite to characterise and report the test media conditions to enable data evaluation

780 and interpretation of data (and consider simultaneous testing). This will also enable
781 retrospective analysis of studies compared with new data produced in the future.

782 91. While information from these methods might be directly applicable for exposure
783 estimation, conclusions for a further testing strategy on hazard should be considered
784 carefully. The relevance of the information as well as the decision how to consider data
785 generated on these endpoints for further testing will always depend on the individual
786 question and purpose of testing.

787 92. Solubility and dissolution rate inform about in which state (particulate,
788 completely or partly dissolved) a nanomaterial is present under respective conditions.
789 Regarding solubility and dissolution rate the main question is when it is possible to refrain
790 from nanospecific considerations in hazard assessment (i.e. when dissolution is considered
791 complete and fast enough). For an appropriate decision on the relevant hazard assessment,
792 various aspects need to be considered like the regarded nanomaterial (e.g. chemical
793 composition), endpoint under investigation (e.g. long term vs. acute testing), and test
794 performance (e.g. media type, water renewal frequency). In sense of an aquatic hazard
795 endpoint it might be possible to refrain from nanospecific testing, if the nanomaterial under
796 investigation fully dissolves at the highest corresponding test concentration of the
797 respective ecotoxicity test within 24 h. For such cases dissolution screening testing in the
798 relevant media is needed to investigate dissolution within 24 h. The rationale for this
799 timeframe of investigating dissolution is based on test durations of many short term tests
800 and suggested water renewal frequencies in most (semi-)static tests which usually cover 24
801 h. In such cases, the contribution of the particulate form to the eco-toxicological impact
802 will very likely be not decisive.

803 93. OECD TG 318 provides information on how stable a nanomaterial dispersion is
804 in aqueous media at given conditions. There is sufficient evidence that nanomaterials tend
805 to agglomerate and settle out of the water phase during sufficient long periods and thus
806 sediment, sludge and soil are expected to be the main environmental target components
807 (Hartmann et al. 2014). Thus, if nanomaterials show low stability in the water phase,
808 inclusion of data relating to the sediment or soil compartment into environmental risk
809 assessment of nanomaterials may become relevant.

810 94. However, agglomeration and sedimentation should not be equated per se with
811 lack of exposure in water column. For hazard testing, existing data show that agglomeration
812 and sedimentation do not necessarily prevent availability to the organisms in aquatic test
813 systems. Due to the permanently agitated test system used for testing algae toxicity, test
814 organisms also can be affected by nanomaterials unstable in the test dispersion. In addition,
815 unstable dispersed nanomaterials can be taken up by pelagic organisms like daphnia and
816 fish (Botha et al. 2016, Tan et al. 2016, Hund-Rinke et al. 2018, Johnston et al. 2010).
817 Nanomaterials furthermore can be available to deposit-feeders and filtering organisms
818 (Marie et al. 2014, Kühn et al. 2019). In case of ion releasing nanomaterials, released ions
819 might get back into the water phase, affecting test organisms while the particulate fraction
820 remains deposited at the bottom. Strictly speaking this may not be considered nanomaterial
821 toxicity, but by constantly replenishing the ion concentration in the water phase, the
822 particulate fraction strongly influences the outcomes of the test. Also under environmental
823 conditions it is conceivable, that deposited or heteroagglomerated nanomaterials are
824 consumed from biofilms, ground or plant surfaces or taken up via sediment or natural
825 suspended matter, respectively (Geitner et al. 2018, Perrier et al. 2018). The understanding
826 of bioavailability and uptake of the various nanomaterials by aquatic organisms is also still
827 far from complete and – besides dissolution and agglomeration – also depends on other

828 aspects of the nanomaterials like size, chemical composition, synthesis methods, or nature
829 of coating of the investigated nanomaterial (Lead et al. 2018).

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831 95. When using data from dispersion stability and dissolution testing for exposure
832 assessment it has to be taken into account that not only a singular but also a continuous
833 entry into the environment is of relevance. This can lead to a situation that even if a
834 nanomaterial dissolves relatively fast a mixed exposure of particulate and dissolved forms
835 can take place.

836 96. For nanomaterials that release ions or dissolve over a sufficient long period of
837 time, it is important to monitor in-depth the dissolution in the test system to inform on the
838 amounts of particulate and dissolved forms being present. It might be also of importance
839 to know how the particulate form changed in composition or size distribution. Changes in
840 composition might be relevant for e.g. nanomaterials that consists of various substances
841 (e.g. core-shell nanomaterials) with different dissolution behaviour or nanomaterials with
842 surface modification. Knowledge on changes in size distribution would provide details on
843 how size distribution changes (e.g. loss of smallest particle, decrease of particle size across
844 the distribution, formation of new particles) and might be relevant to decide on further
845 hazard and fate testing strategies.

846 97. The joint consideration of both endpoints determined by the TG 318 and available
847 methods on dissolution is of expedient importance as both endpoints strongly influence
848 each other and interpreting one in absence of the other is difficult. The dispersion state of
849 a nanomaterial will affect its dissolution, while dissolution of the nanomaterial will alter
850 the outcome of dispersion stability testing for dissolving nanomaterials.

851 98. Dissolution leads to an increase of ion concentration that in consequence can lead
852 to either an increased or a decreased dispersion stability. Furthermore, readily dissolution
853 can lead to a fade of particles during TG 318 performance. Therefore, care should be taken
854 when analysing and interpreting of results from TG 318 to not mistake instable dispersion
855 with dissolved nanomaterials. In TG 318 it is described that dissolution needs to be
856 evaluated during testing for ion releasing nanomaterials. On the other hand,
857 agglomeration/sedimentation will lead to a decrease in available surface, which in
858 consequence will result in a decrease in dissolution.

859 99. Next to (hetero-)agglomeration, sedimentation and dissolution, also potential
860 nanomaterial transformations (e.g. sulphidation, oxidation/reduction reactions and coating
861 transformations) influence the fate of nanomaterials in the environment and thus should be
862 considered when evaluating the data. Currently, in a foreseen WNT project 3.16 a GD on
863 transformation of nanomaterials in aquatic environmental media will be developed to
864 provide advice on ways to determine abiotic transformations of nanomaterials in the
865 environment.

5 Use of data on dispersion stability, solubility and dissolution rate in exposure modelling

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868 100. Both data from TG 318 and the currently available methods for determining
869 solubility and dissolution rate may support the derivation of appropriate input parameters
870 for exposure modelling of nanomaterials. As a starting point for environmental fate
871 modelling, basic data as collected using TG 318 and adapted test performance of GD 29
872 might be used as input for deriving screening level estimations of predicted environmental
873 concentrations (PECs), e.g. to compare potential exposure of various nanomaterials. For
874 refinement of such data, further testing like using dynamic test designs to determine
875 dissolution (rate) and/or alternative media (e.g. in order to determine heteroagglomeration
876 with TG 318) will help to improve the PEC estimations in various environmental
877 compartments.

5.1. Use of data on dissolution rate in exposure modelling

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879 101. Data on dissolution of nanomaterials is essential in environmental exposure to
880 enable distinction between the dissolved and the particulate form of a chemical or
881 nanomaterial. This distinction is also made in current environmental fate models for
882 nanomaterials, where dissolution is a process by which nanomaterials are transformed from
883 the particulate form to the dissolved form (Meesters et al. 2014, 2019). As discussed in
884 Chapter 3-2 dissolution data can be used to estimate the dissolution rate constant. This is
885 an input parameter in environmental fate models to calculate the predicted exposure
886 concentration. Assuming first order kinetics, the dissolution rate constant can be calculated
887 from experimental data as:

$$888 \quad k_{\text{diss}} = \frac{\ln\left(\frac{[\text{ENP}_0] - ([\text{M}^{n+}] - [\text{M}_0^{n+}])}{[\text{ENP}_0]}\right)}{t}$$

889 where $[\text{ENP}_0]$ is the nanomaterial concentration in mg/L at the start of the experiment
890 ($t = 0$), $[\text{M}^{n+}]$ is the ionic metal concentration in mg/L at time t , $[\text{M}_0^{n+}]$ is the ionic metal
891 concentration in mg/L at the start of the experiment ($t = 0$), (k_{diss}) is the dissolution rate
892 constant, and t is time of measurement.

893 102. As k_{diss} is only derived from ions appearing in solution over time, it is essential
894 that the measurement technique used enables a distinction of the ionic fraction from the
895 other metal fractions. It should be considered to use the ion concentration in the untreated
896 medium as $[\text{M}_0^{n+}]$ to ensure that this value is not influenced by ENP_0 . Some caution is
897 needed where rapid dissolution occurs at the beginning of the experiment, as this may result
898 in artificially low k_{diss} when measurements do not capture this (e.g. when measurements are
899 only available for 0, 24, and 48 hours).

6 Links to other relevant TGs and GDs

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6.1. WNT project 2.51: GD on Aquatic and Sediment Toxicological Testing of Nanomaterials

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903 103. Information on nanomaterial dissolution and dispersion stability should be used in
904 addition to the guidance given in the GD on aquatic (and sediment) toxicity testing of
905 nanomaterials (currently under development) in order to interpret the determined aquatic
906 and sediment toxicity data. In the present GD (Chapter 4) a testing strategy is suggested for
907 solubility, dissolution rate, and dispersion stability to inform about in which state
908 (particulate, completely or partly dissolved) a nanomaterial is present under conditions of
909 an ecotoxicity study and help to interpret test results regarding the contribution of dissolved
910 or undissolved fraction to the toxicity.

911 104. Information on dispersion stability helps to identify the main routes of exposure
912 within the test system (e.g. via water phase in case of stable dispersion, via possible dietary
913 exposure of unstable dispersion, via sediment).

914 105. In addition, results from solubility, dissolution rate and dispersion stability testing
915 can provide information to facilitate to decide on the analytic needs and the choice of
916 appropriate test performance of potential further testing (e.g. frequency of water renewal
917 rate, (semi)static vs. flow through test conditions) to maintain stable conditions.

918 106. Data on heteroagglomeration obtained using this GD may also support
919 interpretation of ecotoxicological data of standard test results. SPM of different forms are
920 present in high enough concentrations in some standard tests and can affect the
921 nanomaterial that organisms are exposed to. Attachment to fish feed, if it occurs,
922 determines how fish are exposed to nanomaterials, via gills or via the gut (Handy *et al.*,
923 2018). Extracellular polymers e.g. algae exudates may occur in standard aquatic tests and
924 heteroagglomeration of nanomaterials and such polymers affects the exposure and
925 consequent toxicity of nanomaterials to the organisms (Yang *et al.*, 2018).

926 107. Even though tests in soil systems are not included in the GD on aquatic and
927 sediment tests, the recommendations mentioned above also apply to soil organisms. Soil
928 pore water is expected to be an important exposure pathway of nanomaterials to soil
929 organisms. Heteroagglomeration and dissolution in soil pore water is therefore also
930 determining the toxicity to soil organisms. Attachment to inorganic particles such as clays
931 or iron oxides is likely in standard soils (Miglietta *et al.*, 2015) and determines whether
932 organisms are exposed to individual nanomaterials and/or to heteroagglomerated
933 nanomaterials, which may affect toxicity (Gupta *et al.*, 2017).

934 108. To broaden the significance of data on dispersion stability or dissolution for
935 interpretation of nanomaterial behaviour in ecotoxicity test media, the simulated
936 environmental media used in TG 318 and for dissolution testing may be amended to
937 determine the dispersion and dissolution behaviour directly in the relevant test media for
938 ecotoxicity testing.

939 109. Comparing information on dissolution (rate) and dispersion (stability) of different
940 nanoforms of a substance prior to ecotoxicity testing of those nanoforms, can support the
941 development of hypotheses on expected similar behaviour and thus may guide grouping
942 and read across.

6.2. WNT project 3.14: GD to support the use of TG312 (-Leaching in soil columns) for nanomaterial safety testing.

110. A GD on how to conduct column tests to determine transport of nanomaterials in porous media based on TG 312 (OECD, 2004) was being developed at the time of drafting and includes recommendations on how to calculate a global attachment efficiency (α_{global}) for a specific nanomaterial in a specific soil. This GD provides guidance on calculating the attachment efficiency for interaction between a specific nanomaterial and specific SPM (α_{SPM}).

111. It has to be noted that these attachment efficiencies are not the same, even if SPM material is used that is relevant for the specific soil studied. α_{global} is a lumped parameter grouping many different processes that reduce transport (and thus increase α_{global}) in soils (Baalousha et al., 2016). One of these processes may be heteroagglomeration with native SPM in the pore water, the rate quantification of which may occur as described in this GD.

6.3. WNT project 3.12: Assessing the Apparent Accumulation Potential of Nanomaterials during fish bioaccumulation studies

112. As described earlier, dispersion stability and ion dissolution will affect what the organisms are exposed to, both in standard tests as in realistic environments. Interpretation of bioaccumulation testing therefore likewise needs information on dispersion stability, heteroagglomeration and dissolution of the nanomaterial in question as, for instance, proposed for fish bioaccumulation testing (Handy et al. 2018).

113. A dissolution rate rather than solubility is needed for bioaccumulation testing given the kinetic nature of bioaccumulation.

114. The information from dissolution, dispersion stability, and heteroagglomeration could also be used for decision making prior to testing, or bioaccumulation test media could be used in an adapted protocol of both endpoints in order to investigate dispersion and/or dissolution under experimental settings relevant for bioaccumulation tests.

6.4. OECD TG 105: Dissolution in water

115. TG 105 (OECD, 1995) describes a column elution method and a flask method to investigate solubility of compounds.

116. During the column elution method of TG 105, the solubility of a solid chemical is determined while attached to an inert substrate in a column. The solubility equals the plateau concentration of a chemical dissolved from the test material reached in the eluate of the column.

117. The flow-through testing for dissolution rate described in this GD is similar to the column elution method of TG 105 in that sense that the simulated media in contact with the nanomaterials is continuously refreshed and the concentration of dissolved substances is measured continuously. However, it is not clear how nanomaterials could be attached to such a substrate. In this GD, the nanomaterials are therefore attached to an ultrafiltration membrane or contained in a compartment formed by ultrafiltration membranes that are continuously in contact with the medium.

983 118. The data interpretation in this GD is fundamentally different from that in TG 105.
984 TG 105 describes how the solubility of compounds can be obtained, whereas this GD
985 describes how a dissolution rate can be obtained.

986 119. The flask method in TG 105 resembles the static batch test method described in
987 this GD. However, TG 105 prescribes that solution and solid material must be separated
988 using centrifugation after 24 hours of equilibration after which the solubility is measured.
989 This GD prescribes using ultrafiltration as a separation technique, because centrifugation
990 does not guarantee separation of nanomaterials and dissolved substances. Moreover, this
991 GD encourages dissolved concentrations to be measured at several time points allowing to
992 fit a kinetic model and calculating the dissolution rate.

993 **6.5. OECD GD 29: Guidance document on transformation/dissolution of** 994 **metals and metal**

995 120. Similarly to ~~the~~ this GD, GD 29 (OECD, 2001) describes a screening level and
996 one full dissolution/transformation test. A high loading of a solid compound is equilibrated
997 for 24 hours in a test medium during the screening test followed by a single measurement.

998 121. The dissolved fraction is determined in a 0.20 mm filtrate using GD 29, differing
999 from this GD where ultrafiltration is used for separation, because nanomaterials may pass
1000 a 0.20 mm filter. Further, indications on adapted needs when considering nanomaterial
1001 testing can be found in chapter 2.

1002 122. In the full test described by GD 29, three different concentrations of a solid
1003 compound are equilibrated at times up to 28 days, where the test medium is refreshed every
1004 24 hours. Refreshing the medium would not be possible for nanomaterials in this way,
1005 because they are suspended in the medium and would be removed during refreshing.

1006 123. This GD recommends continuous refreshing, more in line with TG 105 and
1007 ISO TR 19057 (ISO 2017). As in the screening test, ultrafiltration is recommended in this
1008 GD.

1009 **6.6. OECD TG 106 Adsorption - Desorption Using a Batch Equilibrium** 1010 **Method**

1011 124. TG 106 (OECD, 2000) describes a batch method where a substrate, usually a soil
1012 powder, is equilibrated with a chemical dissolved in water for a determined time. The
1013 chemical then adsorbs and this process is quantified in the form of a partitioning coefficient
1014 (K_d). This GD does not pertain to dissolved chemicals but to nanomaterials that will not
1015 establish an equilibrium with any substrate (Praetorius et al., 2014). An attachment
1016 efficiency is deducted in this GD using a kinetic approach instead of a partitioning
1017 coefficient.

1018 125. Finally, SPM is recommended as a substrate in this GD, whereas a soil is usually
1019 used for TG 106.

6.7. OECD WNT project 3.11. TG for nanomaterial removal in wastewater

- 1020
- 1021 126. This TG development project aims to provide a standard method for
1022 characterising the interaction between nanomaterials and wastewater treatment sludge.
1023 This process is thus similar to heteroagglomeration tested in this GD, but the SPM in the
1024 case of WNT project 3.11 (OECD, 2018) is specific biomass sampled from a wastewater
1025 treatment plant.
- 1026 127. The approach in WNT project 3.11 prescribes mixing biomass with
1027 nanomaterials for one hour followed by gravitational settling. The test is done at different
1028 total nanomaterial concentrations and sorption isotherms are calculated based on the
1029 nanomaterial concentration measured in the supernatant after settling. This GD conversely
1030 recommends separating free from heteroagglomerated nanomaterials by means of
1031 accelerated sedimentation using centrifugation.
- 1032 128. The parameter reported from this GD in terms of heteroagglomeration is
1033 attachment efficiency which is a dimensionless parameter that is used in kinetic models,
1034 i.e. models that assume equilibrium does not last. In contrast sorption isotherm reports
1035 isothermal trends of partitioning coefficients and the underlying model of these parameters
1036 assume an continuously equilibrium or steady-state situation. Different parameter are thus
1037 determined.

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Annex I Terminology, definitions and abbreviations

- 1275 129. One important issue is the **harmonization of terminology and definitions** in
 1276 various TGs and GDs for environmental fate testing of nanomaterials. Currently the
 1277 published OECD TG 318 (OECD 2017) for dispersion stability already contains agreed
 1278 and adopted terminology and this should be currently followed in future TGs and GDs
 1279 when applicable.
- 1280 **Agglomeration** – Process of contact and adhesion whereby dispersed particles are held
 1281 together by weak physical interactions ultimately leading to enhanced sedimentation by the
 1282 formation of precipitates of larger than colloidal size (agglomerates) (slightly modified
 1283 according to 7). In contrast to aggregation where particles held by strong bonds like sinter
 1284 bridges, agglomeration is a reversible process.
- 1285 **Alpha value** – nanomaterial affinity efficiency in various conditions (homoagglomeration
 1286 and heteroagglomeration)
- 1287 **BET** – Gas-adsorption method to determine the specific surface area of powders according
 1288 to the Brunauer–Emmett–Teller theory.
- 1289 **CCC** – critical coagulation concentration: the concentration of a specific counter-ion
 1290 (cation for negatively charged particles, anion for positively charged particles) at which the
 1291 repulsive forces between particles approach zero and the agglomeration enters a fast,
 1292 diffusion limited regime. At the CCC the attachment efficiency α becomes 1 and the
 1293 stability ratio W zero.
- 1294 **Concentrations** – Concentrations of the particles and NOM in the samples are given in
 1295 grams per litre (g/L), milligrams per litre (mg/L) and micrograms per litre ($\mu\text{g/L}$). In case
 1296 of NOM it is given in mg/L of DOC. Concentrations of electrolytes ($\text{Ca}(\text{NO}_3)_2$ and
 1297 NaHCO_3) present in the samples are given in millimole per litre (mM/L).
- 1298 **DOC** – Dissolved Organic Carbon: the organic bound carbon in a water sample which
 1299 passes a $0.45\ \mu\text{m}$ filter, a part of the DOM. DOM in the form of humic substances have
 1300 $\sim 50\%$ DOC.
- 1301 **Dispersibility** – is the condition of particular material of being dispersible or a measure to
 1302 which extent it is dispersible in a dispersing medium or continuous phase. Dispersion
 1303 stability refers to the ability of a dispersion to resist change in its properties over time.
- 1304 **Dissolution** – Dissolution is understood as a process under which a substance solves.
- 1305 **Dissolution rate** - is dissolution over time depending on e.g. available surface area of the
 1306 material.
- 1307 **Heteroagglomeration** – Agglomeration of particles (here nanomaterials) with other
 1308 particles (synthetic or natural).
- 1309 **Homoagglomeration** – Agglomeration of particles (here nanomaterials) with each other
- 1310 **IEP** – Isoelectric point: the pH at which the zeta potential or particle mobility in response
 1311 to an electric field is zero. Only in the absence of specifically adsorbing ions which change
 1312 the surface charge compared to the pristine surface, the IEP equals the PZC.
- 1313 **NOM** – Natural organic matter: the organic substances present in surface or ground water.
 1314 NOM covers humic and non-humic fractions as i.e. polysaccharides. NOM is operationally

- 1315 divided into Dissolved Organic Matter (DOM) and Particulate Organic Matter (POM).
1316 DOM passes a 0.45 µm filter, POM is retained by the same filter. POM as defined herein
1317 should not be confused with purgeable organic carbon.
- 1318 **PZC** – Point of Zero Charge: the point where the surface charge density is zero in the
1319 absence of any specifically adsorbing ions. Its value is given as the negative logarithm of
1320 the potential-determining ion. In most cases the potential determining ion is the proton and
1321 the value is then given as pH. The PZC is then also termed the PZNPC – point of zero net
1322 proton charge.
- 1323 **Size** – Size of the particles, aggregates or agglomerates is given in micrometres (µm) or
1324 nanometres (nm). The method for particle size determination and the character of the
1325 particle size average should be reported.
- 1326 **Solubility** - Solubility is an ability of a substance to dissolve in a solvent (max amount that
1327 can be solved under certain conditions) and this depends on chemical composition.
- 1328 **SPM** – Suspended particulate matter
- 1329 **SRNOM** – Suwannee River NOM: a standard surface water NOM material of the
1330 International Humic Substance Society (IHSS), isolated from the Suwannee River (US) by
1331 reverse osmosis and purified according to the procedures of the IHSS. The material can be
1332 purchased from the IHSS.