AGENDA

10th ICGLR-OECD-UN GoE Forum on Responsible Mineral Supply Chains

10-12 May 2016, OECD, Paris
About the OECD

The Organisation for Economic Co-operation and Development (OECD) is a forum in which governments compare and exchange policy experiences, identify good practices in light of emerging challenges, and promote decisions and recommendations to produce better policies for better lives. The OECD’s mission is to promote policies that improve economic and social well-being of people around the world.

About the ICGLR

The International Conference on the Great Lakes Region (ICGLR) is an inter-governmental organization of the countries in the African Great Lakes Region. Its establishment was based on the recognition that political instability and conflicts in these countries have a considerable regional dimension and thus require a concerted effort in order to promote sustainable peace and development.

About the United Nations Group of Experts (UN GoE)

The UN GoE for the Democratic Republic of Congo (DRC) was established by Resolution 1533 (2004) to monitor the implementation of the UN Security Council sanctions regime for the DRC. The GoE is composed of 6 experts who conduct investigations in areas where armed groups are active, including into political and military leaders of armed groups, individuals and entities illegally supporting armed groups through illicit trade of natural resources, elements of state security forces engaged in illegal natural resource exploitation and due diligence implementation with regard to natural resources.

The Group’s reports are available at: https://www.un.org/sc/suborg/en/sanctions/1533/work-and-mandate/expert-reports

About the OECD Due Diligence Guidance

The OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (OECD Due Diligence Guidance) provides detailed recommendations to help companies respect human rights and avoid contributing to conflict through their mineral purchasing decisions and practices. The OECD Due Diligence Guidance is for use by any company potentially sourcing minerals or metals from conflict-affected and high-risk areas. It is one of the international frameworks available to help companies meet their due diligence reporting requirements.

For more information visit: mneguidelines.oecd.org/mining.htm
Background

The ICGLR-OECD-UN Group of Expert Forum on Responsible Mineral Supply Chains was initiated in 2011. The Forum is jointly organised by the OECD, the International Conference on the Great Lakes Region (ICGLR) and UN Group of Experts on the Democratic Republic of Congo.

Meeting format

The 10th ICGLR-OECD-UN GoE Forum on Responsible Mineral Supply Chains will focus on conformance with and implementation of the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (OECD Due Diligence Guidance), the ICGLR regional certification mechanism, and other initiatives to enable responsible mineral supply chains.

Focus areas include:

- Making an impact – maximising the positive impacts on security conditions and livelihoods through due diligence
- Innovative models to support cost-effective due diligence
- Viable options for production and trade in artisanal and small-scale mined gold
- Role of customs and law enforcement to support private sector due diligence
- Identifying and preventing the worst forms of child labour

Chatham House Rule

The meeting will be held under the Chatham House Rule from Tuesday 10 May 13:30h until the end of the day on 12 May 2016; exceptionally, the opening sessions in the morning of 10 May will be open to the press. “When a meeting, or part thereof, is held under the Chatham House Rule, participants are free to use the information received, but neither the identity nor the affiliation of the speaker(s), not that of any other participant, may be revealed.”

This rule applies to social media – Follow us on Twitter @OECD_BizFin and join the conversation at #OECDminerals

Practical information

In light of increased security measures, you must pre-register for the meeting and print your validated registration to access the OECD reception area. On arrival, you must register at the Reception desk to obtain a visitor’s badge. Please bring photo identification with you. Please arrive well in advance of the start of your meeting to allow sufficient time for the formalities.

Date, time and venue

10-12 May 2016, 9:00-18:00, OECD Conference Centre, Paris

Contact

Amy Boyle
Assistant
Tel: +(33-1)45 24 86 15
Amy.Boyle@oecd.org
### DAY 1: TUESDAY, 10 MAY 2015

<table>
<thead>
<tr>
<th>Time</th>
<th>Session</th>
<th>Speakers</th>
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<tr>
<td>11:00 – 11:30</td>
<td>Forum opening Welcome from hosts *** OPEN TO PRESS ***</td>
<td>Ms. Gabriela Ramos, OECD Chief of Staff, G20 Sherpa and Special Counsellor to the Secretary-General</td>
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<td>Mr. Guus Houttuin, Trade Issues and Business Coordinator, European External Action Service (EEAS) and Chair of the OECD Multi-stakeholder Steering Group (MSG)</td>
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<td>Ambassador Vicente Muanda, Deputy Executive Secretary of the International Conference on the Great Lakes Region (ICGLR)</td>
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<td>Mr. Rupert Cook, Expert for Natural Resources and Finance, UN Group of Experts on the Democratic Republic of the Congo</td>
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<td>11:30 – 12:30</td>
<td>Presentation and discussion of the Report to OECD Council: “Due Diligence for responsible mineral supply chains: an analysis of five years implementing the OECD Guidance” *** OPEN TO PRESS ***</td>
<td>This session will provide an opportunity to present and discuss the report on monitoring the implementation of the OECD Council Recommendation on the Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.</td>
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<td>Presentation of the Report to the OECD Council: Ms. Hannah Koep-Andrieu and Mr. Louis Maréchal, Policy Advisers, Responsible Business Conduct Unit, OECD</td>
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<td>Moderator: Mr. Guus Houttuin, Trade Issues and Business Coordinator, EEAS and Chair of the OECD Multi-stakeholder Steering Group (MSG)</td>
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<td>Ms. Seema Joshi, Head of Business and Human Rights, Amnesty International, OECD MSG Vice-Chair</td>
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<td>Ms. Ruth Crowell, Chief Executive Officer, LBMA, OECD MSG Vice-chair</td>
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<td>Ambassador Ambeyi Ligabo, Democracy and Good Governance Programme Director, Executive Secretary of the International Conference on the Great Lakes Region (ICGLR)</td>
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<td>Mr. Rupert Cook, Expert for Natural Resources and Finance, UN Group of Experts on the Democratic Republic of the Congo</td>
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<td>12:30 – 13:30</td>
<td>Lunch break</td>
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<td>13:30 – 15:30</td>
<td>Regulatory efforts on responsible mineral supply chains and promotion of responsible sourcing by countries and key markets</td>
<td>This session will provide an opportunity to update participants on the latest developments in the drafting or implementation of due diligence based regulations as well as other policies that are potentially relevant to the minerals sector.</td>
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<td>Moderator: Mr. Pierre Poret, Deputy Director, Directorate for Financial and Enterprise Affairs, OECD</td>
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<td>Ms. Ashley Orbach, Senior Advisor Economic and Business Affairs and US Representative to the Kimberley Process, US Department of State</td>
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<td>Mr. Frédéric Chenais, Political Directorate, Human Security Division, Swiss Federal Department of Foreign Affairs</td>
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<td>Mr. Sun Li Hui, Director, Liaison Department, China Chamber of Commerce, Metals, Minerals and Chemicals (CCCMC)</td>
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<td>Mr. Gokhan Yolcu, Product Development Specialist, Precious Metals and Stones</td>
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Market Division, Borsa Istanbul

- **Mr. Pankaj Parekh**, former Vice-Chairman, Gem Jewellery Export Promotion Council (GJEPC), India

15:30–16:00 Coffee break

16:00–17:30 **Views from the ground**

Making an impact and improving livelihoods through implementing due diligence and mitigating risks

Local actors – mining communities, civil society, artisanal miners and private sector – will share their experience to date on opportunities and challenges for responsible mineral production and trade in affected regions. The session will also provide an opportunity to reflect on corporate due diligence practices to date and the extent to which they are designed to prevent or mitigate human rights impacts and have a meaningful, long-lasting positive effect on the ground. Participants will be updated on efforts coordinated by the OECD to begin to measure the impact of due diligence on-the-ground.

**Moderator:** **Ms. Ruth Crowell**, Chief Executive Officer, LBMA, OECD MSG Vice-chair

- **Mr. Emmanuel Umpula Nkumba**, Executive Director, Afrewatch
- **Mr. Eric Kajemba**, Director, Observatoire Gouvernance et Paix (OGP)
- **Ms. Viviane Sebahire Maramuke**, Coordinatrice de l’Organisation Congolaise Solidarité des Femmes pour le Développement Intégral (SOFEDI)
- **Mr. Hugo Valle Usuga**, Owner of Minera San Roman, Buritica, Colombia
- **Mr. John Kanyoni**, Vice-President, Chamber of Mines, Fédération des Entreprises du Congo (FEC)

17:30 - 18:30 **Presentation and launch of the OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector**

This session will introduce the new OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector. The guidance provides a practical framework for extractive companies to identify and manage risks with regard to their stakeholder engagement activities, in order to provide meaningful opportunities for stakeholder views to be taken into account in project planning and decision making.

**Moderator:** **Ms. Barbara Bijelic**, Legal Expert, Responsible Business Conduct Unit, OECD

**Opening remarks:**

- **Ms. Helle Klem**, Senior Adviser, Section for Business Promotion and Development, Norwegian Ministry of Foreign Affairs
- **Ms. Tracy Diehl**, Senior Advisor, International Trade Portfolio and Responsible Business Conduct Division, Global Affairs Canada

**Panellists:**

- **Ms. Patricia Feeney**, Executive Director of Rights and Accountability in Development (RAID)
- **Ms. Julie Vallat**, Legal Counsel, Compliance and Social Responsibility, Total
- **Mr. Richard Morgan**, Head of Government Relations, Anglo American
- **Ms. Kirsty Drew**, Senior Policy Advisor, Trade Union Advisory Committee to the OECD

18:30-20:30 Cocktail
## DAY 2: WEDNESDAY, 11 MAY 2016

<table>
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<tr>
<th>Time</th>
<th>Session</th>
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<tr>
<td>8:30 – 9:00</td>
<td>Updates and announcements</td>
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<td>Updates and announcements from Forum participants (from the floor)</td>
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<td>9:00 – 10:45</td>
<td>Reflecting on due diligence implementation, collaboration efforts and the implications for cost</td>
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<td>The session will consider on-the-ground due diligence activities and how their cost is managed by implementing companies along the supply chain, as well as the incentives passed through the supply chain to ensure sustainable responsible supply. In this respect, participants will reflect on challenges in industry or collaborative due diligence efforts to date, their cost-sharing structure, how they are impacted by market conditions and whether they are effectively adapted to different risk environments, as envisaged in the OECD Guidance.</td>
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<td><strong>Moderator:</strong> Mr. Tyler Gillard, Manager of Sector Projects and Legal Advisor, Responsible Business Conduct Unit, OECD</td>
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<td>- Ms. Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI</td>
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<td>- H.E. Evode Imena, Minister of State in charge of Mining, Ministry of Natural Resources, Government of Rwanda</td>
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<td>- Mr. William Millman, Director of Corporate Quality, AVX Ltd</td>
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<td>- Mr. Olivier Demierre, Senior Vice President Corporate Social Responsibility, MKS PAMP Group</td>
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<td>- Ms. Fern Abrams, Director of Regulatory Affairs, IPC</td>
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<td>- Ms. Sophia Pickles, Senior campaigner, Global Witness</td>
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<td>10:45 – 11:15</td>
<td>Coffee break</td>
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<td>11:15 – 13:00</td>
<td>Promoting innovative models to support cost-effective implementation of due diligence</td>
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<td>Building on the findings of the previous discussion, this session will focus on potential to resolve cost challenges of due diligence. This includes evaluating the role of various stakeholders (along the supply chain and across supply chains) to share the value of responsible mineral production and embed implementation costs into purchasing practices, as well as other roles for donors, financial institutions or other agencies.</td>
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<td><strong>Moderator:</strong> Mr. Tyler Gillard, Manager of Sector Projects and Legal Advisor, Responsible Business Conduct Unit, OECD</td>
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<td>- Mr. Jan-Pieter Barendse, Focal Point Raw materials, Extractive Industries Unit, Ministry of Foreign Affairs, Netherlands</td>
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<td>- Ms. Joanne Lebert, Executive Director, Partnership Africa Canada</td>
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<td>- Mr. Harrison Mitchell, Head of due diligence and mineral supply chains programmes, Better Sourcing Program</td>
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<td>- Ms. Leah Butler, Program Director, Conflict Free Sourcing Initiative</td>
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<td>13:00 – 14:00</td>
<td>Lunch break</td>
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<td>14:00 -15:45</td>
<td>Advancing the ICGLR Regional Certification Mechanism</td>
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<td>This session will update participants on the latest developments in the implementation of the six tools of the ICGLR regional initiative to fight illegal exploitation of natural resources. The session will more specifically outline the recent achievements and remaining challenges of the regional certification mechanism.</td>
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<td><strong>Moderator:</strong> Mr. Rupert Cook, Expert for natural resources and finance, UN Group of Experts on</td>
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the Democratic Republic of the Congo

- **Mr. Gerard Nayuburundi**, Coordinator of ICGLR Technical Unit on Natural Resources
- **Mr. Joseph Ikoli Yombo**, Directeur de Cabinet Adjoint du Ministre des Mines de la RDC
- Representative of the Government of Uganda - tbc
- **Dr. Michael Biryabarema**, Director General, Rwanda Natural Resources Authority
- **Ms. Catherine Picard**, Associate and CBRMT Project Manager, TetraTech

15:45 – 16:15 Coffee break

16:15 – 18:00

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<th><strong>Session A (CC1)</strong></th>
<th><strong>Session B (CC4)</strong></th>
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<td>Role of customs and law enforcement to support private sector due diligence</td>
<td>Identifying and preventing the worst forms of child labour (WFCL) in the mineral supply chain</td>
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This session will look at the role that law enforcement agencies can play to support global implementation of mineral supply chain due diligence, in particular the tools at their disposal to help disrupt illicit financial and physical flows.

**Moderator:** **Ms. Francesca Bosco**, Project Officer at the Emerging Crimes Unit of the United Nations Interregional Crime and Justice Research Institute (UNICRI)

- **Mr. S. Thompson Essel**, Chief Operating Officer, Ghana Financial Intelligence Centre
- **Ms. Adelaide Sebona**, Project Manager for the Crime and Illicit Activities Task Team, Coordinator of South Africa’s National Coordination and Strategic Management Team on Illicit Mining
- **Mr. Pascal Nyembo**, Deputy CEO, Centre d’expertise, d’évaluation et de certification, DRC
- **Ms. Myriam Ferrante**, Head of Financial Investigations, National Directorate of Intelligence and customs Investigations, France

This session will update participants on opportunities for multi-stakeholder collaboration and engagement on the ground to tackle the WFCL, and will allow for a consultation of the draft practical actions for companies to address and remediate risks and impacts associated with the WFCL developed by the informal working group.

**Moderator:** **Mr. Patience Singo**, Project Director, Sustainable Artisanal Mining Project, SDC Mongolia

- **Ms. Shivani Kannabhiran**, Policy Adviser, Responsible Business Conduct Unit, OECD
- **Mr. Banny Banza**, Head of Saesscam, Katanga Lubumbashi, DRC
- **Ms. Stacey Stachera**, Foreign Affairs Officer, US Department of State
- **Ms. Christine Musaidizi**, Executive Director, Children’s Voice
- **Ms. Sonia Kalauzova**, Supplier Sustainability Program Manager, Philips
- **Mr. Benedict Cohen**, Chief Counsel Government Affairs, The Boeing Co.
- **Ms. Juliane Kippenberg**, Associate Director, Children’s Rights Division, Human Rights Watch
DAY 3: THURSDAY, 12 MAY 2016

9:00 - 10:30  Session C (CC1)
ASM Gold: Viable Options for trade

This session will update participants on ongoing projects and will reflect on major barriers and obstacles hampering the trade of legitimate artisanal gold production.

**Moderator:** Ms. Jane Korinek, Economist, Trade Policy Analyst, Trade and Agriculture Directorate, OECD

- Ms. Joanne Lebert, Director Great Lakes Programme, Partnership Africa Canada
- Ms. Catherine Picard, Associate and CBRMT Project Manager, TetraTech
- Mr. Patrick Schein, Executive Board Member, Alliance for Responsible Mining
- Mr. Phaedon Stamatopoulos, Director Refining & Bank Products, Argor-Heraeus
- Mr. Anil Sookdeo, Coordinator, Chemicals and Waste Focal Area, Global Environment Facility

10:30 - 11:00  Coffee break

11:00 – 13:00  Promotion of mineral supply chain due diligence in Latin America’s gold sector

This session will present the overview report on Colombia’s gold mining sector and its main findings. The session will also discuss regional efforts to formalise the artisanal gold mining sector, and the role of international buyers in the dissemination of the OECD Guidance in the region.

**Moderator:** Mr. Luis F de Angulo, Executive Director, Regional Centre for Latin America of the Institute for Business and Human Rights

- H.E. María Isabel Ulloa Cruz, Vice-minister of Mines and Energy, Republic of Colombia
- Mr. Frederic Massé, Consultant
- Ms. Livia Wagner, Global Initiative Against Transnational Organized Crime
- Mr. José Ávila Herrera, Head of the Office for Dialogue and Sustainability, Presidency Council of Ministers, Peru
- Mr. Rich Lani, Compliance Director, Republic Metals Corporation

13:00 – 14:00  Lunch

14:00 – 15:30  Applying the OECD Guidance in coal, cobalt, precious stones and other minerals

This session will focus on initiatives for responsible coal, cobalt, precious stones and other minerals and their alignment with international standards such as the OECD Guidance. The OECD will also present plans for a ‘minerals and commodities risk handbook’ to help practitioners identify risks and facilitate access to materials, resources and reports for
enhanced supply chain due diligence.

**Moderator: Mr. Dirk-Jan Koch**, Netherlands, Special Envoy for Raw Materials

- **Mr. Martin Christie**, Executive Director, Better Coal Initiative
- **Dr. Daniel Franks**, Chief Technical Advisor, ACP-EU Development Minerals Programme, UNDP
- **Mr. Li Xiaodong**, Senior Vice President, Zheijiang Huayou Cobalt
- **Mr. Neil Harby**, Good Delivery List Officer, LBMA
- **Mr. David Bouffard**, Vice President, Signet Corporate Affairs, Signet Jewelers Ltd.

15:30 – 16:00
Coffee break

**16:00 – 17:30 Promotion of mineral supply chain due diligence in West Africa**

This session will update participants on the latest findings of the UN Group of Experts on Côte d’Ivoire, and allow for a high-level discussion on national and regional challenges and opportunities for cross-border cooperation with regards to the organisation of the regional artisanal gold mining sector.

**Moderator: Mr. Mamadou Barry**, Policy Advisor, Extractives and Energy Global Practice, World Bank Group

- **Mr. Roberto Sollazzo**, Natural Resources Expert, UN Group of Expert on Côte d’Ivoire
- **Dr. Jean-Claude Brou**, Industry and Mines Minister, Côte d’Ivoire
- **Ms. Marcena Hunter**, Senior Research Analyst, Global Initiative Against Transnational Organized Crime
- **Mr. Charles Afeku**, Deputy Head of Legal Affairs, Minerals Commission of Ghana

17:30 – 18:00
Closing session

Wrap-up and concluding remarks
The ICGLR-OECD-UN Group of Expert Forum on Responsible Mineral Supply Chains was initiated in 2011. The Forum is jointly organised by the OECD, the International Conference on the Great Lakes Region (ICGLR) and UN Group of Experts on the Democratic Republic of Congo.