

## **ECONOMIC COMMITTEE II ROUNDTABLE DISCUSSION APEC-OECD INTEGRATED CHECKLIST ON REGULATORY REFORM 28 JUNE 2007**

*The Economic Committee, in conjunction with the Competition Policy and Deregulation Group (CPDG) and the Strengthening Economic and Legal Infrastructure (SELI) Coordinating Group is holding a Roundtable Discussion on the APEC-OECD Integrated Checklist on Regulatory Reform, on 28 June 2007 as part of the Economic Committee plenary.*

### **Venue**

Cairns Convention Centre  
Corner of Wharf and Sheridan Streets  
Queensland, Australia

### **Background to the Roundtable Discussion**

The APEC-OECD Integrated Checklist on Regulatory Reform is a voluntary tool that member economies may use to evaluate their regulatory reform efforts. The Checklist highlights key issues that should be considered during the process of the development and implementation of regulatory policy, while recognizing the diversity of member economies.

Member economies of APEC and the OECD have recognized that regulatory reform is a central element in the promotion of open and competitive markets, and a key driver of economic efficiency and consumer welfare. As a result, agreement for an *APEC-OECD Co-operating Initiative on Regulatory Reform* was reached in June 2000 and endorsed at the APEC Ministerial Meeting on 12-13 November 2000 in Brunei Darussalam, in order to promote the implementation of the APEC and the OECD principles by building domestic capacities for quality regulation. The *APEC-OECD Integrated Checklist on Regulatory Reform* was approved by the Executive Bodies of the APEC and OECD in 2005.

The aim of this Roundtable discussion is to build awareness of the Integrated Checklist among participants, and to share lessons and policy insights in progressing regulatory reform from economies that have undertaken the Checklist this year.

### **Target Audience**

The target audience for this roundtable discussion includes economy representatives of the EC, CPDG and SELI, particularly those officials from government agencies with responsibility for, or an interest in regulatory policy.

### **Expected Outcomes**

At the conclusion of the seminar, participants are expected to have a greater understanding of:

- how the Integrated Checklist can be used to evaluate regulatory reform efforts; and
- lessons learnt by economies undertaking the Integrated Checklist in progressing regulatory reform; including practical suggestions for economies considering undertaking a self-assessment in the future.

## APEC-OECD INTEGRATED CHECKLIST ON REGULATORY REFORM

### Session 1: Introduction

9.00-9.10

*Professor Bob Buckle (Economic Committee Chair)*

### Session 2: Utilising the Checklist

9.10-10.10

*Chair: Mr. Toshiyuki Nanbu (CPDG Convenor)*

The APEC-OECD Integrated Checklist on Regulatory Reform is a valuable tool that member economies can use to evaluate their respective regulatory reform efforts. This session will provide participants with an overview of the Checklist and outline how it can be utilised by APEC economies. Indonesia will report back on a seminar on the Checklist hosted in Jakarta 13-15 June 2007. The United States, Chinese Taipei and Hong Kong, China will report back on measures they have taken since they completed a self-assessment using the Checklist in 2006.

Speaker	Topic	Timing
Dr Josef Konvitz <i>Head of Division, Regulatory Policy, Public Governance and Territorial Development Directorate, OECD</i>	Overview of the APEC-OECD Integrated Checklist on Regulatory Reform	10
Mrs Ani Pudyastuti <i>Director for Communication, Commission for the Supervision of Business Competition, and CPDG Contact Point, Indonesia</i>	Outcomes of CPDG Seminar on <i>Utilizing APEC-OECD Integrated Checklist on Regulatory Reform in Competition and Deregulation Aspects</i> , Indonesia, 13-15 June 2007	10
Mr Jonathan Scanlon <i>Office of Economic Policy, Bureau of East Asian and Pacific Affairs, U.S. Department of State</i>	Report-back on the United States' 2006 Self-Assessment	10
Ms Jennifer Fang-Yu Huang <i>Section Chief, Center for Economic Deregulation and Innovation, Council for Economic Planning and Development</i>	Report-back on the Chinese Taipei's 2006 Self-Assessment	10
Miss Elley Mao <i>Head of China/Global Section, Economic Analysis and Business Facilitation Unit, Financial Secretary's Office,</i>	Report-back on the Hong Kong, China's 2006 Self-Assessment	10

### Session 3: Key Lessons from Self-Assessments

10.10-10.50

Chair: Mr Kunihiko Shinoda (SELI Chair)

This year Australia and the Republic of Korea have undertaken a self-assessment using the APEC-OECD Integrated Checklist on Regulatory Reform. During this session representatives from Australia and the Republic of Korea will share insights that they have gained from undertaking this exercise, and to identify what they will take from this exercise in the development and implementation of regulatory policy going forward. They will also share any advice that they have for economies thinking of undertaking the self-assessment exercise in the future.

The Checklist has four parts: A horizontal questionnaire on regulatory reform and three sections on individual policy areas (regulatory policies, competition policies, and market openness policies). Both presenting economies will focus their presentations on two sections of the Checklist – the horizontal questionnaire on regulatory reform and the section on regulatory policies.

The horizontal questionnaire on regulatory reform invites reflection on the degree of integration of regulatory, competition and market openness policies across levels of government, and on accountability and transparency mechanisms needed to ensure their success. The section on regulatory policies focuses on factors that may be considered to improve the design and implementation of regulatory policies.

Speaker	Topic	Timing
Ms HK Holdaway <i>Manager, Competition Policy Framework Unit, Australian Treasury</i>	Key lessons from Australia's Self- Assessment <i>Horizontal and regulatory quality dimensions, focusing on recent changes that Australia has made to strengthen its regulation-making systems and processes, in order to guard against the introduction of unnecessary or inefficient regulations.</i>	20
Mr Young-Hyun Jang <i>Director of International Cooperation for the Regulatory Reform Bureau, Prime Minister's Office, Korea</i>	Key lessons from the Republic of Korea's Self-Assessment <i>Horizontal and regulatory quality dimensions, with a focus on regulatory impact analysis</i>	20
<i>Refreshment Break</i>		10.50-11.20

## Session 4: Panel Discussion on Key Lessons

11.20-12.30

*Professor Bob Buckle (Economic Committee Chair)*

This session will involve a panel discussion, drawing out the key lessons learnt and policy insights for across the two self-assessment exercises. The panellists are from the OECD and member economies and reflect a mix of perspectives.

<b>Panellist</b>	<b>Economy/Organisation</b>	<b>Timing</b>
Dr Josef Konvitz <i>Head of Division, Regulatory Policy, Public Governance and Territorial Development Directorate, OECD</i>	OECD	10
Mr. Javier Roca Fabián <i>Office of International Economy, Competition and Private Investment Affairs Ministry of Economy and Finance</i>	Peru	10
Mr. Rory McLeod <i>Chief Advisor, Ministry of Economic Development</i>	New Zealand	10
General Discussion including Q&A		40
	<i>Lunch Break</i>	12.30-2.30

## Speakers

Mr Josef Konvitz

*Head of Division, Regulatory Policy, Public Governance and Territorial Development Directorate, OECD*

Dr Konvitz is Head of Division, Regulatory Policy, in the Directorate for Public Governance and Territorial Development at the Paris-based Organisation for Economic Co-operation and Development. Dr Konvitz has supervised country reviews of regulatory reform of Russia, France, Switzerland, and Sweden, and monitoring exercises of Mexico, Japan and Korea. He co-ordinated the preparation of the 2005 OECD Guiding Principles on Regulatory Quality and Performance, and the completion of the APEC-OECD Integrated Checklist for Regulatory Reform. He joined the OECD in 1992 in the Urban Affairs Division of the Environment Directorate, and was part of the Territorial Development Service from 1994 to 2002, concentrating on sustainable development, urban policy and metropolitan growth.

Dr Konvitz was educated at Cornell University (BA with Honours in History, 1967), and Princeton University (PhD in History, 1973). He taught at Michigan State University from 1973 to 1992. In his academic career, he wrote four books and over thirty articles, two of which were honoured with prizes, and was the recipient of a fellowship and a major grant from the National Endowment for the Humanities, a fellowship from the Wilson Centre of the Smithsonian Institution, and a visiting professorship from the Ecole des Hautes Etudes en Sciences Sociales in Paris.

Mrs Ani Pudyastuti

*Director for Communication, Commission for the Supervision of Business Competition, and CPDG Contact Point, Indonesia*

Mrs Pudyastuti is the Director of Communication for the Commission for the Supervision of Competition (KPPU) of Indonesia. Over the period 2000-2007 she has been Director of the Competition Policy, Research and Training and Human Relation areas of the Commission. Mrs Pudyastuti has also held various posts at the Institute for Industrial Management and the National Development Planning Agency.

Mrs Pudyastuti holds a Master of Arts from Colorado State University and graduated from Gajah Mada University, Yogyakarta (Social Economics Department, Faculty of Agriculture).

Jonathan Scanlon

*Office of Economic Policy, Bureau of East Asian and Pacific Affairs, U.S. Department of State*

Mr. Scanlon is a Foreign Affairs Officer in the Office of Economic Policy for the Bureau of East Asian and Pacific Affairs. The Office of Economic Policy works closely with the U.S. Senior Official to APEC, who coordinates U.S. participation in APEC. Mr. Scanlon represents the United States in several APEC fora and his work covers structural reform, finance, economic development, and public outreach. Before joining the Department of State, Mr. Scanlon held positions with an international non-governmental organization, state-level government, and the Japan Exchange and Teaching Programme (JET).

Mr. Scanlon holds a Master of Science degree in International Affairs from the Georgia Institute of Technology and a Bachelor of Arts degree from Emory University.

**Miss Elley Mao**

*Head, China/Global Section, Economic Analysis and Business Facilitation Unit, Financial Secretary's Office, Hong Kong, China; Vice-Chair, APEC Economic Committee*

Miss Mao is currently Head of the China/Global Section of the Economic Analysis and Business Facilitation Unit of the Financial Secretary's Office, advising the HKC government on various economic issues in the Asia-Pacific region, including principally the mainland of China, and specifically on their economic links with HKC in trade and investment.

In her career with the HKC government, Miss Mao has covered a wide spectrum of subjects from utility pricing, labour market developments, wage-price movements, structural reforms and economic developments in the mainland of China, regional trade and investment issues.

**Ms Jennifer Fang-Yu Huang**

*Section Chief, Center for Economic Deregulation and Innovation, Council for Economic Planning and Development*

Chief of External Liaison and Policy Promotion, Center for Economic Deregulation and Innovation, Council for Economic Planning and Development (CEPD) since Jan. 1, 2005, Ms. Huang has served 4 years in CEPD, following her civil services at the Urban Development Department of Taipei City Government and National Bureau of Standards (Ministry of Economic Affairs) and research work at the Institute of Information Science of Academia Sinica.

Her work in CEPD covers international affairs regarding APEC, OECD, and WTO as well as other international think tanks and coordination matters with foreign chambers of commerce in Chinese Taipei, in addition to promotion for important economic development policies. Ms. Huang was educated at School of Architecture, University of Maryland at College Park, USA (1997-2000) and has one-year doctoral study at Edward J. Bloustein School of Planning and Public Policy, Rutgers, the State University of New Jersey, USA.

**Mr. Young-Hyun Jang**

*Director of International Cooperation for the Regulatory Reform Bureau, Prime Minister's Office, Korea*

Mr. Jang is the Director of international cooperation for the Regulatory Reform Bureau of Prime Minister's Office in Korea. He also worked as a policy evaluator in Prime Minister's Office for five years and led an evaluation team on economic issues. He holds a Master of Business Administration from Missouri-Columbia University.

Ms HK Holdaway

*Manager of the Competition Policy Framework Unit, Markets Group, The Australian Treasury*

HK Holdaway is the Manager of the Competition Policy Framework Unit at the Australian Treasury. She has responsibilities for Australia's regulatory reform and competition policy agenda. She is also responsible for leading Australia's engagement with APEC and the OECD on competition and structural reform matters. Since commencing at Treasury in 2002, she has been involved in developing Australia's tax framework, tax treaty negotiations and progressing Australia's international engagement on International tax issues. Prior to joining the Australian Treasury she was International Tax Counsel at the Australian Tax Office.

Ms Holdaway holds a Bachelor of Commerce degree from the University of Western Australia, a Masters degree in Taxation from the University of New South Wales, and a Graduate Diploma in Economics from the Australian National University.

Mr. Javier Roca Fabián

*Office of International Economy, Competition and Private Investment Affairs, Ministry of Economy and Finance, Peru*

Mr Roca is an official of the Office of International Economy, Competition and Private Investment Affairs (DGAEICIP) of the Ministry of Economy and Finance (MEF). Since 2005, Mr. Roca is in charge of the program for the improvement of the quality of regulation produced by MEF. This program is sponsored by the Inter-American Bank of the Development (IADB) and the Peruvian Government; and is part of the initiatives on regulatory reform in Peru.

Mr Roca led the team which designed the institutional, legal and analytical framework for the improvement of the regulatory quality on MEF. He has also worked on a strategy for implementing a regulatory reform in the Peruvian Government. At the moment, he is working on designing the institutional framework for the evaluation of the quality of regulations of different public sector institutions like: the Ministry of Health (MINSA), the Ministry of Labor and Promotion of Employment (MTPE), the Ministry of Production (PRODUCE) and the Ministry of Energy and Mining (MEM).

Mr. Roca holds a Bachelor's degree in Economics from Pontificia Universidad Católica del Perú Peru and MSc (c) in Mathematics applied to Economics, also from Pontificia Universidad Católica del Peru.

Mr. Rory McLeod

*Chief Adviser, Competition Trade and Investment Branch, Ministry of Economic Development, New Zealand*

Previous Positions in Ministry of Economic Development have included:

2006	Manager, International Technical and Regulatory Co-ordination Team, Ministry of Economic Development
2001-2005	Manager, Intellectual Property and Competition Policy, Regulatory and Competition Policy Branch

1999-2001	Manager, Trade Remedies and International Issues, Regulatory and Competition Policy Branch
1996-1999	Senior Analyst, International Issues, Regulatory and Competition Branch (included one year secondment to the Office of the Chair of the APEC Senior Officials Meeting (based in MFAT) in 1999)

Positions held by Mr McLeod prior to Joining Ministry of Economic Development include:

1995	Consultant for the New Zealand Fishing Industry Board producing a study on fisheries trade issues;
1988-1994	Ministry of Foreign Affairs and Trade, Wellington. Worked in policy positions on relations with Australia and with South Pacific countries and in the Economic Division
1983-1987	New Zealand Mission to the OECD, Paris
1981-1983	Ministry of Foreign Affairs and Trade, Wellington

Mr McLeod has a MA (Hons) in economics.

## Session Chairs

Professor Bob Buckle

*Chair, APEC Economic Committee; Principal Advisor, New Zealand Treasury; and Adjunct Professor, Victoria University of Wellington, New Zealand*

Bob Buckle is Chair of the APEC Economic Committee. He is also a Principal Advisor at the New Zealand Treasury and where he leads the macroeconomic policy priorities and research programme and where he previously led the development of Treasury's economic growth programme. Bob is also an Adjunct Professor of Economics, Victoria University of Wellington, New Zealand and a member of the Editorial Board of the Journal of Business Cycle Measurement and Analysis.

Prior to joining Treasury in December 2000, Bob was Head of the School of Economics and Finance at Victoria University of Wellington. He was a full-time member of the academic staff at Victoria University from 1981 to 2000 and has been a visiting economist at several overseas universities, research institutes and international economic policy organisations. In 2003 he was awarded the NZIER/QANTAS award for contributions to New Zealand economics.

Mr. Toshiyuki Nanbu

*Convenor, Competition Policy and Deregulation Group (CPDG); and Director, International Affairs Division, Japan Fair Trade Commission, Japan*

Mr. Nanbu is the Director of the International Affairs Division of Japan Fair Trade Commission (JFTC). He oversees the work on the international related affairs of JFTC such as issues related with OECD, ICN and APEC, issues related with the international cooperation in EPA/FTA and other cooperation agreements and technical assistance activities for developing economies in the area of competition policy.

Mr. Nanbu has been engaged in various positions relating to enforcement of the Antimonopoly Act since he joined the Secretariat of JFTC in 1982. As the Director of

the Consumer-Related Trade Division (2002-2004), he achieved effective progress toward the strengthening of consumer policy of JFTC. As the Director of the Oligopolistic Industry Affairs Office, Economic Affairs Bureau (2001-2002), he dealt with issues concerning monopolistic situations. Prior to these assignments, he was the Director of Surcharge Affairs Office (1999-2001) and Senior Investigator (1996-1998) of the Investigation Bureau. Moreover, he acted as a First Secretary, Permanent Delegation of Japan to the OECD (1992-1994) and dealt with Committee on Competition Law and Policy and Committee on Consumer Policy.

Mr. Nanbu graduated from Tokyo University, faculty of Law.

#### Mr Kunihiko Shinoda

*Chair, Strengthening Economic and Legal Infrastructure (SELI) Coordinating Group; and Director, APEC Office, Trade Policy Bureau, Ministry of Economy, Trade and Industry, Japan*

#### Education:

- 1988      Graduated from University of Tokyo, Faculty of Economics
- 1994      Graduated from Graduate School of Industrial Administration, Carnegie Mellon University

#### Career:

- 1988      Joined Ministry of International Trade and Industry (MITI)  
Administrative Official, International Economic Affairs Division, International Economic Affairs Department, MITI
- 1990      Researcher, Finance Division, Coordination Bureau, Economic Planning Agency (EPA)
- 1992      Graduate School of Industrial Administration, Carnegie Mellon University
- 1994      Deputy Director, Price Policy Division, Industrial Policy Bureau, MITI
- 1996      First Secretary, Embassy of Japan in the Philippines
- 1999      Deputy Director, General Affairs Division, International Trade Policy Bureau, MITI
- 2001      Deputy Director, Trade and Investment Facilitation Division, Trade and Economic Cooperation Bureau, Ministry of Economy, Trade and Industry (METI)
- 2002      Representative for Asia Pacific Region, Japan Overseas Development Corporation (JODC)
- 2005      Director (APEC&FTA), Trade Policy Bureau, METI

## **Background References**

The APEC-OECD Integrated Checklist on Regulatory Reform is available at: <http://www.oecd.org/dataoecd/3/53/36326815.pdf>.

Documents submitted by presenting economies are available on the EC APEC Collaboration Site: [http://member.aimp.apec.org/acms\\_sites/ec/default.aspx](http://member.aimp.apec.org/acms_sites/ec/default.aspx).

These references should provide a guide to Roundtable participants interested in reading more about the APEC-OECD Integrated Checklist on Regulatory Reform, as well as lessons learned from economies that have undertaken a self-assessment using the Checklist in 2006 and 2007.