



# NUS

**Seminar on**

## **EXTERNAL FUNDING AND UNIVERSITY AUTONOMY**

**Organised by  
The Nordic University Association (NUS),  
The Nordic Association of University Administrators (NUAS), and  
The OECD Programme on Institutional Management in Higher Education (IMHE)**

**Monday 16 and Tuesday 17 June 2003**

### **The Aim**

Universities and other Higher Education Institutions (HEIs) in most countries are increasingly relying on diversified funding to fulfil their missions. Nowadays, their resources emanate from a variety of sources - public and private, national and international.

Not only are the sources of finance changing. New structures and practices also affect the ways in which money can be used. Directed grants, or earmarks, are growing in importance. Often such grants are given for a mix of research and teaching, and even infrastructure purposes. Such funding sometimes has been described as depending more on competition and lobbying than on open, peer-reviewed competition via applications to research councils and other funding agencies.

Institutions and individuals are obliged to devote considerable efforts to bidding for relatively small sums. Frequently grants do not cover full costs.

This seminar will focus on

- the scale and scope of external funding
- how HEIs use such resources in fulfilling their core tasks of teaching, research and services to society
- the effect of external funding on the integrity of the HEI
- the practical implications (how to cover general costs and overhead)

The seminar will, thus, provide a forum for analysing and debating a number of related themes, from the general issues over to the operational aspects.

The seminar will bring in outlooks, perspectives and models from a range of countries. These contributions will provide background for discussions between representatives from the university sector, the private sector, funding agencies and policy makers.

#### **Audience**

Both the public and the private sector will be represented, although the seminar is organised primarily for two audiences: on the one hand managers and administrators of higher education institutions, on the other hand policy makers and representatives of national authorities or regional bodies concerned with institutional financing and management. In addition, researchers, deans and members of institutional boards, councils etc. are welcome to participate.

#### **Language**

The working language of the seminar will be English.

#### **Venue**

The seminar takes place in Helga Eng's House at The University of Oslo, Blindern (10 minutes by bus or tram from city centre).

#### **Seminar fee and accommodation**

The seminar fee (300 Euros for NUS/NUAS/IMHE members and 375 Euros for others) includes meals but not accommodation. Participants are recommended to make their reservations as soon as possible at the following hotel, where a number of rooms have been reserved from 15 to 17 June (until 15 May):

Hotell Bondeheimen  
Rosenkrantzgate 8, 0159 Oslo, Tel. (47) 23 21 41 00, Fax (47) 23 21 41 01  
Attention: Booking, Mr. Anders Bakke  
Booking reference: OEC15  
Single rooms are NOK 610 per night and doubles are NOK 804, including breakfast.

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The Planning committee looks forward to a wide-ranging discussion with the active involvement of each delegate, be they policy makers, experts or representatives from companies and institutions.

Christina Ullenius, President, NUS  
Lars Ekholm, Secretary General, NUS  
Leif Lindfors, President, NUAS  
Richard Yelland, Head of Programme, OECD/IMHE, France  
Jan Karlsson, Administrator, OECD/IMHE, France

## EXTERNAL FUNDING AND UNIVERSITY AUTONOMY

### Programme

Monday 16 June

8h30 - 9h00

#### Registration

9h00 - 9h30

**Session 1** - Chaired by Kirsti Koch Christensen, Rector  
University of Bergen, Norway, Vice President of NUS

#### Welcome address and introductions

*Arild Underdal*, Rector, University of Oslo  
*Christina Ullenius*, President of the Nordic University Association (NUS),  
Rector, Karlstad University  
*Leif Lindfors*, Director of Administration, Stockholm University,  
President of the Nordic Association of University Administrators (NUAS)  
*Richard Yelland*, Head of Division, OECD/IMHE

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9h30 - 10h30

#### Key note presentation

*Frank Newman*, Director, Futures Project, Brown University, USA

Questions and discussion

10h30 - 11h00

#### Coffee

11h30 - 12h30

**Session 2** – Chaired by *Richard Yelland*, Head of Division, OECD/IMHE

A German perspective on university autonomy.  
*Jürgen Zöllner*, Professor, Dr., Minister of Science, Further Education,  
Research and Culture, Rheinland-Pfalz, Mainz

What does industry expect? *Lene Lange*, Director, Novozymes, Denmark

12h30 - 14h00

#### Lunch

14h00 - 15h30

**Session 3** – Chaired by *Mats Ola Ottosson*, University Director,  
Uppsala University, President of IMHE

Funding principles and institutional independence:

- *Daniel Vitry*, Conseiller, Ministère de l'éducation nationale, France
- *Ken Edwards*, Prof., Chairman, The Magna Charta Observatory, Bologna, Italy
- Participants' 10 minute contributions (please see registration form)

Panel discussion: Invited participants

15h30 - 16h00

#### Coffee break

16h00 - 17h30

#### Group sessions

1) Risks management

Introduction: *Steve Egan*, Director, HEFCE, London

2) Research funding and overhead policies

Introduction: *Leif Lindfors*, University Director, Stockholm University

19h30

#### Buffet dinner at The Munch Museum

**Tuesday 17 June**

**9h00 – 10h30**

**Session 4** – Chaired by *Richard Yelland*, OECD/IMHE

Funding policies and university response

- Prof. *Hanns H. Seidler*, Kanzler, Technische Universität Darmstadt

- Prof. *Bo Sundqvist*, Rector, Uppsala University

Reflections from group work, moderated by *Richard Yelland*, OECD/IMHE,

**10h30 - 11h00**

**Coffee**

**11h00 - 12h30**

**Session 5 - Chaired** by *Lars Ekholm*, Secretary General, NUS

The European Union perspective

- Ms. *Megan Richards*, Head of Unit, Directorate-General for Research,  
The European Commission, Bruxelles

Concluding panel discussion (II): Speakers

Closing remarks

- Prof. *Arild Underdal*, Rector, University of Oslo

Evaluation

**12h30**

**Lunch**

**End of seminar**

**REGISTRATION FORM: EXTERNAL FUNDING AND UNIVERSITY AUTONOMY**

Monday 16 June to Tuesday 17 June 2003

Please complete and send to:

OECD/IMHE

2 rue André Pascal, 75775 Paris Cedex, France

Tel. (33) 1 45 24 92 01 Fax ( 33) 1 42 24 02 11

E-mail: jan.karlsson@oecd.org

Registrations: 30 April 2003

Payments: 31 May 2003

NAME \_\_\_\_\_ TITLE/POST \_\_\_\_\_

INSTITUTION ADDRESS \_\_\_\_\_

TELEPHONE NUMBER \_\_\_\_\_ FAXNUMBER \_\_\_\_\_

EMAIL ADDRESS \_\_\_\_\_

**COST:** Seminar fee: 300 Euros for participants who are members of IMHE, NUS and NUAS, 375 Euros for others. This fee does not cover accommodation. This fee is to be paid to IMHE by 31 May 2003. Payment can be made by cheque to OECD/IMHE or by bank transfer to BNP Paribas, Agence Paris Kléber, 51 avenue Kléber, Paris 16. Swift BNPAFRPPPKL, IBAN FR763000 4008 9200 0100 7074 721 Reference: IMHE OSLO SEMINAR.

Cancellations received after 1 June will be levied a fee of 100 Euros.

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I wish to reserve a place and will pay 300 Euros / 375 Euros by 31 May 2003 \_\_\_\_\_

My institution is a member of NUS \_\_\_\_\_ NUAS \_\_\_\_\_ IMHE \_\_\_\_\_

I plan to arrive on \_\_\_\_\_ June and depart on \_\_\_\_\_ June

I can offer a 5-10 minute contribution on \_\_\_\_\_

\_\_\_\_\_ and will send my abstract by 30 April.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_



**The OECD Programme on  
Institutional Management in Higher Education**

An international forum serving administrators, researchers  
and policy makers in higher education.

Promotes **exchanges of information and expertise** in management and encourages new methods and approaches through research projects and studies, training opportunities, seminars, conferences and publications. Membership is open to higher education institutions and agencies from OECD countries and other parts of the world.

**Topics of interest** include: continuing education and lifelong learning, the tertiary education institution as a regional actor, diversification of tertiary institutions, management of research, governance, quality in mass tertiary education, internationalisation of higher education.

**IMHE** publishes **Higher Education Management and Policy**, in French **Politiques et gestion de l'enseignement supérieur**, a refereed journal appearing three times a year and a range of other reports, in English and in French. First publication in 1999: **Quality and Internationalisation of Higher Education**.

For membership information contact: [IMHE@oecd.org](mailto:IMHE@oecd.org)  
or call: +33 1 45 24 92 24 - Fax +33 1 42 24 02 11 or +33 1 44 30 61 76

To order publications: [www.oecd.org](http://www.oecd.org)

**OECD/IMHE Programme**  
**2, rue André Pascal**  
**75775 Paris Cedex 16**  
**France**  
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