

# DONOR QUESTIONNAIRE ON AID FOR TRADE

## 1 WHAT IS YOUR AID FOR TRADE STRATEGY?

- Q1.1** Do you have an operational Aid for Trade strategy? Does it have a “pro-poor” focus? What are its key objectives and delivery/implementation modes? (Please break down by types of aid: "multilateral contributions" / "trust funds" / "budget support" / "other bilateral")

*Please describe and exemplify. If applicable, feel free to refer to your 2007 response.*

FAO does not have an “operational AfT strategy” because there is no “explicit AfT programme”. Most of the FAO work programme, notably field programmes, qualify as AfT under the new expanded scope. As regards “pro-poor focus”, the answer would be yes because agricultural development is the most pro-poor economic activity; moreover, FAO programmes tend to be more pro-poor in view of the focus on food security. From FAO’s viewpoint, for an initiative like AfT to be effective, it is desirable to focus resources first on the most binding constraints to productivity and competitiveness. Based on specialized knowledge and experience of the agricultural sector, and the comparative advantage of the Organization, FAO considers the following five areas as requiring priority attention under the AfT initiative for the agricultural sector: i) transfer and utilization of agricultural technology; ii) developing rural infrastructures; iii) water management; iv) technical standards of products (SPS/TBT); and v) trade policy mainstreaming, trade negotiations and implementation.

- Q1.2** If your Aid for Trade Strategy has evolved since 2007, please describe the changes and/or new focuses.

*Please describe and exemplify.*

There has been no particular change in FAO’s activities related to the five priority areas mentioned above since 2007.

- Q1.3** Have you articulated a set of best practices in the design and/or delivery of Aid for Trade?

Yes

No

Not sure/Not applicable

**If yes, what form does this best practice guidance take?**

*Please describe and exemplify.*

There is a continuous attempt in FAO - like in any other agency - to improve the design and delivery of projects and technical assistance. However, there is no specific guideline prepared in the context of AfT. FAO is preparing in 2009 a number of papers on AfT covering its main areas of work which will also address the issue of design/delivery of assistance in the context of AfT.

## 2 HOW MUCH AID FOR TRADE DO YOU PROVIDE?

*For CRS Reporting Donors*

**Q2.1 Does the attached CRS profile accurately reflect the volume of your Aid for Trade?** Yes No**If no, please provide further details of your Aid for Trade activities for 2006 and 2007.**

*Please add any data that are missing in their appropriate CRS categories, including those activities that should be considered as Aid for Trade under the category of "Other Trade-related Needs" and describe, if applicable, the method used to identify trade-related activities in the relevant CRS categories. Please also provide any activities that may fall under the new category of "Trade-related Adjustment" for 2006.*

**For non-CRS Reporting Donors****Q2.1 How much Aid for Trade did you provide in each of 2006 and 2007?****Please also indicate the volume as percentage share of your total ODA.**

*Please use the WTO Task Force definition and include estimates of the value of in-kind Aid for Trade such as technical cooperation programmes.*

FAO reported to CRS AfT delivery of US\$19 million for 2006, but this was \$137 million for 2007, as the AfT coverage was expanded to include other areas like building productive capacity and rural infrastructures. As share of total FAO technical assistance (regular budget), this would amount to about 90% in 2007.

**For All Donor Agencies****Q2.2 Do you have indicative forward spending plans that include estimates on Aid for Trade?** Yes No Not sure/Not applicable**If yes, please provide details of your indicative forward Aid for Trade spending plan.**

*Please delineate the plan per Aid for Trade category.*

**For Donors who had made Aid for Trade pledges****Q2.3 Please describe how you are meeting your pledges? And how much progress in delivering your final pledges do you expect to have made in 2008 and 2009?**

Please provide details and evidence in accordance with your accountability mechanism.

**For Multilateral Donors**

**Q2.4 Please describe how funding for your Aid for Trade activities is evolving**

[e.g. share of activities funded from your agency's core (regular budget) vs. non-core (earmarked) resources, including multi-donor funds; likely trends in these categories].

Please describe.

The share of activities funded from the agency's core (regular) budget has been stagnating or even declining in real terms for several years now, but support through non-core (extra-budgetary) has been growing, and this can be expected to grow.

*Please feel free to provide any other relevant information in relation to the volume of your Aid for Trade.*

### 3 IMPLEMENTATION: HOW ARE YOU DELIVERING AID FOR TRADE?

#### Mainstreaming and Ownership

**Q3.1 What measures have you undertaken to mainstream Aid for Trade in your overall assistance strategy?**

Please describe and exemplify.

As said above, FAO does not have an explicit AfT programme but most FAO activities qualify as AfT under the expanded definition. So distinguishing AfT from overall assistance strategy does not appear appropriate.

**Q3.2 In how many of the partner countries you support, are Aid for Trade concerns an important part of your policy dialogue with them (based on your best estimate)?**

<input type="checkbox"/> less than 25%	<input type="checkbox"/> 25% to 50%	<input checked="" type="checkbox"/> 50% to 75%	<input type="checkbox"/> above 75%	<input type="checkbox"/> Not sure / Not applicable
--	-------------------------------------	--	------------------------------------	--

**Q3.3 How many of your country assistance strategies contain trade or Aid for Trade elements (based on your best estimate)?**

<input type="checkbox"/> less than 25%	<input type="checkbox"/> 25% to 50%	<input type="checkbox"/> 50% to 75%	<input checked="" type="checkbox"/> above 75%	<input type="checkbox"/> Not sure / Not applicable
--	-------------------------------------	-------------------------------------	---	--

**Q3.4 Has demand for Aid for Trade increased from partner countries since 2005?**

<input checked="" type="checkbox"/> Significantly increased	<input type="checkbox"/> Increased	<input type="checkbox"/> Little / no change	<input type="checkbox"/> Declined	<input type="checkbox"/> Not sure / Not applicable
---	------------------------------------	---	-----------------------------------	--

If increased, from which countries / regions, and for which Aid for Trade categories / sectors?

*Please describe and exemplify.*

Demand has increased from all developing countries, for different types of AfT assistance, e.g. for productive capacity, trade regulations and policy and basic infrastructures from lower-income countries (e.g. LDCs, sub-Saharan Africa, SIDS) and for information, analysis and global public-goods from higher-income developing countries.

**If increased, what steps have you taken to strengthen your capacity to respond to increasing demand for Aid for Trade from partner countries? Tick the box of all that apply:**

<input type="checkbox"/>	Increased aid resources
<input checked="" type="checkbox"/>	Strengthened in-house trade expertise
<input checked="" type="checkbox"/>	Improved training, tool-kits and/or guidelines for Aid for Trade programming
<input checked="" type="checkbox"/>	Increased awareness among policy-makers and practitioners at the HQ and the field
<input type="checkbox"/>	Strengthened political commitment
<input checked="" type="checkbox"/>	Increased coordination among donors (e.g. joint assessment, joint delivery, etc.)
<input type="checkbox"/>	<i>Please feel free to add other steps you have taken</i>

***Please feel free to provide any other relevant information in relation to mainstreaming and ownership.***

As demand rises, the primary response is to formulate assistance programmes/projects and mobilize extra-budgetary resources from donors and development partners in the field.

**Working with Others: Harmonisation and Alignment**

**Q3.5 In how many of the partner countries you support, have you contributed to the following joint donor initiatives?**

	< 10%	10-30%	> 30%
Joint needs assessment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Joint Aid for Trade strategy formulation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Joint Aid for Trade programme	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Pool funding	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Joint monitoring and evaluation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Delegated cooperation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Q3.6 Do you have a specific approach to South-South and/or trilateral cooperation in Aid for Trade?**
 Yes

 No

 Not sure/Not applicable

**If yes, what are its key elements or particular focuses?**

*Please describe and exemplify.*

FAO has a technical cooperation programme whereby FAO facilitates the provision of experts from the South to other countries in the South.

**Q3.7 How much of your Aid for Trade is aligned with your partners' country systems (based on your best estimate)?**
 less than  
25%

 25% to  
50%

 50% to  
75%

 above  
75%

 Not sure /  
Not applicable

*Please feel free to provide any other relevant information in relation to harmonisation and alignment.*

Most of FAO's assistance programmes/projects are in responses to requests from countries; so, it is fair to assume that these programmes are aligned with the partners' national development programmes like agricultural sector plans or Poverty Reduction Strategy papers.

## 4 MONITORING RESULTS, EVALUATION AND MUTUAL ACCOUNTABILITY

**Q4.1 Does your Strategy include specific monitoring and evaluation guidelines for Aid for Trade programmes?**
 Specific to Aid for Trade

 Generic guidelines

**If you do have specific guidelines, please provide the details of your Aid for Trade M&E framework.  
How often do you review progress towards your strategy objectives? Who do you report to?**

*Please describe and exemplify.*

**Q4.2 Do you regularly monitor the potential trade impact of your aid projects / programmes?**
 Yes

 No

 Not sure/Not applicable

**If yes, please describe how.**

*Please describe and exemplify.*

All projects/programmes are ultimately evaluated before closure. Impact on trade could be part of that evaluation, among other things.

**Q4.3 Do you have plans to improve the evaluation of your Aid for Trade programmes?**

*Please describe and exemplify.*

No specific plan other than continuing effective monitoring and evaluation of projects/assistance.

**Q4.4 Have you carried out or do you plan to carry out an impact assessment of your Aid for Trade programmes?**

<input type="checkbox"/> Yes: please indicate when:	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Not sure/Not applicable
---	--	--

**Q4.5 Do you involve partner country stakeholders in developing measurable objectives/indicators to assess the quality of your Aid for Trade programmes?**

<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Not sure/Not applicable
------------------------------	-----------------------------	---

**If yes, please describe the indicators used.**

*Please describe and exemplify.*

There is no specific, explicit plan in the context of AfT, but it is normal that evaluation studies consult partners also.

**Q4.6 Have you undertaken joint evaluations of your Aid for Trade with your partner country stakeholders?**

<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Not sure/Not applicable
------------------------------	-----------------------------	---

**If yes, please describe when and the results of the evaluation.**

*Please describe and exemplify.*

**Please feel free to provide any other relevant information in relation to monitoring, evaluation and mutual accountability.**

## 5 REGIONAL DIMENSION

**Q5.1 How important is the regional dimension in your Aid for Trade strategy?**

<input type="checkbox"/> Essential element	<input checked="" type="checkbox"/> Important element	<input type="checkbox"/> Minor element	<input type="checkbox"/> Not present	<input type="checkbox"/> Not sure / Not applicable
--	---	--	--------------------------------------	--

**If essential or important, please describe how your Aid for Trade strategy addresses regional challenges.**

*Please describe and exemplify.*

With globalization as well as the rapidly growing trend towards regional trade and cooperation agreements, addressing challenges through regional programmes is on the rise, not only in such traditional areas like transboundary animal diseases but also on economics of trade, food safety and fisheries management.

**Q5.2 Which of the following factors are important for determining whether or not to support particular regions or regional programmes? Please list in the order of importance.**

<input checked="" type="checkbox"/>	Relevance to ongoing regional trade agreements / negotiations
<input checked="" type="checkbox"/>	Regional proximity / support to neighbouring regional economic integration processes
<input type="checkbox"/>	Cultural, linguistic or historical ties with the region
<input checked="" type="checkbox"/>	Existence of a viable counterpart at regional level
<input checked="" type="checkbox"/>	Request for assistance from a regional body
<input checked="" type="checkbox"/>	Availability of a clearly defined regional development strategy
<input type="checkbox"/>	Geographical concentration of donor activities
<input type="checkbox"/>	<i>Other, please describe</i>
Order of importance: 5th, 1st, 3rd, 2nd and 6th	

**Q5.3 By how much has the volume of your regional Aid for Trade increased since 2005?**

<input type="checkbox"/> Declined	<input type="checkbox"/> By less than 5%	<input checked="" type="checkbox"/> By 5 to 15%	<input type="checkbox"/> By 15 to 30%	<input type="checkbox"/> More than 30%
-----------------------------------	--	---	---------------------------------------	--

**Q5.4 In which assistance categories are you particularly active at regional level?**

	Frequently	Occasionally	Rarely	Not sure / Not applicable
Training (trade negotiations/WTO rules)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trade facilitation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Development of cross-border infrastructure	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Capacity building of regional organisations	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Other, please describe and exemplify*
**Q5.5 What are the most important challenges in implementing regional Aid for Trade?**

Please list in the order of importance.

<input checked="" type="checkbox"/>	Lack of (or weak) articulated demands for regional Aid for Trade
<input checked="" type="checkbox"/>	Lack of coherence between national and regional priorities
<input type="checkbox"/>	Lack of credible lending authorities at regional level
<input checked="" type="checkbox"/>	Lack of effective coordination at regional level
<input checked="" type="checkbox"/>	Difficulties of monitoring and evaluating results at regional level
<input checked="" type="checkbox"/>	Lack of credible mutual accountability mechanisms at regional level
<input type="checkbox"/>	<i>Other, please describe and exemplify</i>
Order of importance: 1st, 2nd, 6th and 4th	

**Q5.6 Has the demand for regional Aid for Trade increased since 2005?**

<input type="checkbox"/> Significantly increased	<input checked="" type="checkbox"/> Increased	<input type="checkbox"/> Little / no change	<input type="checkbox"/> Declined	<input type="checkbox"/> Not sure / Not applicable
--	---	---	-----------------------------------	--

---

**If yes, in which regions and for which activities has it increased the most?**

---

*Please describe and exemplify.*

Region-wide: sub-Saharan Africa, Caribbean and Pacific region; activity-wide: SPS/TBT; transboundary animal diseases; regional programme for food security; trade policy and negotiations; and productive capacity building and infrastructures.

---

*Please feel free to provide any other relevant information in relation to regional Aid for Trade.*

---

