

**John M. Gannon**

Senior Vice President  
Investor Education, Financial Industry Regulatory Authority



John M. Gannon is Senior Vice President for Investor Education at the Financial Industry Regulatory Authority (FINRA). In this capacity, he is responsible for the development and operations of FINRA's investor education program. He also serves as the President of the FINRA Investor Education Foundation where he manages the Foundation's grant making and programmatic efforts to educate and protect investors, especially traditionally underinvested groups of Americans, including young adults, women, Native Americans, seniors, and members of U.S. military. He has been with FINRA since September 2001.

Before assuming his present duties, Mr. Gannon was Deputy Director of the Securities and Exchange Commission's (SEC) Office of Investor Education & Assistance. Mr. Gannon also served for seven years as Senior Counsel in the SEC's Division of Enforcement where he brought cases and investigated violations of federal securities laws.

Mr. Gannon began his career as an attorney with the law firm of Paul, Frank & Collins, Inc. in Burlington, Vermont.

A 1984 graduate of Middlebury College in Middlebury, Vermont, Mr. Gannon earned his Juris Doctor degree from Suffolk University Law School in 1987. He is a member of the Vermont Bar. He represents FINRA on the Board of Directors of the Jumpstart Coalition for Personal Financial Literacy and the Montgomery County Business Roundtable for Education.