

EICC-GeSI Gold Supply Chain Transparency Audits

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Scope of EICC- GeSI Program

- Electronic Industry Focus
 - Electronic Industry Community Coalition (EICC)
 - Global e-Sustainability Initiative (GeSI)
- Current Conflict-Free Smelter Programs
 - Purpose: Validate due diligence of supply chains
 - Scope: Tantalum, Tin, Tungsten
- In Progress: Gold

Gold Protocol: Background

- Gold Working Group:
 - Manufacturing: Jewellery, Electronics
 - Mining
 - Recycling
 - Refining
- Initial Meeting: March 2011
- Weekly Teleconferences
- Completion Schedule: June 2011

Gold Protocol: Standards

- OECD Due Diligence Guidance, Dec 2010
- ISO 19011
- Responsible Jewellery Council
- World Gold Council

Gold Protocol: Scope

- New production from mines
- New production from recycling
- Existing stocks of fine gold

Protocol Scrutiny Levels

Proximity/Relationship to Conflict = Added Scrutiny

Proximity/Relationship	Level	Scrutiny
Not near conflict	1	Normal
Countries of + Concern	2A	Higher
OECD Red Flag Countries	2B	Higher
Conflict/DRC	3	Highest

Gold Refinery Audits

- Third Party Independent Auditors - ISO 19011
- Audit results:

Finding	Result
Conflict gold found	Non-compliant
Uncooperative refiner	Non-compliant
Insufficient documents	Non-compliant
Insufficient documents - to be provided	Non-compliant
Verifiable due diligence, documents available	Compliant