



ROOM DOCUMENT NO. 1

-- REVISED --

***PLANNED EVALUATIONS:
FACILITATING EXCHANGE OF
INFORMATION AND
NEW COLLABORATIVE
EFFORTS***

**Submitted by
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PLANNED EVALUATIONS: FACILITATING EXCHANGE OF INFORMATION AND NEW COLLABORATIVE EFFORTS

Background

The Working Group on Aid Evaluation has regularly exchanged information on planned evaluations. At its last meeting, the Bureau of the Working Party expressed interest in testing a new approach to:

- identify opportunities for collaboration in the implementation of evaluations;
- facilitate and promote cross-fertilisation of methodology and terms of reference;
- plan ahead for sharing or pooling of results to obtain maximum dissemination and feedback impact.

As a first step, the Secretariat asked all participants of the Working party to provide their evaluation plans for the years 1999-2000 with a focus on thematic, sector and country programme evaluations.

This brief paper provides an overview of DAC Members' evaluation plans in these major fields. It is organised in two parts: a first section, which briefly describes the information provided by Members of the Working Party, and a second part, which consists of a table summarising Members' evaluation plans for the period 1999-2000.

Members' evaluation plans

Members' responses

Most Members of Working Party have responded to the request for information made by the Secretariat. More than half of the WP Members were able to provide information on the evaluation work planned for the years 1999-2000. Some Members (e.g. France, Germany, Spain, Sweden, Switzerland) could not supply full information on their evaluation plans either because they were re-organising different services, changing Government, or having different time horizons in their planning systems.

Multilateral institutions were less prepared to provide information on their evaluation plans. Only the African Development Bank and the World Bank submitted theirs.

The Secretariat presents this note to the Working Party in the form of a Room document (Room document No. 1), in order to be able to integrate late submissions.

Bearing in mind that Members use different planning horizons and systems, evaluation plans were regrouped according to four categories: thematic; sector; country programme and multidonor evaluations (see Table attached). Some evaluations were difficult to classify, as they could easily belong to more than one category (e.g. the evaluation of the Trade-Co-operation could be classified both as thematic and sector evaluations).

Members' evaluations plans

From the illustrative table which follows, it is possible to rapidly visualise Members' work for the next two years, and to note that real opportunities now exist for further joint efforts; for exchanging information on methods and terms of reference, and for planning for an active dissemination of evaluation results and feed-back.

Some Members are already undertaking joint efforts to evaluate the effectiveness of multilateral agencies (e.g. UNCDF is jointly evaluated by Belgium, Denmark, France, Netherlands, Norway, Sweden), while others have shown interest in evaluating similar areas. For instance, Japan, the UK and the World Bank are evaluating effectiveness of aid programmes with respect to poverty alleviation. Other Members are planning to carry out country programme evaluations in the same recipient countries (e.g. Bangladesh, Cambodia, El Salvador, Vietnam). It is difficult to appraise partner country participation in evaluation as the information provided by the WP Members is not sufficiently detailed.

Evaluations in areas of common interest could, provided they have similar focus, be planned and carried out by putting in place a consultation process among donors. Methods and terms of reference for individual evaluations as well as plans to disseminate evaluation results and feed-back would most easily be discussed on a bi-lateral level or in smaller groups rather than in the full Working Party. This could also facilitate greater participation of recipient countries.

However, to support exchange of information and new collaborative efforts, it would be useful to supplement the evaluation plans with background information, specifying in greater detail the subject content and parties envisaged to be involved in carrying out the evaluations.

Issues for discussion

In light of previous considerations, Members may wish to discuss the following questions:

1. Do Members find this document useful as a way of exchanging evaluation plans?
2. Should the scope and coverage of this exchange of evaluation plans be expanded to include more detailed information? Would a greater amount of information on evaluation plans facilitate joint efforts by Members?
3. The evaluation plans included in this document suggest that there may be further opportunities for implementing joint evaluations. Do Members have concrete suggestions for joint collaboration based on the planned evaluation work?

Member of DAC Evaluation Working Party	Evaluation plans by types (1999-2000)			
	Thematic	Sectors	Country programme	Multidonor evaluation
AUSTRALIA				
AusAID	<ul style="list-style-type: none"> • Microfinance Activities (1998-99) • Gender and Development (1998-99) • Environmental Audit of the Aid Programme (1998-99) 	<ul style="list-style-type: none"> • NGO Evaluation (1998-99) • Infrastructure Sector Review (1998-99) • HIV/AIDS Activities (1998-99) • Review of Regional Organisation in the Pacific (1998-99) 	<ul style="list-style-type: none"> • China (1998-99) 	
AUSTRIA				
		<ul style="list-style-type: none"> • Small Hydro-Power Plants (1999) • Credit Scheme in SME Development (1999) • Small Scheme Mining (1999) 		
BELGIUM				
	<i>Due to reorganisation of the Belgian Development Co-operation Department, information is not yet available</i>			<ul style="list-style-type: none"> • Evaluation of the UNCDF jointly with B, Dk, F, J, NL, N, S (June 1999).
CANADA				
CIDA	<ul style="list-style-type: none"> • Girl Child Education (1998/99) • Performance Review of Environmental Priority (1999/2000) 	<ul style="list-style-type: none"> • Infrastructure Services Performance Review (1998) • Private Sector Development Review (1999/2000) 		

Member of DAC Evaluation Working Party	Evaluation plans by types (1999-2000)			
	Thematic	Sectors	Country programme	Multidonor evaluation
DENMARK				
	<ul style="list-style-type: none"> • Democratisation and Human Right (04/99) • Mixed Credits (04/1999) • DIPO -Import support office (03/1999) • ENRECA - Research assistance (03/1999) • MIKA - South East Asia (04/1999) • RUF- Research (01/2000) • Institutional Capacity Development (04/2000) • PS -Programme (04/2000) • MIKA - South Africa (04/2000) • Soft Credit (04/2001) • VtV- South African Countries (04/2001) 	<ul style="list-style-type: none"> • Health Programme, Bhutan (02/1999) • Humanitarian Assistance (03/1999) • Danida's Bilateral Programme for Enhancement of Research Capacity in Developing Countries (03/1999) • Health Sector (04/1999) • Transport Sector in Nicaragua (02/2000) • Agriculture in Mozambique (04/2000) • Vocational Training (03/2001) • Health Sector in Tanzania (04/2001) • Private Sector Programme (01/2002) 	<ul style="list-style-type: none"> • Bangladesh (01/99) • Egypt (04/99) • Zimbabwe (01/2000) • Vietnam (03/2000) • Burkina Faso (04/2000) • Eritrea (04/2000) • Ghana (01/2001) • Benin (01/2001) 	<ul style="list-style-type: none"> • Institutional Capacity Development in Environment (01/1999) • AWEP (01/1999) • Evaluation of the UNCDF jointly with B, Dk, F, J, NL, N, S (02/1999). <li style="text-align: center;">3 • UNDP/PACT jointly with Netherlands (01/1999) • ECHO (EU Humanitarian Aid - 01/1999) Jointly with the EU • EU support to ALA (01/1999) Jointly with the EU • EU Evaluation Synthesis (02/1999) Jointly with the EU • ILO (02/2000) • UNESCO (03/2001)

Member of DAC Evaluation Working Party	Evaluation plans by types (1999-2000)			
	Thematic	Sectors	Country programme	Multidonor evaluation
EUROPEAN COMMISSION				
<i>ACP</i>	<ul style="list-style-type: none"> European Development Fund (EDF) Implementation Modalities (1999) Human Rights, Good Governance and Democracy (1999) Decentralised Co-operation (1999) 	<ul style="list-style-type: none"> Health Sector Education Sector NGO Co-financing 	<ul style="list-style-type: none"> Country Strategy Evaluations (in 6/8 countries to be identified) 	
<i>Mediterranean Countries</i>	<ul style="list-style-type: none"> Support to UNRWA (1999) 			
<i>Latin American Countries</i>	<ul style="list-style-type: none"> Democratisation and Human Right (1999) Regional Co-operation in Latin America European Communities Investment Partners (ECIP) Programme (1999) Drugs Programme (1999) Institutional Development and Public Administration Reform Programme (1999) 	<ul style="list-style-type: none"> Trade co-operation (1999) Health Programme (1999) 	<ul style="list-style-type: none"> Brazil (1999) Columbia (1999) El Salvador (1999) 	
<i>Asian Countries</i>	<ul style="list-style-type: none"> European Communities Investment Partners (1999) Microfinance in Bangladesh (1999) 	<ul style="list-style-type: none"> Infrastructure Programme (1999) Programme for the Indonesian Forest (1999) 		
<i>North - South Relations</i>	<ul style="list-style-type: none"> SPG (1999) 	<ul style="list-style-type: none"> Programme for the Amazon Forest (1999) 		
<i>Phare Programme</i>	<ul style="list-style-type: none"> Energy and Environment Programme (1999) 	<ul style="list-style-type: none"> SME support programme 		<ul style="list-style-type: none"> Projects implemented or co-financed with the EBRD

Member of DAC Evaluation Working Party	Evaluation plans by types (1999-2000)			
	Thematic	Sectors	Country programme	Multidonor evaluation
EUROPEAN COMMISSION (cont'd)				
<i>Phare Programme</i>	<ul style="list-style-type: none"> • Social Sector Programmes 	<ul style="list-style-type: none"> • Telecom Programmes • Transport Programmes • Agricultural Reform Programme (1999) 		<ul style="list-style-type: none"> • Nuclear Safety Programme jointly with TACIS
<i>Tacis</i>	<ul style="list-style-type: none"> • Policy Advice Programme • Environment (1999) • Joint Venture Programme (1999) • Europartenariat (1999) • Productivity Initiative (1999) • Custom Programme (1999) • Inogate Programme (1999) 	<ul style="list-style-type: none"> • Enterprises Restructuring and Private Sector Development in the Russian Federation (1999) • Enterprises Restructuring and Private Sector Development in Kazakhstan (1999) • Enterprise Support Facility Group (EGS) programmes • Food Production, processing and distribution sector in the Russian Federation (1999) • Chamber of Commerce (1999) • European Senior Services Network (1999) • Lien Programme (Link Inter-European NGOs) (1999) 	<ul style="list-style-type: none"> • Russia (1999) 	<ul style="list-style-type: none"> • EBRD Bangkok Facility (1999) • Nuclear Safety Programme jointly with PHARE • Joint programme with Council of Europe for Strengthening of the Federal Structure and Human Rights in the NIS (1999)

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FRANCE				
Cellule d'évaluation Ministère des Affaires Etrangères Agence Française de Développement	<i>Due to reorganisation of different services information is not yet available</i>			<ul style="list-style-type: none"> Evaluation of the UNCDF jointly with Dk, F, J, NL, N, S (June 1999). <p><i>Expressed interest in undertaking joint evaluation with other donors countries</i></p>
GERMANY				
BMZ	<i>Due to change in Government they will be able to provide information only later this year</i>			
IRELAND				
Irish Aid	<ul style="list-style-type: none"> Capacity Building (1999) 	<ul style="list-style-type: none"> Water and Sanitation Study (1999) Civil Service Reform (1999) Fellowship (1999) 		
JAPAN				
Evaluation Division Ministry of Foreign Affairs	<ul style="list-style-type: none"> Poverty Alleviation in Cambodia (January 1999) 		<ul style="list-style-type: none"> El Salvador (February 1999) Mongolia (March 1999) 	<ul style="list-style-type: none"> Evaluation of the UNCDF jointly with Dk, F, J, NL, N, S (June 1999).
The Overseas Economic Co-operation Fund	<ul style="list-style-type: none"> Decentralisation and Local Governments' Initiatives in Philippines (1999) 	<ul style="list-style-type: none"> Eastern Seaboard Development Programme in Thailand with JICA (1998/1999) 		
The Overseas Economic Co-operation Fund	<ul style="list-style-type: none"> Environment and Social Development in Philippines (1998/1999) 			
Japan International Co-operation Agency	<ul style="list-style-type: none"> Women in Development in Sri Lanka (January 1999) 	<ul style="list-style-type: none"> Kenya Wildlife Conservation Project (1998-1999) 	<ul style="list-style-type: none"> Bangladesh (March 1999) 	<ul style="list-style-type: none"> Evaluation of poverty alleviation in Africa jointly with UNDP (1999-2000).

Member of DAC Evaluation Working Party	Evaluation plans by types (1999-2000)			
	Thematic	Sectors	Country programme	Multidonor evaluation
JAPAN (cont'd)				
	<ul style="list-style-type: none"> Maritime Training in Egypt (February 1999) Gender and Development in Africa (1999-2000) Evaluation Seminar in Thailand and Vietnam Grant Aid in Zambia (Jan. 1999) 	<ul style="list-style-type: none"> Eastern Seaboard Development Programme in Thailand with OECF (1998/1999) 		
NETHERLANDS				
<p><i>Ministry of Foreign Affairs Policy and Operation Evaluation Department (IOB)</i></p> <p><i>Note: The Plan submitted by the Netherlands can be modified according with requests for evaluation made by the Parliament</i></p>	<ul style="list-style-type: none"> Dutch Support Programme for Social Transformation in Central and Eastern Europe (first half 1999) Debt Relief (1999-2000) Evaluation of Mechanism of Aid Co-ordination (1999-2000) Evaluation of a Pilot Project for the Application of Rating Systems in Project and Portfolio Monitoring (1999-2000) 	<ul style="list-style-type: none"> Dutch Centre for the Promotion of Imports from Developing Countries (first half 1999) Water Management and Institutional Development (1999-2000) Netherlands Development Related Export Transaction Programme (1999-2000) 	<ul style="list-style-type: none"> Development Co-operation between Netherlands and the Palestinian Authorities (1999-2000). Reassessment of the Mali Country Programme 	<ul style="list-style-type: none"> Evaluation of the UNCDF jointly with Dk, F, J, NL, Nor, Sw (first half 1999). Evaluation of European Union Aid to the ACP, ALA, MED Programmes (1992-1996) jointly with EU Members and the Commission (May 1999) Evaluation of the European Union Humanitarian Aid (1992-1996) jointly with EU Members and the European Commission (May 1999) Evaluation of Co financing with the World Bank between 1975-1996 (June 1999)

Member of DAC Evaluation Working Party	Evaluation plans by types (1999-2000)			
	Thematic	Sectors	Country programme	Multidonor evaluation
NETHERLANDS (cont'd)				
	<ul style="list-style-type: none"> Transition in Eastern Europe (1999-2000) 		<ul style="list-style-type: none"> Sustainable Development Agreement with Benin, Bhutan, and Costa Rica 	<ul style="list-style-type: none"> Donor Support for Institutional Capacity Development in Environment jointly with Denmark and UK (early 1999)
NORWAY				
<p>Evaluation Division Ministry of Foreign Affairs</p> <p>Peace Research Institute of Norway</p> <p>Evaluation Division Ministry of Foreign Affairs</p>	<ul style="list-style-type: none"> Food and Human Security: the Role of Food Aid. Norway's Role in the Middle East - Pre-study (October 1999) 			<ul style="list-style-type: none"> International Planned Parenthood Federation jointly with Sw and UK (December 1999) Evaluation of the UNCDF jointly with B, D, F, J, NL, Nor, Sw (June 1999)
PORTUGAL				
ICP	<i>Only project evaluation planned</i>			
SPAIN				
Planning and Evaluation Office Ministry of Foreign Affairs	<i>A new evaluation plan will be available later in the year</i>			
SWEDEN				
	<p><i>A complete evaluation plan will be available in February 1999</i></p> <ul style="list-style-type: none"> Democracy and Human Rights (1996-99) Gender Equality and Women's Empowerment (1997-99) 		<ul style="list-style-type: none"> SIDA Country Strategy (1997-99) 	<ul style="list-style-type: none"> International Planned Parenthood Federation jointly with Nor and UK (December 99) Evaluation of the UNCDF jointly with B, D, F, J, NL, Nor, Sw (June 1999)

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SWITZERLAND				
SDC	<i>A complete evaluation plan will be available in March 1999</i>		<ul style="list-style-type: none"> • Benin (2000) • Mali (1999) • Pakistan (1999) • Tchad (1999) • Vietnam (2000) 	<ul style="list-style-type: none"> • MILFE - Mozambique jointly with - Sweden, DK, N, and UK (1999) • PARDYP - Nepal, Pakistan, India, China jointly with IDRC Canada (1999) • CIAT -Laderas, Central America jointly with CIAT, IDRC - Canada and Netherlands (1999) • Rural Development Programme in Bangladesh - jointly with Selected Donors and WFP (1999) • Support to Handicraft in Mali, jointly with GTZ, Oxfam and Swisscontact (1999) • Centre North-South Switzerland jointly with Netherlands, Sweden and Finland (1999) • Rural Road and Markets Improvement and Maintenance Project in Bangladesh jointly with World Bank (1999) • BioNet International Phase 3 jointly with CAB International (1999) • Heath Programme Lezha, Albania jointly with Abemo/Bilance, Caritas Luxembourg (1999)

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	Thematic	Sectors	Country programme	Multidonor evaluation
UNITED KINGDOM				
DFID	<ul style="list-style-type: none"> Poverty Reduction (1999) Environment (1999) Participatory Approaches (2000) Gender (2000) 	<ul style="list-style-type: none"> Primary Education (1999) Sustainable Agriculture (2000) Public Sector Reform (2000) Revenue-Raising (2000) 		<ul style="list-style-type: none"> International Planned Parenthood Federation jointly with Nor, Sw. (December 1999)
WORLD BANK				
OED	<ul style="list-style-type: none"> Poverty Reduction (04/1999) Review of the CFA Devaluation (04/1999) High Impact Adjustment in Africa (05/1999) Aid Co-ordination Process Review (4/1999) Monitoring and Evaluation Follow-up (04/1999) Poverty Assessment Follow-up (04/1999) Participation Process Review (12/1999) Financial Management Process Review (03/2000) Economic Sector Work Process Review (03/2000) Environment (04/2000) Private Sector Development in Power (04/2000) 	<ul style="list-style-type: none"> HNP (01/1999) Civil Services Reform (01/1999) Rural Development Phase I (03/1999) Forestry Review (9/1999) Water Resources Review (12/1999) 	<ul style="list-style-type: none"> Tanzania, Uganda, Vietnam, China, Ukraine, Yemen. Burkina Faso (1999) Cameroon, Senegal, India, Romania, Russia, Brazil or Mexico; Venezuela or Paraguay (2000). 	<i>Expressed interest in undertaking joint evaluation with other donors</i>

Member of DAC Evaluation Working Party	Evaluation plans by types (1999-2000)			
	Thematic	Sectors	Country programme	Multidonor evaluation
WORLD BANK (cont'd)				
OED	<ul style="list-style-type: none"> Effectiveness of Private Sector Assessment (05/2000) Anticorruption Initiative (08/2000) Social Development (2001) Social Funds (2001) 	<ul style="list-style-type: none"> Rural Road (12/1999) Rural Development - Phase II (12/1999) <p>Energy Efficiency and Renewable (2001)</p>	<i>Country Assistance Notes</i> <ul style="list-style-type: none"> Egypt, Honduras, Ethiopia, Costa Rica (1999) Cambodia (2000) 	
AfDB				
Operations Evaluation Department	<ul style="list-style-type: none"> Impact of Regional Education Programmes (1999-2000) Poverty Dimensions in Rural Development Projects (1998-99) Impact of Gender Development Projects (1999-2000) Review of Bank Environmental Policy (1998-99) Monitoring & Evaluation Systems in Development Projects (1999) Impact of Sectoral Investment Programmes (1999-2000) 	<ul style="list-style-type: none"> Water and Sewerage Sector (1999) Trade Policy Adjustment Programmes (1998-99) Financial Sector Adjustment Programmes (1998-1999)- Lessons from North Africa Telecommunication Infrastructure and Development (1998-99) 	<ul style="list-style-type: none"> Mauritania (1999-2000) Mali (1999-2000) Uganda (1999-2000) 	<ul style="list-style-type: none"> Burkina Faso (1999) with the assistance of the World Bank (OED) and SDC (Switzerland)